

# Bruce Willis is Braver than You Think?

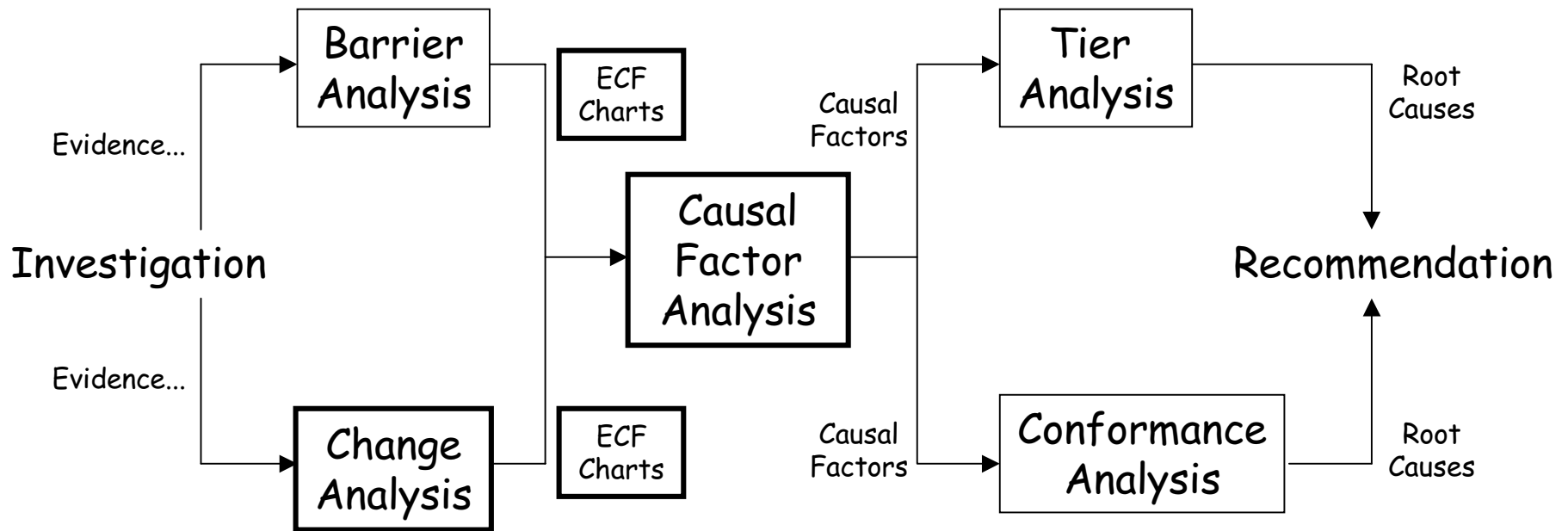
Chris Johnson

University of Glasgow, Scotland.  
<http://www.dcs.gla.ac.uk/~johnson>

April 2001.



# NPG. 8621.1





# Orbiter Mission Overview

## Cruise

- Reaction Wheel Attitude Control
- 4 TCMs
- 10 Month Cruise

## Launch

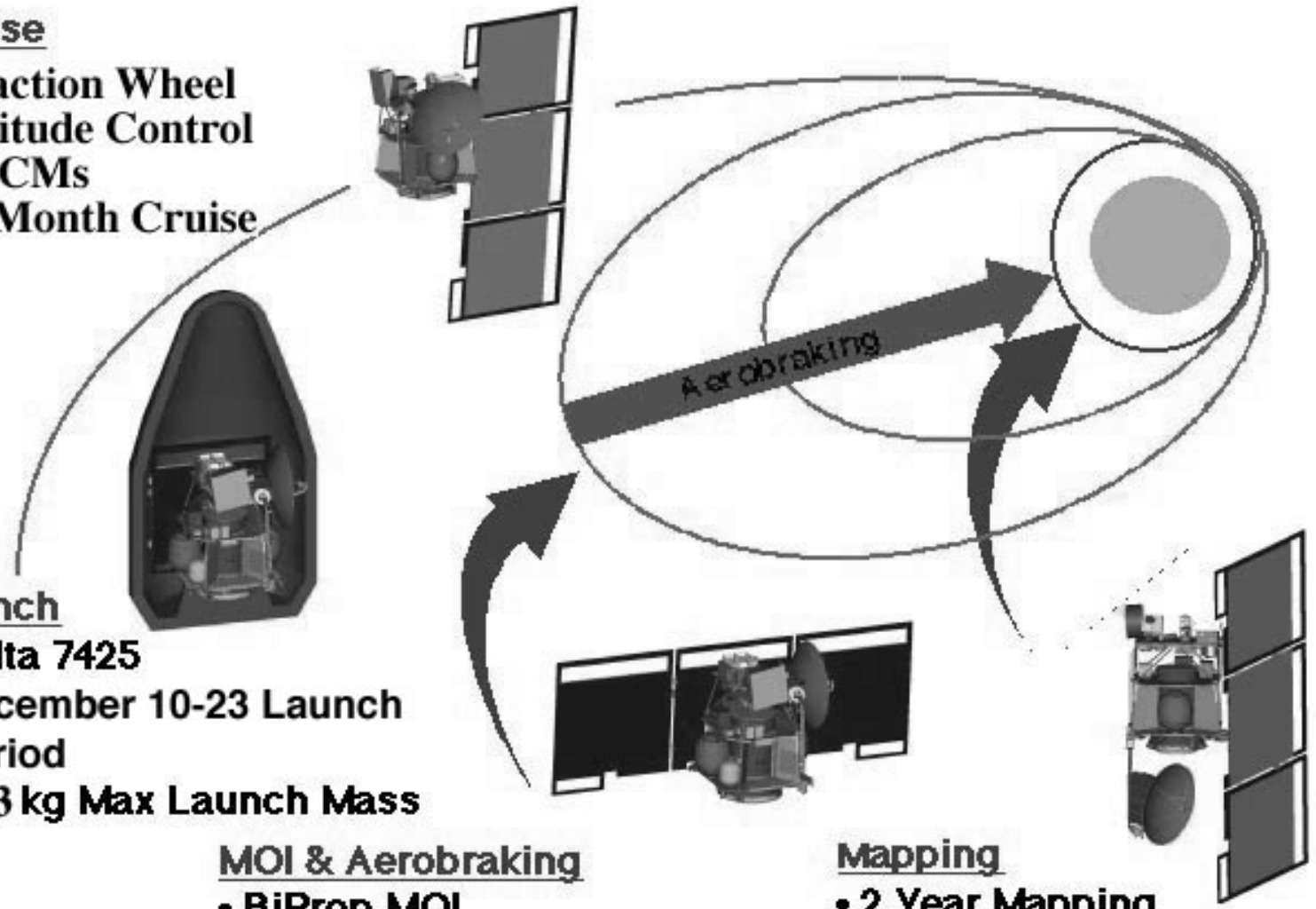
- Delta 7425
- December 10-23 Launch Period
- 643 kg Max Launch Mass

