

On the Relative Expressiveness of Higher-Order Session Processes¹

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Expressiveness of Higher-Order Sessions

- ▶ The HO π , higher order session typed π -calculus
- ▶ Combines the π -calculus and the λ -calculus
- ▶ Bridge the gap between processes and functions
- ▶ Expressiveness in the presence of session types
- ▶ The encodings are *forced* to follow session typed properties

The λ and the π

λ

π

$$\lambda x. N m \longrightarrow N\{m/x\} \quad n?(x).P \mid n!\langle m \rangle.0 \longrightarrow P\{m/x\}$$

$$\lambda x. N M \longrightarrow N\{M/x\} \quad ?$$

$$? \quad n?(x).P \mid n!\langle m \rangle.0 \mid Q \longrightarrow P\{m/x\} \mid Q$$

The π -calculus - Syntax

n ::= $a, b \mid s, \bar{s}$ $u, w ::= n \mid x, y, z$

$V, W ::= n \mid x$

$P, Q ::= u?(x).P \mid u!(V).P \mid u\rhd\{I_i : P_i\}_{i \in I}$
| $u\rhd I.P \mid P \mid Q \mid (\nu n)P \mid \mathbf{0}$
| $X \mid \mu X.P$

The HO-calculus - Syntax

$n \quad ::= \quad a, b \quad | \quad s, \bar{s}$ $u, w \quad ::= \quad n \quad | \quad x, y, z$

$V, W \quad ::= \quad x \quad | \quad \lambda x. P$

$P, Q \quad ::= \quad u?(x).P \quad | \quad u! \langle V \rangle .P \quad | \quad u \triangleright \{I_i : P_i\}_{i \in I}$
 $| \quad u \triangleleft I.P \quad | \quad P \mid Q \quad | \quad (\nu n)P \quad | \quad \mathbf{0}$
 $| \quad V u$

The HO π -calculus - Syntax

$$n ::= a, b \mid s, \bar{s} \qquad u, w ::= n \mid x, y, z$$
$$V, W ::= n \mid x \mid \lambda x. P$$
$$\begin{aligned} P, Q ::= & u?(x).P \mid u!(V).P \mid u\rhd\{I_i : P_i\}_{i \in I} \\ & \mid u\lhd I.P \mid P \mid Q \mid (\nu n)P \mid \mathbf{0} \\ & \mid X \mid \mu X. P \mid V u \end{aligned}$$

The HO π typing system

$C ::= S \mid \langle S \rangle \mid \langle L \rangle$

$L ::= C \rightarrow \diamond \mid C - \diamond$

$U ::= C \mid L$

$S ::= !\langle U \rangle; S \mid ?(U); S \mid \oplus \{I_i : S_i\}_{i \in I} \mid \&\{I_i : S_i\}_{i \in I}$
| $\mu t. S \mid t \mid \text{end}$

Γ : Shared environment

Λ, Δ : Linear environments

Typing Judgements:

$\Gamma; \Lambda; \Delta \vdash P \triangleright \diamond$

$\Gamma; \Lambda; \Delta \vdash V \triangleright U$

The HO π labelled transitions semantics

Definition (Labelled Transition Semantics)

[APP]

$$\frac{}{\lambda x. P \ n \xrightarrow{\tau} P\{n/x\}}$$

[OUT]

$$\frac{}{n! \langle V \rangle . P \xrightarrow{n! \langle V \rangle} P}$$

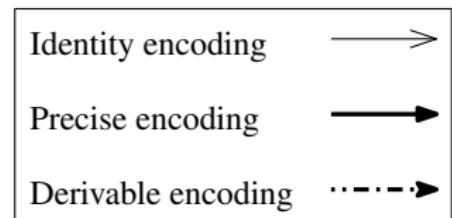
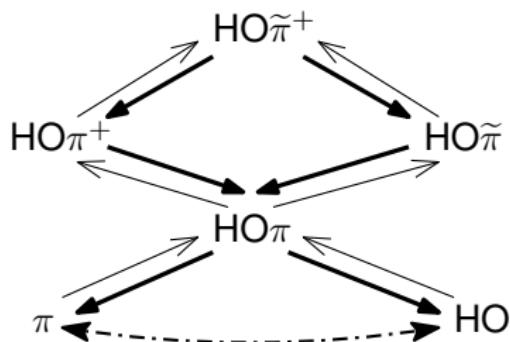
...