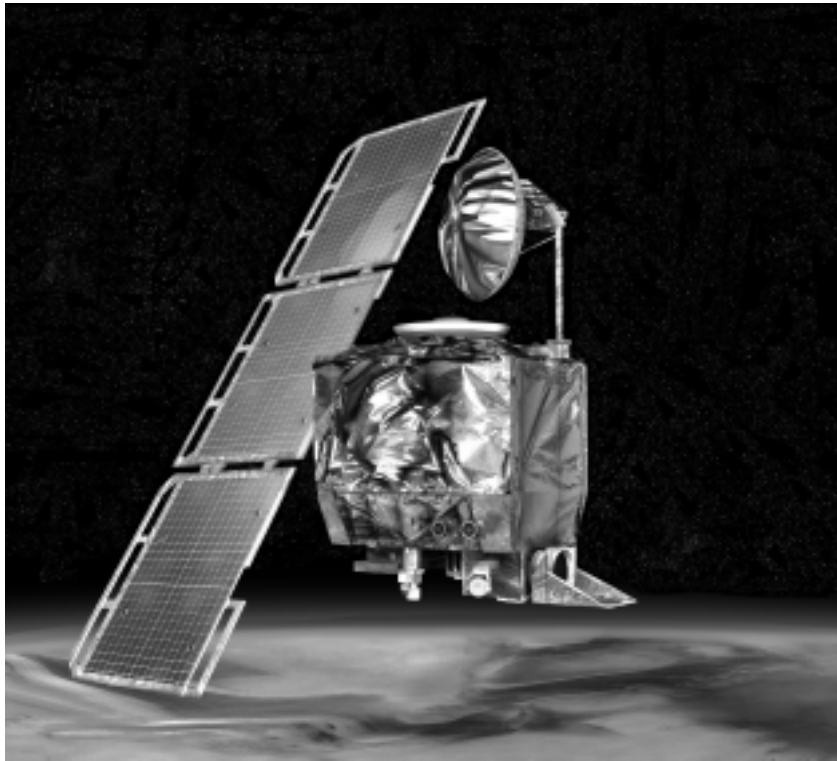
## MOI & Aerobraking

- BiProp MOI
- Accelerated Aerobraking
- On Orbit to Support Lander Sol-0

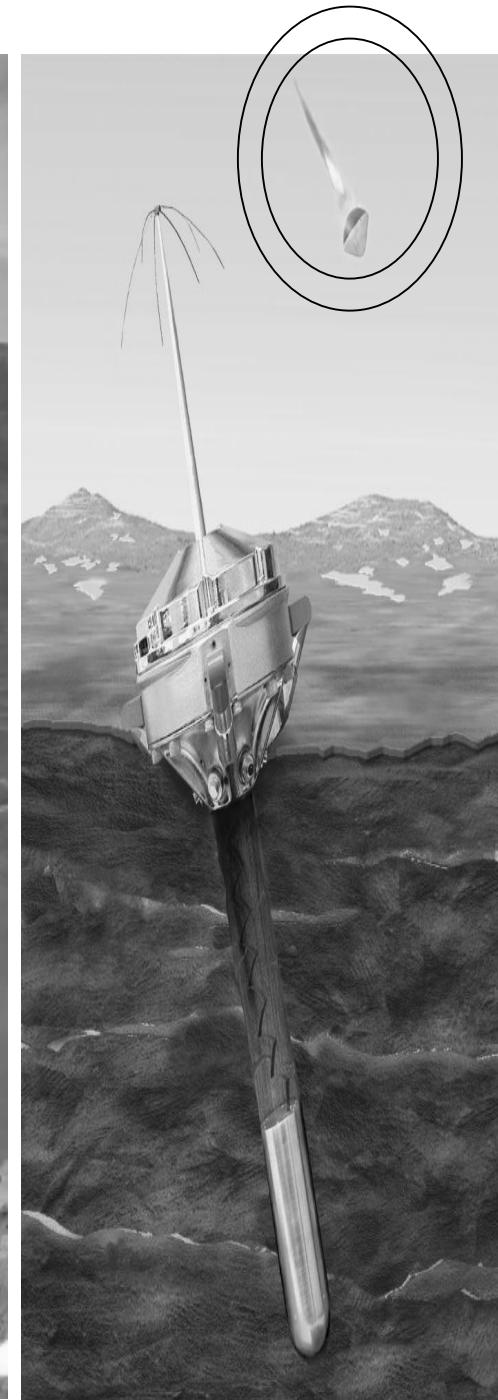
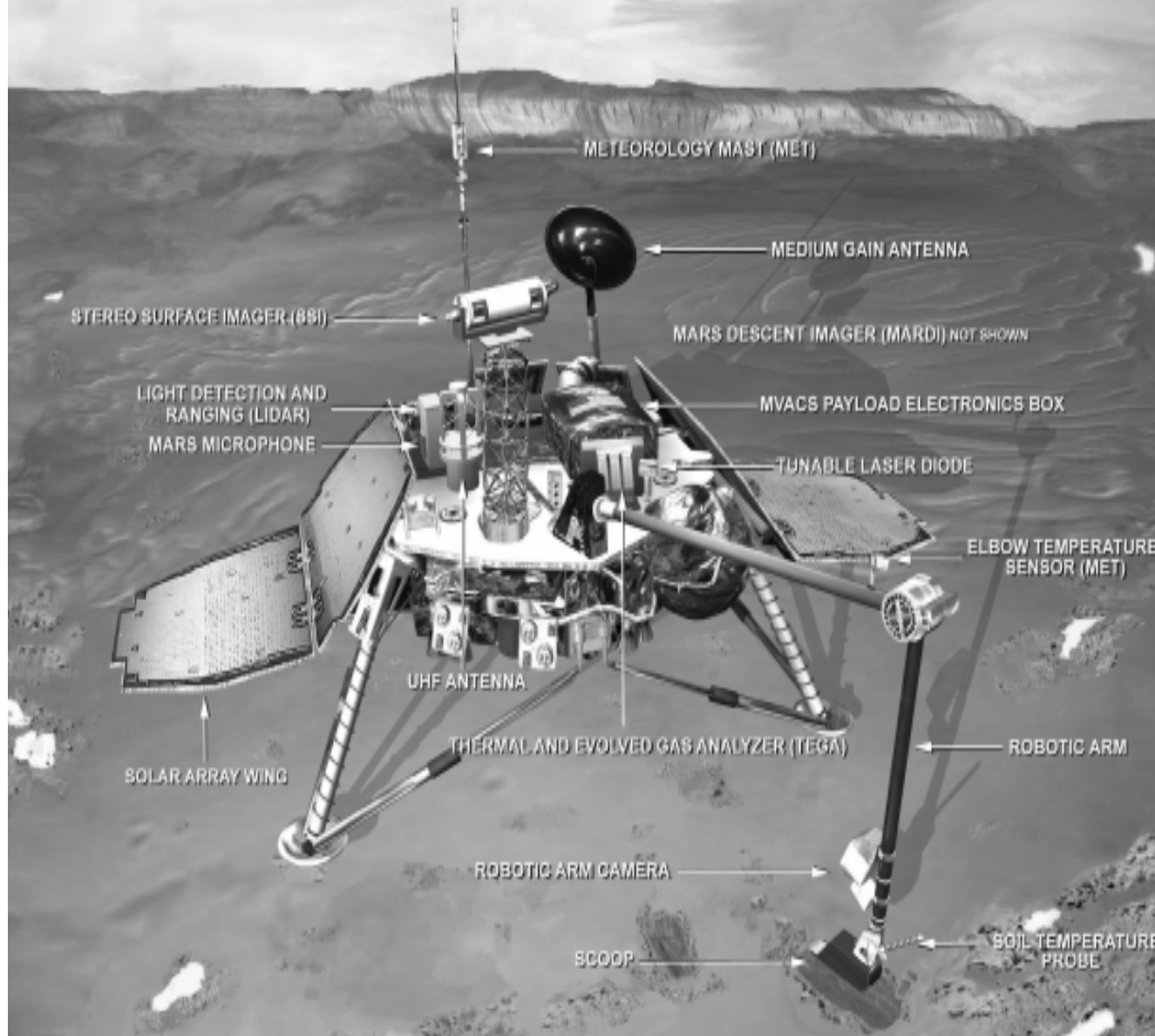
## Mapping

- 2 Year Mapping
- PMIRR & MARCI Science
- '98 & '01 Lander Relay





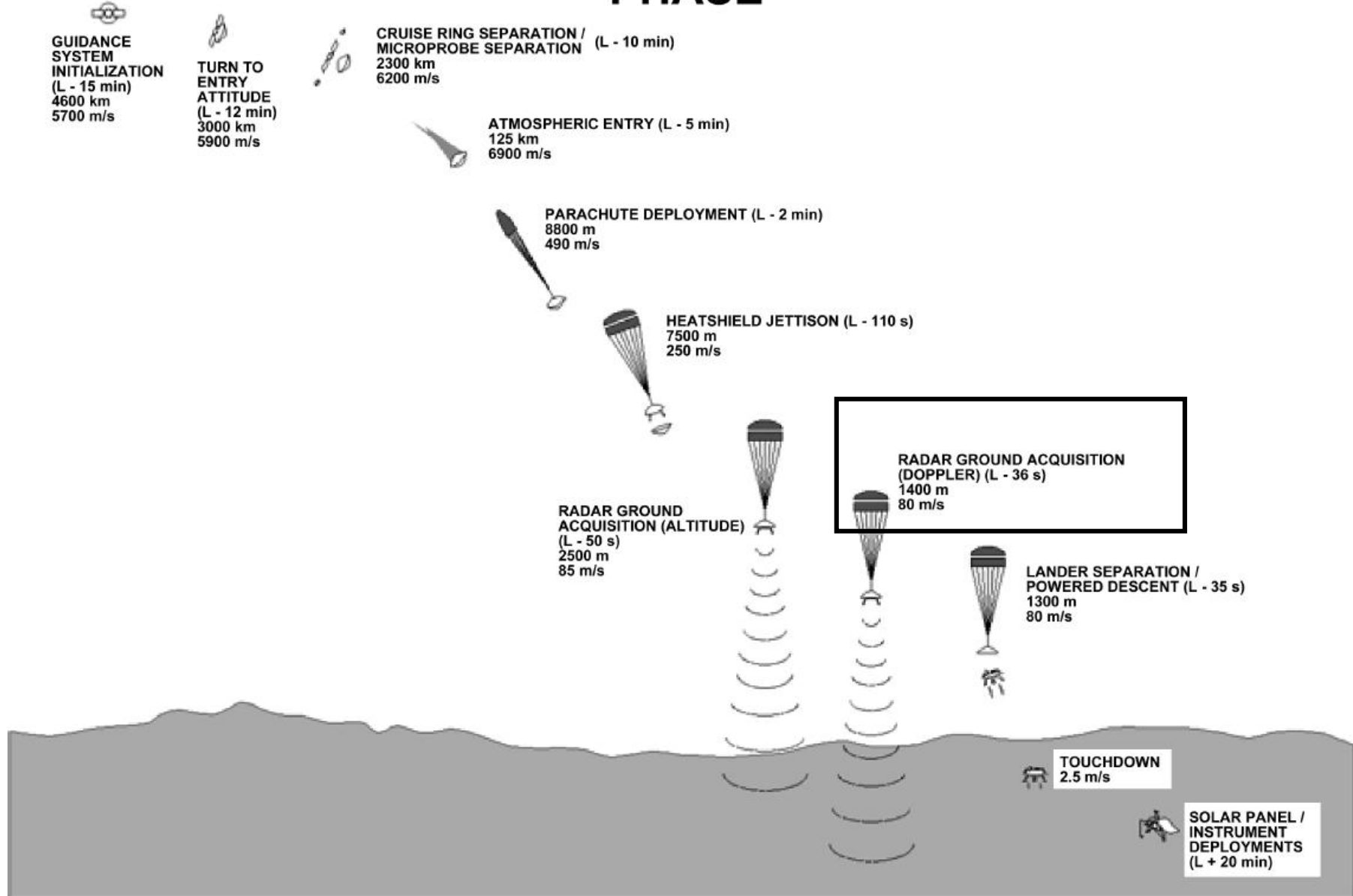
# MARS POLAR LANDER: AN EXPEDITION TO THE SOUTH POLAR REGION





# Mars Surveyor '98

## ENTRY, DESCENT, AND LANDING PHASE



`` I told them that in my effort to empower people, I pushed too hard... and in so doing, stretched the system too thin. It wasn't intentional. It wasn't malicious. I believed in the vision... but it may have made failure inevitable. I wanted to demonstrate to the world that we could do things much better than anyone else. And you delivered -- you delivered with Mars Pathfinder... With Mars Global Surveyor... With Deep Space 1. We pushed the boundaries like never before... and had not yet reached what we thought was the limit.

Not until Mars 98.

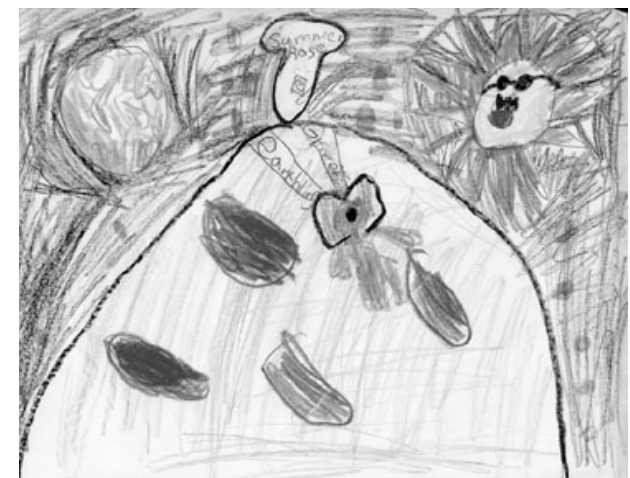
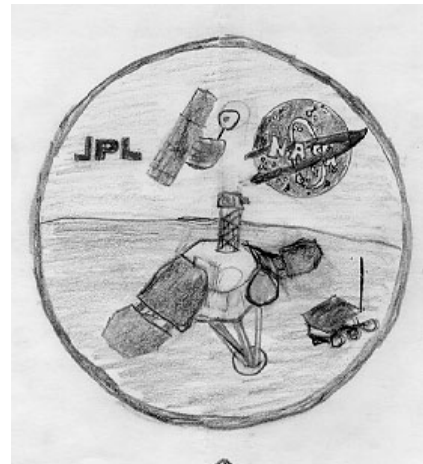
I salute that team's courage and conviction. And make no mistake: they need not apologize to anyone. They did not fail alone. As the head of NASA, I accept the responsibility.

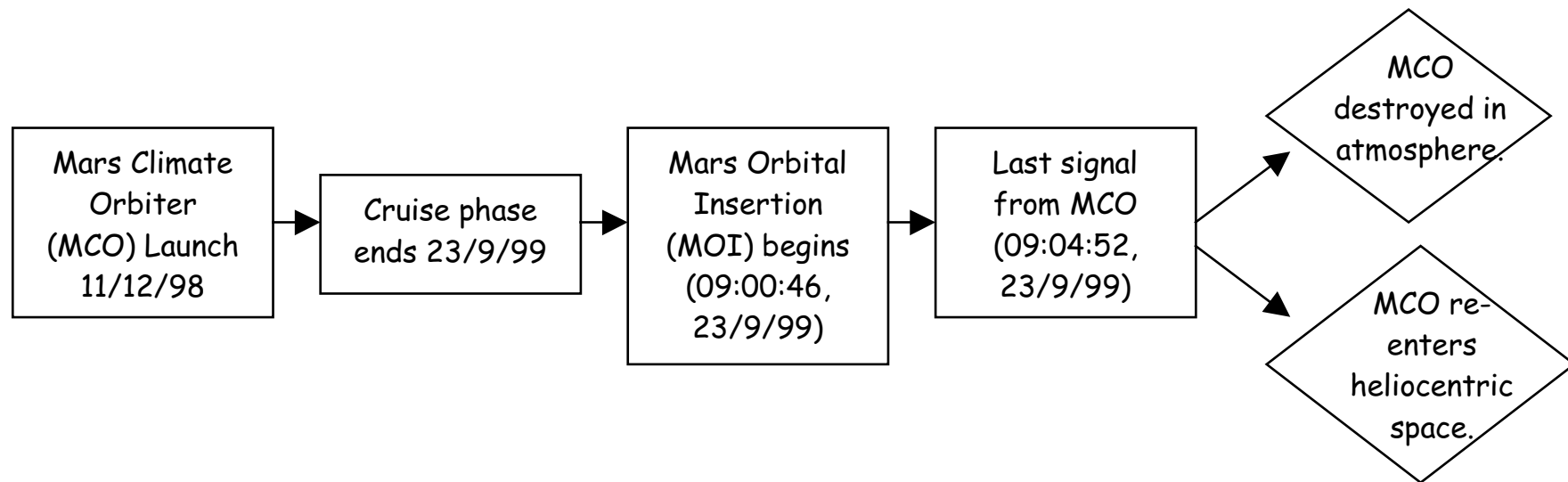
If anything, the system failed them."

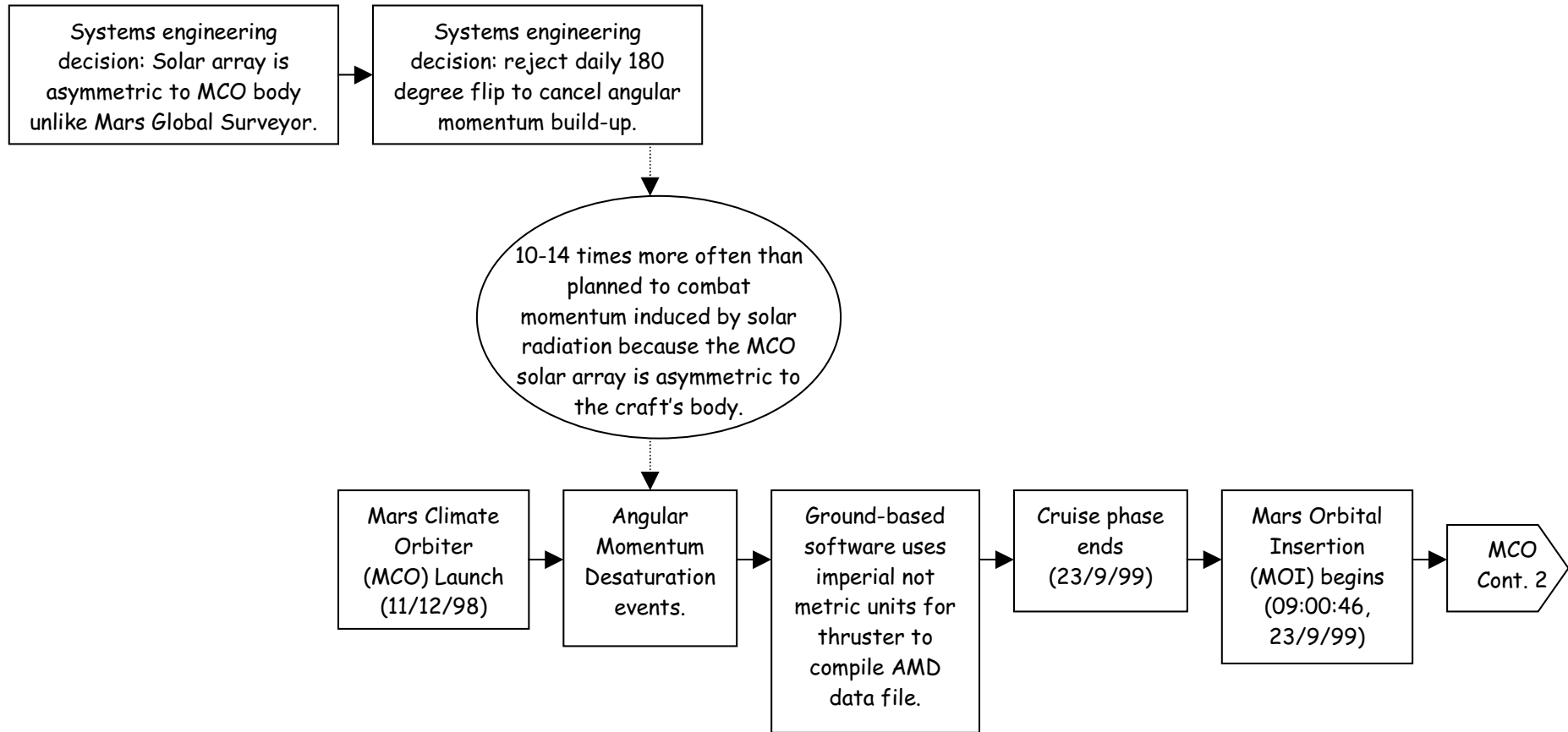




# The Mars Climate Orbiter

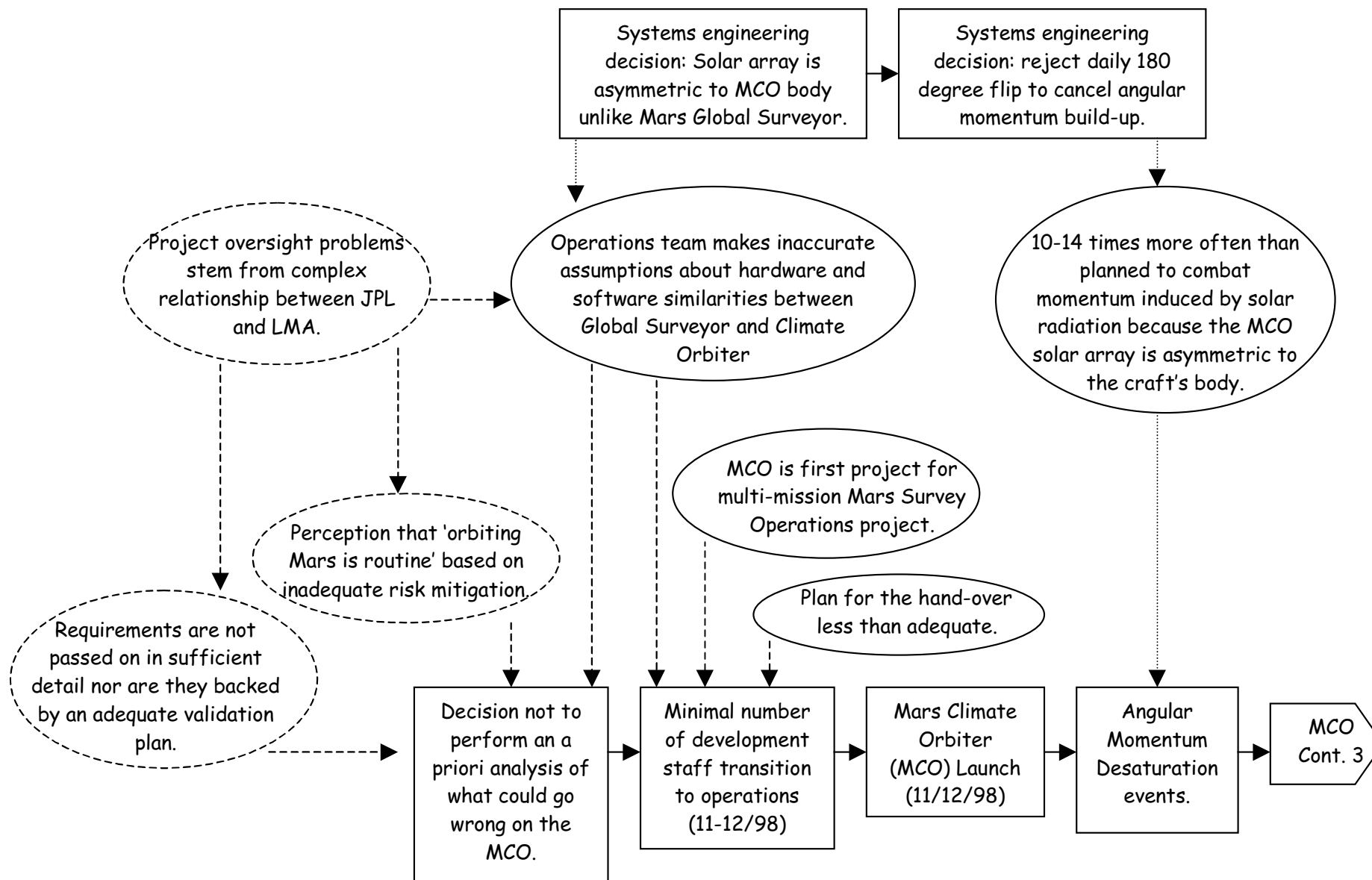






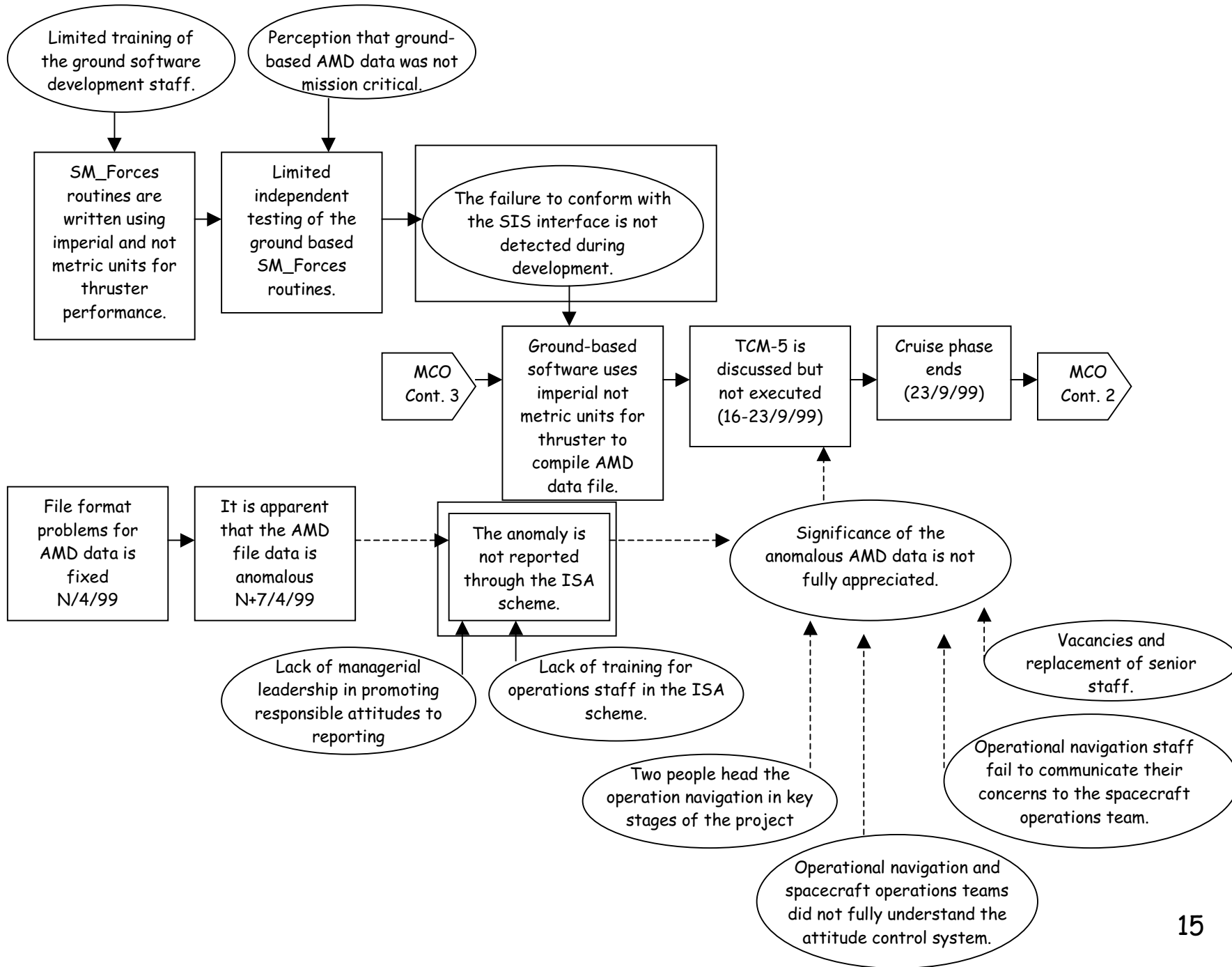
# Barrier Analysis

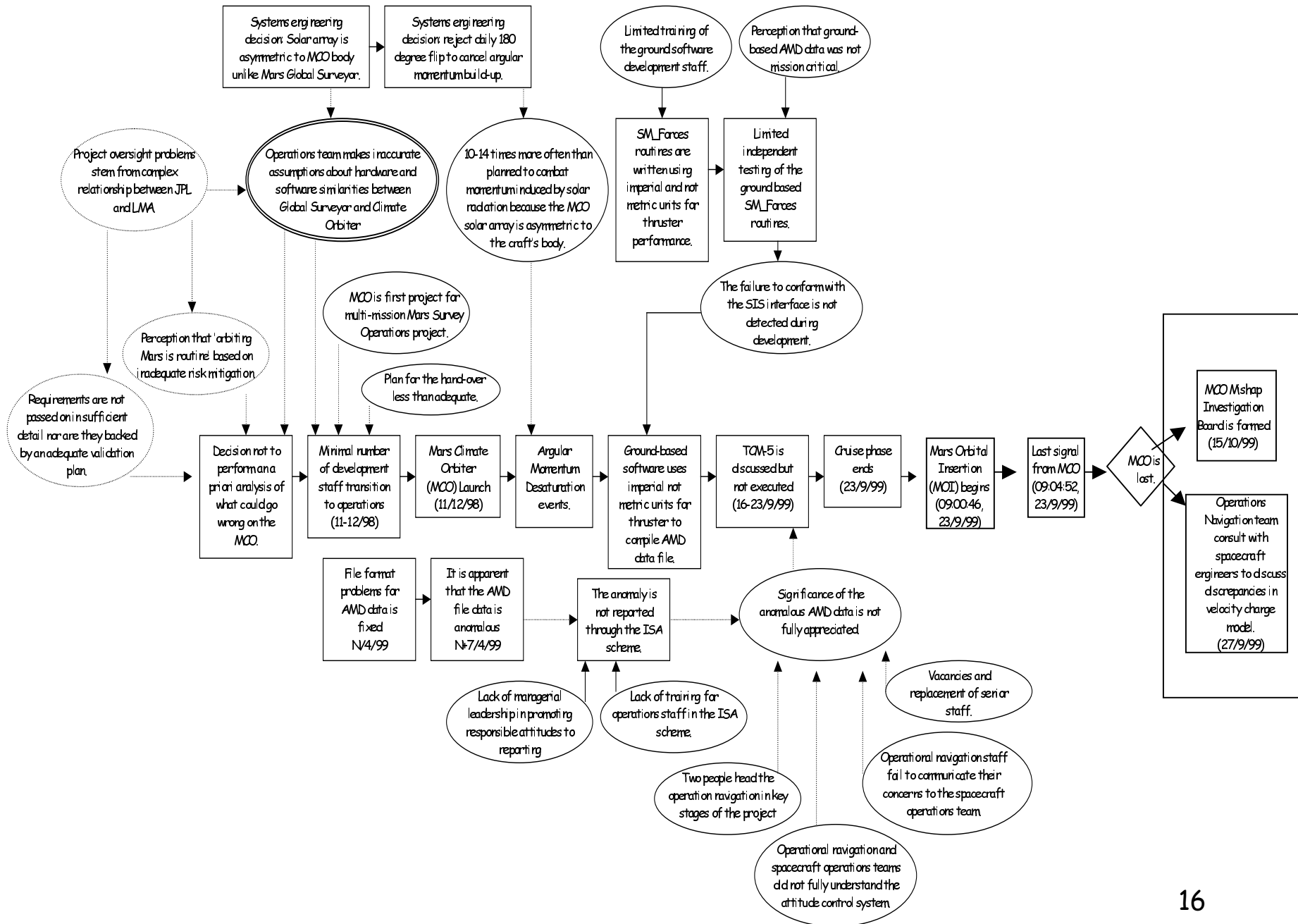
| Barrier    | Reason for failure?                             |
|------------|-------------------------------------------------|
| People     | Lack of staff                                   |
|            | Changes in management                           |
|            | Inadequate training/skills                      |
|            | Poor communication                              |
| Process    | Separation of development and operations teams. |
|            | No systematic hazard analysis.                  |
|            | Inadequate testing.                             |
|            | Lack of oversight.                              |
| Technology | Incorrect trajectory modelling.                 |
|            | Tracking problems.                              |
|            | Rejection of barbecue mode.                     |
|            | Rejection of TCM-5.                             |



# Barrier Analysis

| Barrier                          | Reason for failure?                             |
|----------------------------------|-------------------------------------------------|
| Software Interface Specification | No software audit to ensure SIS conformance.    |
|                                  | Poor navigation-spacecraft communication.       |
|                                  | Inadequate training on the importance of SIS    |
| Software testing and validation  | Unclear if independent tests were conducted.    |
|                                  | Failure to recognise mission critical software. |
|                                  | Poor understanding of interface issues.         |
| Incident reporting systems       | Team members did not use ISA scheme.            |
|                                  | Leaders failed to encourage reporting.          |
|                                  | Discipline experts not consulted.               |







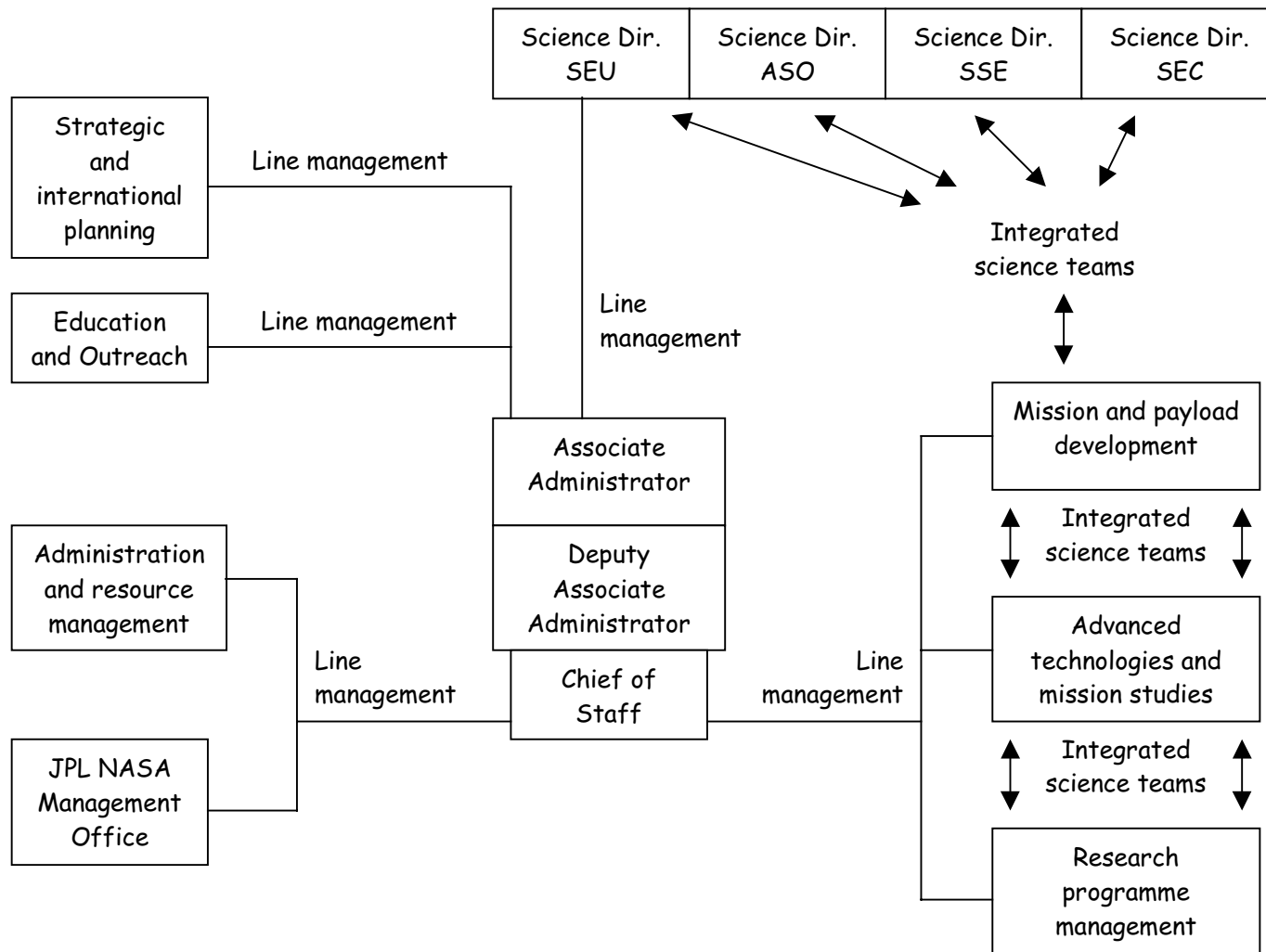
# Cause-Context Summary

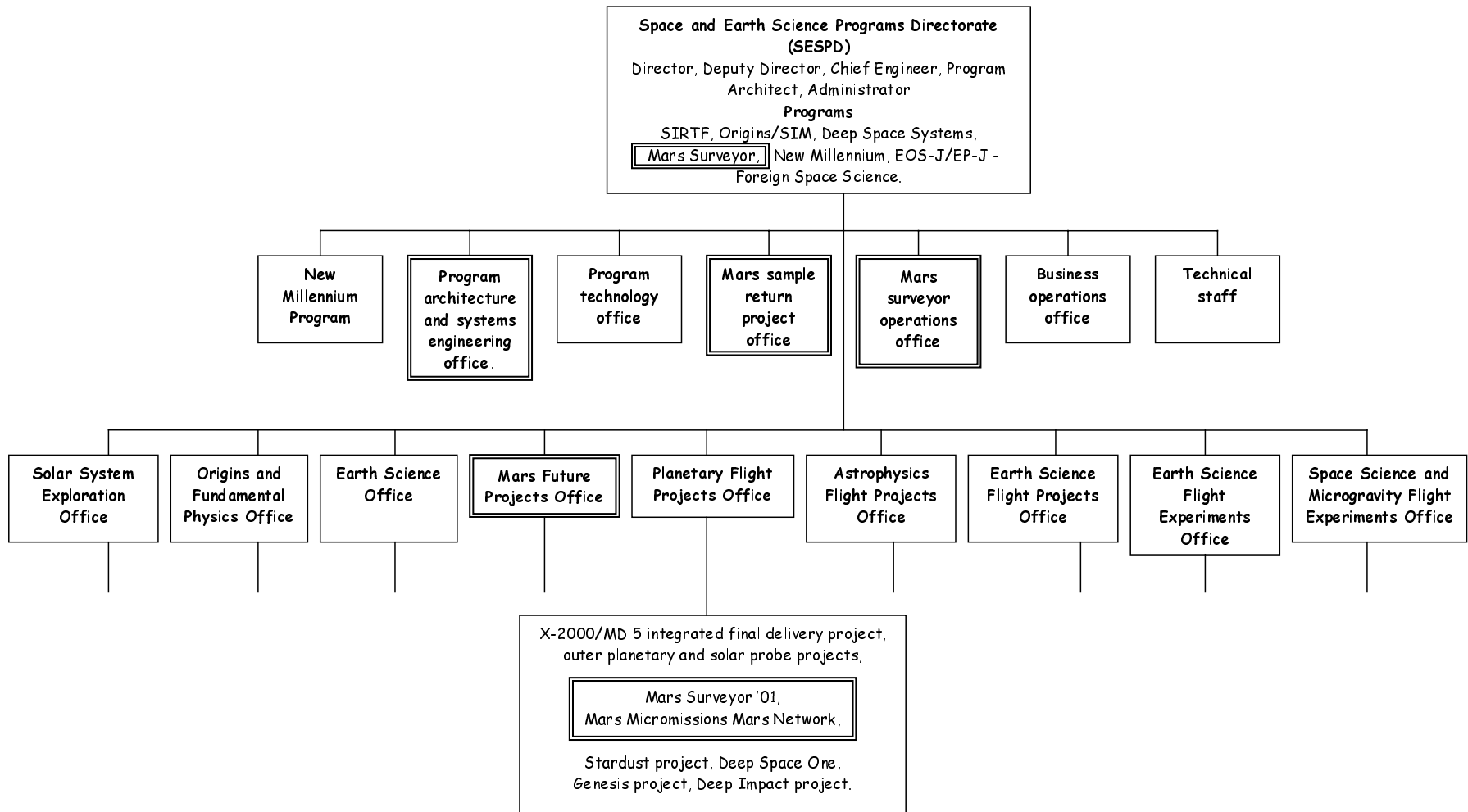
| Event                                                                             | Contextual/<br>Causal   | Justification                                                                                                  |
|-----------------------------------------------------------------------------------|-------------------------|----------------------------------------------------------------------------------------------------------------|
| MCO Mishap Investigation Board is formed.                                         | Contextual              | Post-incident event                                                                                            |
| Ops Nav. Consult craft engineers to discuss discrepancy in velocity change model. | Contextual              | Post-incident event                                                                                            |
| Last signal from MCO                                                              | Contextual              | The incident would not have happened if this had been avoided.                                                 |
| Mars Orbital Insertion begins                                                     | Contextual              | Normal or intended behaviour.                                                                                  |
| Cruise phase ends                                                                 | Contextual              | Normal or intended behaviour.                                                                                  |
| TCM-5 discussed but not executed                                                  | Causal<br>(Barrier)     | Subjunctive arguments created by failure of a barrier - would TCM-5 have been executed correctly?              |
| File format anomaly not reported through ISA                                      | Contextual<br>(Barrier) | Would ISA have prevented incident if it had been used? Considered incident would still occur even with report. |
| AMD data is seen to be anomalous.                                                 | Contextual              | Non-causal, opportunity to avoid mishap.                                                                       |
| AMD file format problem is corrected.                                             | Contextual              | Non-causal, opportunity to avoid mishap.                                                                       |

| Event                                                              | Contextual/<br>Causal | Justification                                                                                                                    |
|--------------------------------------------------------------------|-----------------------|----------------------------------------------------------------------------------------------------------------------------------|
| Ground software uses Imperial not Metric units for AMD file.       | Causal                | The incident would not have happened if this had been avoided.                                                                   |
| Limited independent test of SM_forces routine.                     | Causal<br>(Barrier)   | Testing likely to have avoided the incident.                                                                                     |
| SM_forces written using Imperial not Metric units                  | Causal                | The incident would not have happened if this had been avoided.                                                                   |
| AMD events                                                         | Contextual            | Normal or intended behaviour given asymmetrical array.                                                                           |
| Decision to reject barbecue mode.                                  | Causal                | Incident might not have happened with the barbecue mode but there is a slight doubt about the navigation systems even with this. |
| Decision to use asymmetrical solar array.                          | Causal                | Global surveyor's symmetrical design avoided some navigation problems.                                                           |
| MCO launch                                                         | Contextual            | Normal or intended behaviour.                                                                                                    |
| Minimal number of staff transition from development to operations. | Causal<br>(Barrier)   | The incident would not have happened if more staff transitioned.                                                                 |
| Decision not to perform a priori analysis of MCO failure modes.    | Causal<br>(Barrier)   | The incident would not have happened if this had been avoided (debatable).                                                       |

# Tier Analysis

| Tier                 | Causal Factors | Cause |
|----------------------|----------------|-------|
| 5: Senior Management |                |       |
| 4: Middle Management |                |       |
| 3: Lower Management  |                |       |
| 2: Supervision       |                |       |
| 1: Workers Actions   |                |       |
| 0: Direct Cause      |                |       |





# Organisation: LMA (Contractor)

| Tier                 | Causal Factors                                                                                                                                                                                                                                                                 | Cause                                                                                                                                                                                          |
|----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5: Senior Management | <p>Requirements are not passed on in sufficient detail nor are they backed by an adequate validation plan.</p> <p>Decision not to perform an a prior analysis of what could go wrong on the MCO.</p> <p>Limited independent testing of the ground-based SM_Forces routine.</p> | No documented guidance on the implementation of the Faster, Better, Cheaper strategy prevented managers from resisting pressures to cut costs/schedules that might compromise mission success. |
| 4: Middle Management | <p>Minimal number of development staff transition to operations.</p> <p>SM_Forces routines are written using Imperial and not metric units for thruster performance.</p>                                                                                                       | Lack of resources for the Mars Surveyor Program limited the number of staff available and may also have prevented staff from receiving adequate training on critical aspects of the mission.   |
| 3: Lower Management  | TCM-5 is discussed but not executed.                                                                                                                                                                                                                                           |                                                                                                                                                                                                |
| 2: Supervision       |                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                |
| 1: Workers Actions   | <p>Decision to reject barbecue manoeuvre.</p> <p>Decision to use asymmetrical solar array.</p>                                                                                                                                                                                 |                                                                                                                                                                                                |
| 0: Direct Cause      | Ground-based software uses Imperial and not Metric units for thruster performance in compiling AMD data file.                                                                                                                                                                  |                                                                                                                                                                                                |

# Organisation: JPL

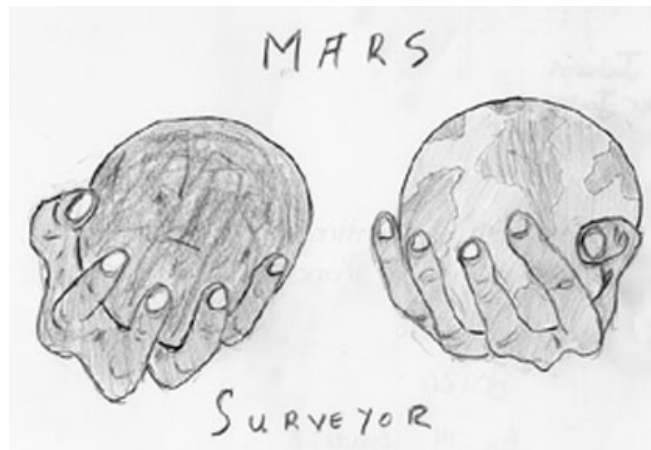
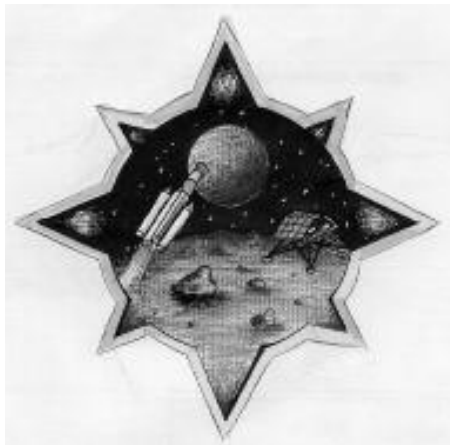
| Tier                                                                                       | Causal Factors                                                                                                                                                                                                                       | Cause                                                                                                                                                            |
|--------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>5: Senior Management<br/>(JPL Laboratory Director and Mars Program Office Director)</p> | <p>Minimal number of development staff transition to operations.</p> <p>Decision not to perform an a prior analysis of what could go wrong on the MCO.</p> <p>Limited independent testing of the ground-based SM_Forces routine.</p> | <p>Feeling that orbiting Mars is routine.</p> <p>Insular relationship with LMA prevented adequate risk assessment and mitigated against independent reviews.</p> |
| <p>4: Middle Management<br/>(Climate Orbiter Project Manager)</p>                          | <p>TCM-5 is discussed but not executed.</p>                                                                                                                                                                                          |                                                                                                                                                                  |
| <p>3: Lower Management<br/>(Flight Operations Manager/Flight Development Manager)</p>      | <p>SM_Forces routines are written using Imperial and not metric units for thruster performance.</p> <p>Decision to reject barbecue mode.</p> <p>Decision to use asymmetrical solar array.</p>                                        |                                                                                                                                                                  |

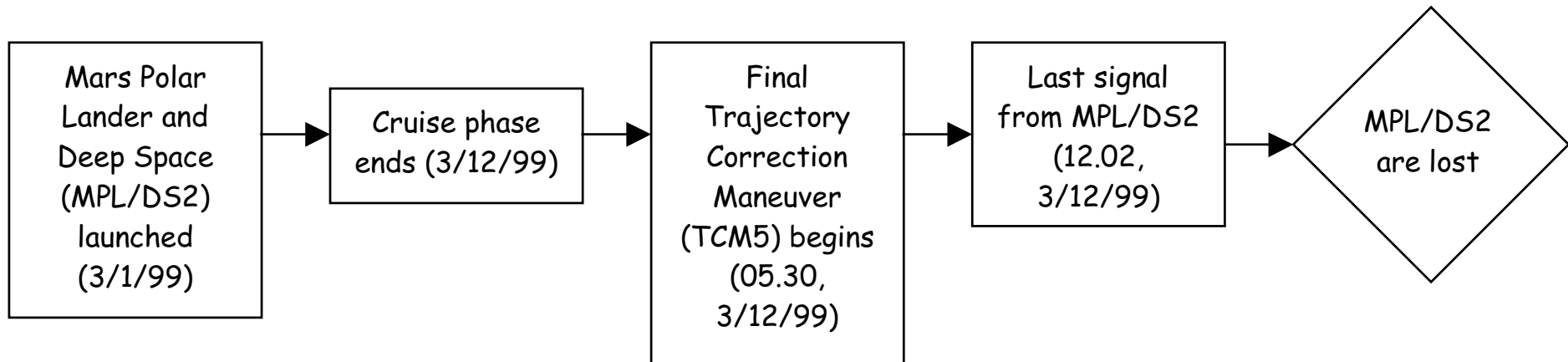
# Organisation: NASA Headquarters

| Tier                                                                                                                            | Causal Factors                                                                                                  | Cause                                                                                                                                               |
|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| 5: Senior Management<br>(Science Board of Directors)                                                                            | Project oversight problems stem from complex relationship between JPL and LMA (and NASA HQ).                    | Failure to communicate the mission implications of the Faster, Better, Cheaper strategy.                                                            |
| 4c: Middle Management<br>(Associate Administrator, Office of Science)                                                           |                                                                                                                 |                                                                                                                                                     |
| 4b: Middle Management<br>(Science Chief of Staff)                                                                               | Lack of managerial leadership in promoting responsible attitudes to Incidents, Surprises and Anomaly reporting. | Failure to communicate the importance of expressing concerns both about specific implementation issues as well as resource and management problems. |
| 4a: Middle Management<br>(Advanced Studies Division, Mission Development Division, Research and Program Management Division...) | Requirements are not passed on in sufficient detail nor are they backed by an adequate validation plan.         |                                                                                                                                                     |



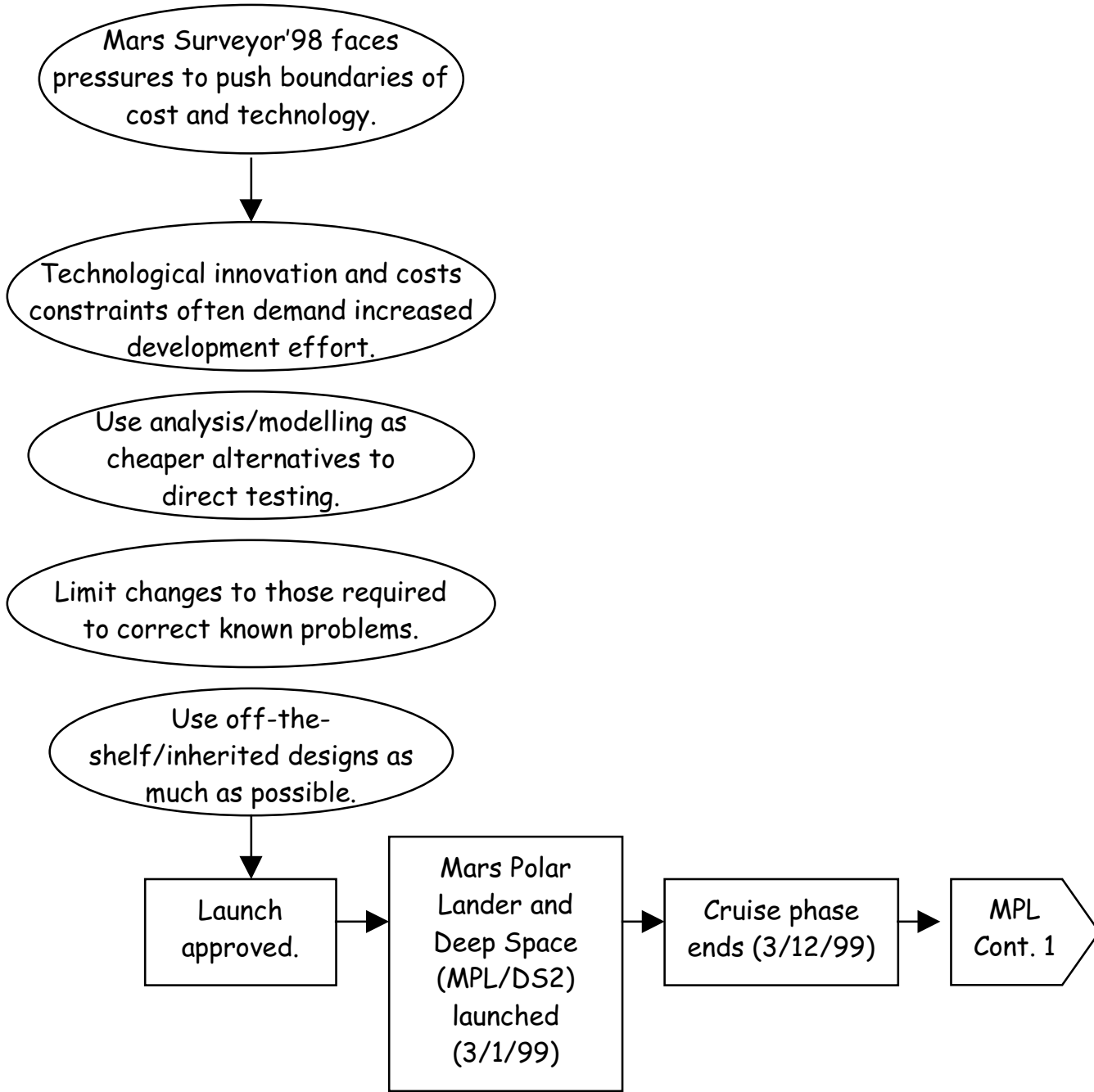
# The Mars Polar Lander





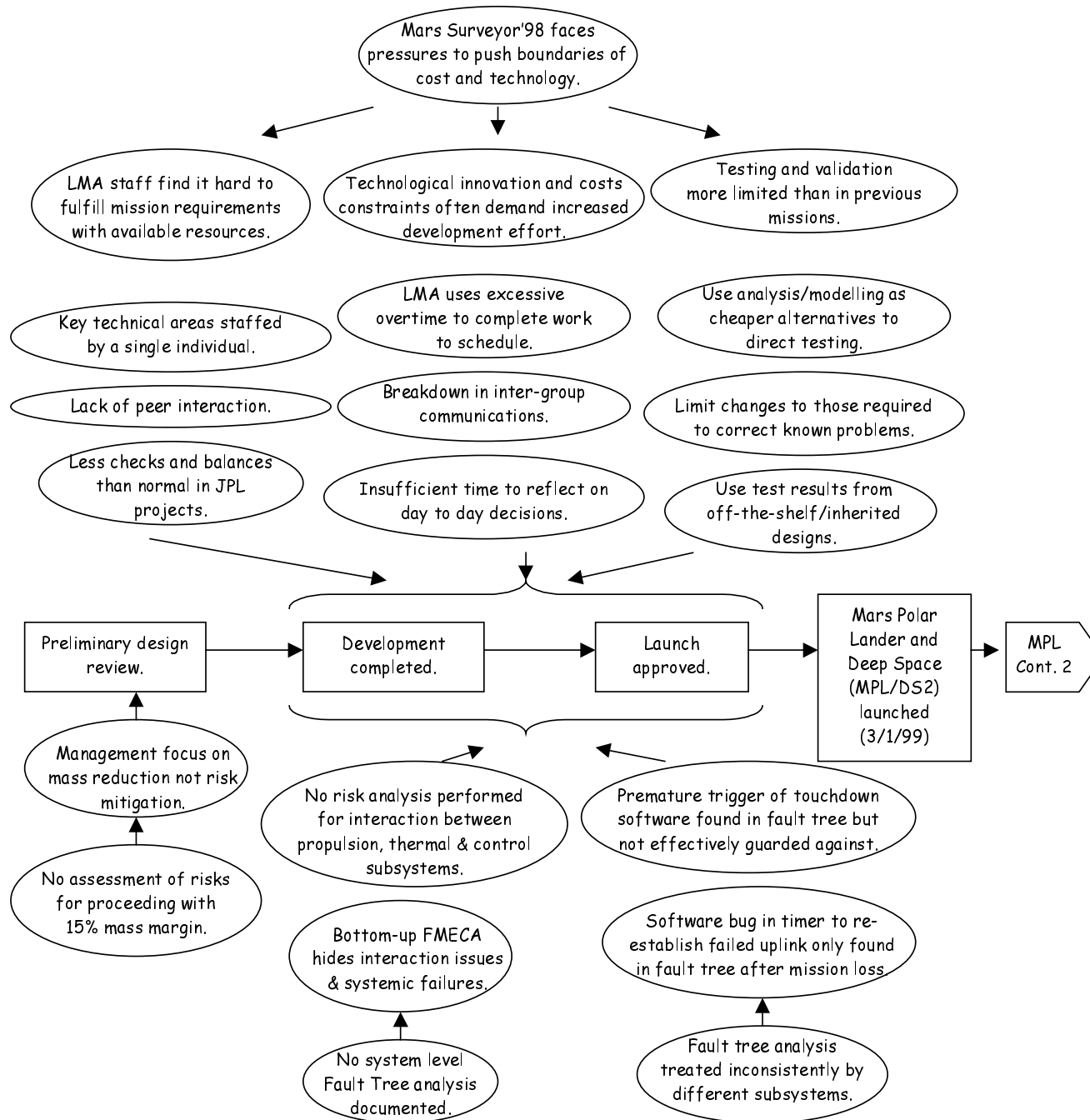
# Change Analysis

| Prior/Ideal Condition                                                                                             | Present Condition                                                               | Effect of change                                                                                                     |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| Faster, better, cheaper strategy required sufficient investment to validate high-risk technologies before launch. | Mars Surveyor'98 faces pressures to push the boundaries of technology and cost. | Greater development effort                                                                                           |
|                                                                                                                   |                                                                                 | Use of off-the-shelf hardware and inherited designs as much as possible.                                             |
|                                                                                                                   |                                                                                 | Use analysis and modelling as cheaper alternatives to system test and validation.                                    |
|                                                                                                                   |                                                                                 | Limit changes to those required to correct known problems; resist changes that do not contribute to mission success. |



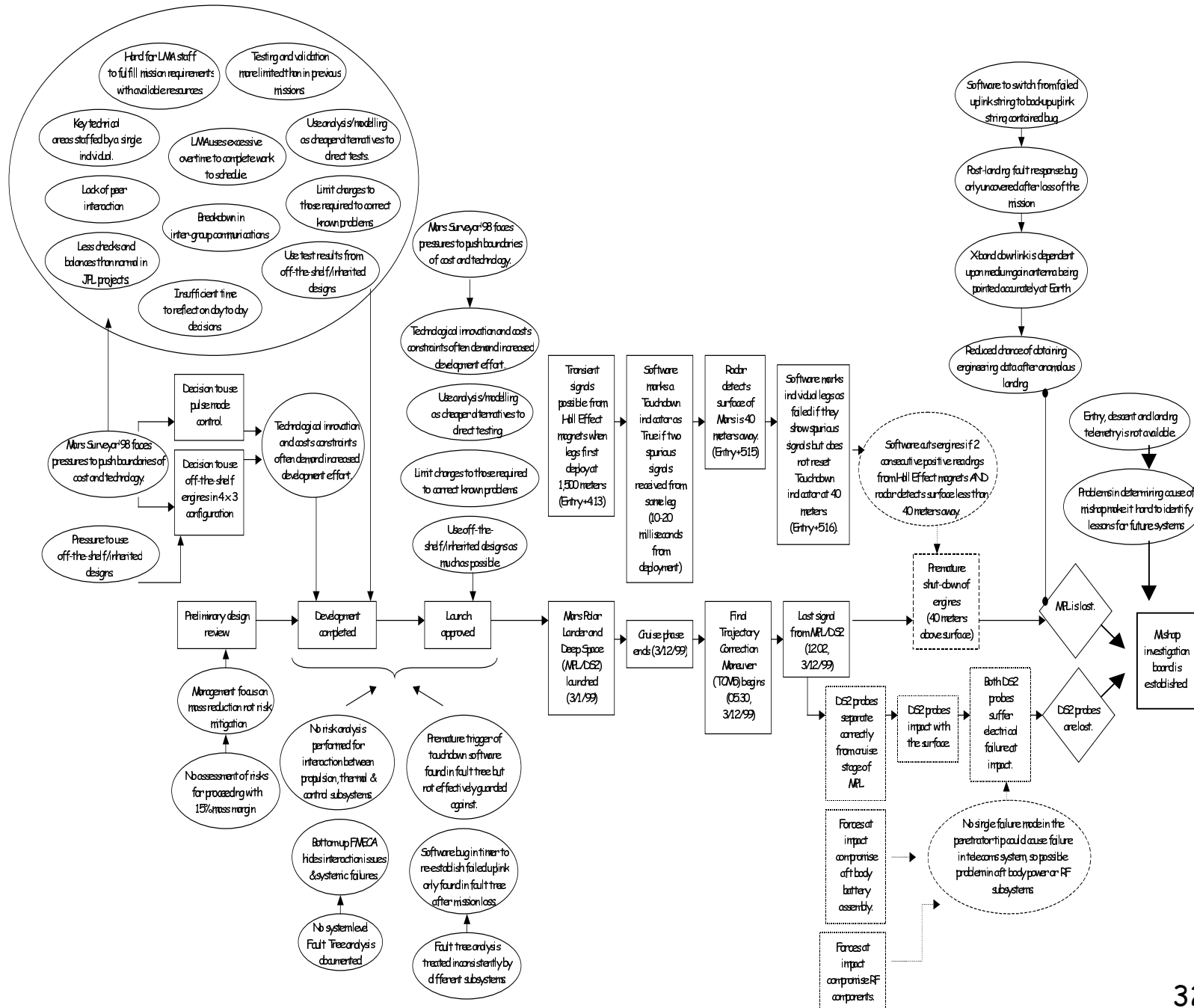
# Change Analysis

| Prior/Ideal Condition                                                 | Present Condition                                                                  | Effect of change                                                                       |
|-----------------------------------------------------------------------|------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|
| Adequate risk assessment at system level.                             | No system level fault tree analysis was formally conducted or documented.          | Bottom-up FMECA hides systemic issues and interactions.                                |
|                                                                       |                                                                                    | No risk analysis of propulsion, thermal and control system interaction.                |
| Adequate risk assessment at subsystems level                          | Fault tree analysis treated inconsistently for different subsystems.               | Bug in time up-link loss routines not found until after the failure.                   |
|                                                                       |                                                                                    | Premature trigger of touchdown sensor found in FT before EDL but not guarded against!! |
| Project management maintains explicit risk signature for the project. | No risk assessment for going beyond Prelim. Design review with 15% mass threshold. | Management focus on mass reduction not on risk reduction.                              |



# Change Analysis

| Prior/Ideal Condition                                                                              | Present Condition                                         | Effect of change                                                             |
|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------|
| Sufficient resources to validate and verify software in landed configuration.                      | Flight software not subjected to `system level' tests.    | Post-landing fault response bugs only uncovered after mission loss.          |
|                                                                                                    |                                                           | Touchdown sensor software untested with lander in flight configuration.      |
| Subsystem preliminary and critical design reviews provide independent evaluation of key decisions. | Contractors lacked necessary input from external sources. | Flight system manager chaired all subsystem reviews.                         |
|                                                                                                    |                                                           | LMA staff approve closures on actions without independent technical support. |
|                                                                                                    |                                                           | Some actions did not adequately address concerns raised by reviews.          |





# Cause-Context Summary

| Event                                                                                                          | Contextual/Causal | Justification                                                                                                                                                                                                       |
|----------------------------------------------------------------------------------------------------------------|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mishap investigation board is established.                                                                     | Contextual        | Post-incident event                                                                                                                                                                                                 |
| Premature shut-down of engines.                                                                                | Causal            | The incident would not have happened if this had been avoided.                                                                                                                                                      |
| Software marks individual legs as failed if they show spurious signals but does not reset touchdown indicator. | Causal (Barrier)  | The incident would not have happened if this had been avoided. This represents a failed barrier because the software does check for spurious signals in individual legs but does not reset the Touchdown indicator. |
| Radar detects surface of Mars is 40 meters away.                                                               | Contextual        | Normal or intended behaviour.                                                                                                                                                                                       |
| Software marks a touchdown indicator as true if two spurious signals received from the same leg.               | Contextual        | The incident would not have happened if this had been avoided. The software could have disregarded sensor values until some time after leg deployment.                                                              |
| Transient signals possible from Hall Effect magnets when legs first deploy at 1,500 meters.                    | Causal            | The incident would not have happened if this had been avoided.                                                                                                                                                      |

# Cause-Context Summary

| Event                                                         | Contextual/<br>Causal | Justification                                                                                                                                              |
|---------------------------------------------------------------|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Last signal from MPL/DS2                                      | Contextual            | Normal or intended behaviour.                                                                                                                              |
| TCM5 begins                                                   | Contextual            | Normal or intended behaviour.                                                                                                                              |
| Cruise phase ends.                                            | Contextual            | Normal or intended behaviour.                                                                                                                              |
| MPL/DS2 launch                                                | Contextual            | Normal or intended behaviour.                                                                                                                              |
| Launch approved                                               | Causal                | The incident would not have happened if this had been avoided. Could be intended behaviour but launch should not have been approved without more analysis. |
| Development completed                                         | Contextual            | Normal or intended behaviour.                                                                                                                              |
| Preliminary design review is passed.                          | Causal                | Could be normal behaviour but passing PDR without further risk management was causal                                                                       |
| Decision to use pulse-mode control,                           | Contextual            | Added complexity to development process.                                                                                                                   |
| Decision to use off-the-shelf engines in a 4x3 configuration. | Contextual            | Added complexity to development process.                                                                                                                   |

# Non-Compliance Analysis

|                   |                                                                                                                                                         |
|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| Don't Know        |                                                                                                                                                         |
| Never knew        | Poor training or a failure to disseminate regulations to the appropriate recipients.                                                                    |
| Forgot            | Individual factors, inadequate reminders or unrealistic assumptions on the part of an organisation about what can be recalled, especially under stress. |
| Didn't understand | Lack of experience or of guidance in how to apply information that has already been provided.                                                           |

|                  |                                                                                                                                 |
|------------------|---------------------------------------------------------------------------------------------------------------------------------|
| Can't comply     |                                                                                                                                 |
| Scarce resources | Often used to excuse non-compliance. Investigators must be certain that adequate resources were requested.                      |
| Impossible       | Organisations may impose contradictory constraints so that it is impossible to satisfy one regulation without breaking another. |

|                      |                                                                                                                                                                           |
|----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Won't comply         |                                                                                                                                                                           |
| No penalty/no reward | There may be no incentive to comply with a requirement and hence there may be a tendency to ignore it.                                                                    |
| Disagree             | Individuals and groups may not recognise the importance of a requirement and so may refuse to satisfy it. Local knowledge may suggest that a regulation threatens safety. |

1. Both DS2 probes suffer electrical failure at impact
2. Forces at impact compromise aft body battery.
3. Forces at impact compromise RF components
4. Premature Engine Shut-Down 40 m. from surface.
5. Software marks individual legs as failed if they show spurious signals but does not reset touchdown indicator at 40 meters (entry +5:16)
6. Transient signals possible from Hall Effect magnets when legs first deploy at 1,500 meters (Entry +4:13).
7. Launch approved.
8. Preliminary Design Review passed

"Analyses are performed early in the design of radio frequency (RF) hardware to determine hardware imposed limitations which affect radio performance. These limitations include distortion, bandwidth constraints, transfer function non-linearity, non-zero rise and fall transition time, and signal-to-noise ratio (SNR) degradation. The effects of these hardware performance impediments are measured and recorded. Performance evaluation is a reliability concern because RF hardware performance is sensitive to thermal and other environmental conditions, and reliability testing is constrained by RF temperature limitations."

- Preferred Reliability Practice PT-TE-1435 governed the verification of RF hardware within JPL from February 1996.

# Non-Compliance Analysis

| Causal Factors                             | Procedures or Regulations                                                                                                       | Compliance Failure?                                                                           |
|--------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Forces at impact compromise RF components. | Preferred reliability practice PT-TE-1435. Early validation of RF reliability under thermal and other environmental conditions. | Can't comply. RF assembly unavailable for impact testing as design changes delay development. |

"The DS2 project thought there was no alternative to accepting the absence of a flight-like RF Subsystem impact test, short of missing the MPL launch opportunity. The rationale for proceeding to launch was presented and accepted at two peer reviews and presented at three project-level reviews: Risk Assessment, Mission Readiness, and Delta Mission Readiness. The project had proceed to launch concurrence from JPL and NASA upper management."

`` NASA currently has a significant infrastructure of processes and requirements in place to enable robust program and project management, beginning with the capstone document: NASA Procedures and Guidelines 7120.5. To illustrate the sheer volume of these processes and requirements, a partial listing is provided in Appendix D. Many of these clearly have a direct bearing on mission success. This Boards review of recent project failures and successes raises questions concerning the implementation and adequacy of existing processes and requirements. If NASAs programs and projects had implemented these processes in a disciplined manner, we might not have had the number of mission failures that have occurred in the recent past.

| Causal Factors                                    | Procedures or Regulations                                                                                                              | Compliance Failure?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|---------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Forces at impact compromise RF components.</p> | <p>Preferred reliability practice PT-TE-1435. Early validation of RF reliability under thermal and other environmental conditions.</p> | <p>Can't comply.</p> <ol style="list-style-type: none"> <li>1. RF assembly unavailable for impact testing as design changes delay development.</li> <li>2. Mathematical modelling of high <i>G</i> impacts yields unreliable results.</li> </ol> <p>Won't comply.</p> <ol style="list-style-type: none"> <li>1. JPL and NASA upper management approve launch without RF impact in order for DS2 to meet launch schedule.</li> <li>2. RF subsystem components had been structurally tested and were similar to other components used in previous missions.</li> </ol> |



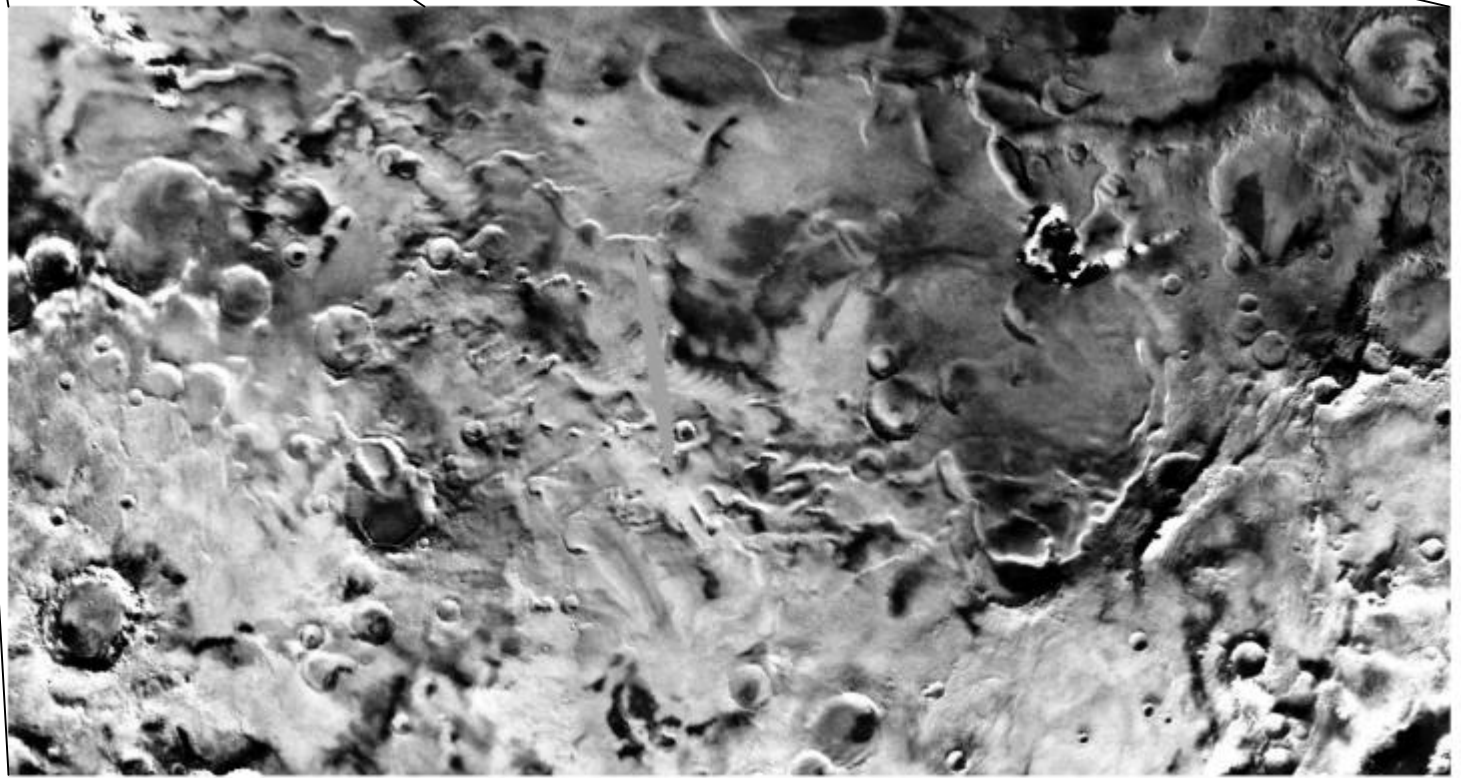
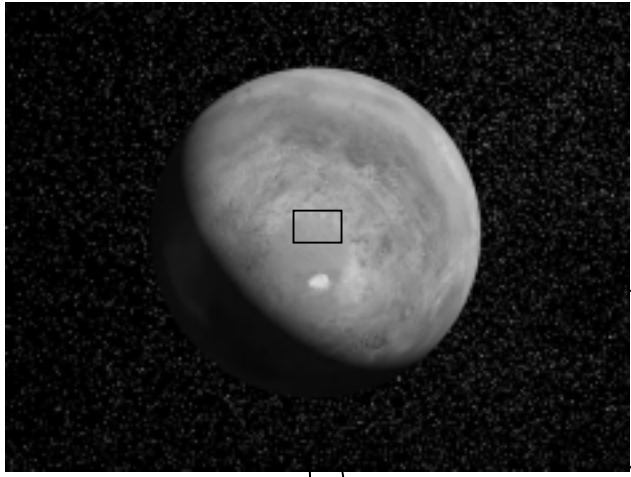
`` I told them that in my effort to empower people, I pushed too hard... and in so doing, stretched the system too thin. It wasn't intentional. It wasn't malicious. I believed in the vision... but it may have made failure inevitable. I wanted to demonstrate to the world that we could do things much better than anyone else. And you delivered -- you delivered with Mars Pathfinder... With Mars Global Surveyor... With Deep Space 1. We pushed the boundaries like never before... and had not yet reached what we thought was the limit.

Not until Mars 98.

I salute that team's courage and conviction. And make no mistake: they need not apologize to anyone. They did not fail alone. As the head of NASA, I accept the responsibility.

If anything, the system failed them."







EXCLUSIVE 19 March 2001 :

## Spy Agency May Have Located Mars Polar Lander

According to a source close to the National Imagery and Mapping Agency (NIMA) effort, photographic specialists think they've spotted something...

"If found intact, it would mean that we would have to reexamine our most probable cause of failure...It would also tell me that the 2001 lander that we built and have at the company is perfectly good. We think that anyway...so why not use that asset?"

Noel Hinners, Lockheed Martin Astronautics in Denver, Colorado.

[http://www.space.com/news/mpl\\_found\\_010319.html](http://www.space.com/news/mpl_found_010319.html)

NASA and the National Imagery and Mapping Agency (NIMA) today said researchers from the two agencies will continue a joint review of the initial results of NIMA's search for the missing Mars Polar Lander. This analysis is extremely challenging, and has thus far produced no definitive conclusions.

One of the principal challenges in locating the missing lander using images from the orbiter is that the Mars Polar Lander is only somewhat larger -- about six and a half feet across -- than the smallest objects the orbiter's camera can see on the surface of Mars.

In an initial analysis, NIMA researchers reviewed and assessed features seen in several images that they believe could be indicative of the lander and its protective aeroshell. An alternative view presented by NASA is that these features could be noise introduced by the camera system, so further work between NASA and NIMA will be conducted to address differences of interpretation.

Donald Savage, Headquarters, Washington, DC, March 26, 2001

Jennifer Lafley, National Imagery and Mapping Agency, Bethesda, MD