$$[\text{TAU}] \quad \frac{P \xrightarrow{\ell_1} P' \quad Q \xrightarrow{\ell_2} Q' \quad \ell_1 \asymp \ell_2}{P \mid Q \xrightarrow{\tau} (\nu \text{bn}(\ell_1) \cup \text{bn}(\ell_2))(P' \mid Q')}$$

Definition (Typed Labelled Transition Semantics)

If $P \xrightarrow{\ell} P'$ and $(\Gamma, \Delta) \xrightarrow{\ell} (\Gamma, \Delta')$ then $\Gamma; \emptyset; \Delta \vdash P \xrightarrow{\ell} \Delta' \vdash P'$.

Expressiveness in the HO π



Encodability in Higher-Order Sessions. (Precise encoding is defined later)

Why typed expressiveness?

Let (untyped) mapping $[\cdot] : \text{HO}\pi \rightarrow \text{HO}$ such that:

$$[s!(n).P] \stackrel{\text{def}}{=} s?(x).([P] \mid x n)$$

$$[s?(n).Q] \stackrel{\text{def}}{=} s!(\lambda x. [Q]).\mathbf{0}$$

Semantic correspondence:

$$s!(n).P \mid s?(n).Q \longrightarrow P \mid Q\{n/x\}$$

$$\begin{aligned} [s!(n).P \mid s?(n).Q] &\stackrel{\text{def}}{=} s?(x).([P] \mid x n) \mid s!(\lambda x. [Q]).\mathbf{0} \\ &\longrightarrow [P] \mid \lambda x. [Q] n \\ &\longrightarrow [P] \mid [Q]\{n/x\} \end{aligned}$$

Why typed expressiveness?

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$$[s?(n).Q] \stackrel{\text{def}}{=} s!(\lambda x. [Q]).\mathbf{0}$$

The session type behaviour is reversed:

$$\Gamma_1; \emptyset; \Delta_1 \cdot s : !\langle U_1 \rangle; S_1 \vdash P \triangleright \diamond$$

$$\Gamma_2; \emptyset; \Delta_2 \cdot s : ?\langle U_2 \rangle; S_2 \vdash [P] \triangleright \diamond$$

Need encoding criteria for behaviour preservation.

Typed Encoding

Definition (Typed Calculus)

A typed calculus \mathcal{L} is a tuple $\langle \mathbf{C}, \mathcal{T}, \longrightarrow, \approx, \vdash \rangle$.

Definition (Typed Encoding)

Let:

- ▶ $\mathcal{L}_i = \langle \mathbf{C}_i, \mathcal{T}_i, \longrightarrow_i, \approx_i, \vdash_i \rangle \quad i \in 1, 2.$
- ▶ $[\cdot] : \mathbf{C}_1 \rightarrow \mathbf{C}_2$
- ▶ $\langle \cdot \rangle : \mathcal{T}_1 \rightarrow \mathcal{T}_2$

We write:

$$\langle [\cdot], \langle \cdot \rangle \rangle : \mathcal{L}_1 \rightarrow \mathcal{L}_2$$

for the type encoding of \mathcal{L}_1 into \mathcal{L}_2 .

Encoding Criteria - Syntax Preservation

Definition (Syntax Preservation)

Typed encoding $\langle[\cdot], (\cdot)\rangle : \mathcal{L}_1 \rightarrow \mathcal{L}_2$ is *syntax preserving* whenever:

1. *Homomorphic wrt parallel*, if $(\{\Gamma\}; \emptyset; (\Delta_1 \cdot \Delta_2)) \vdash_2 [P_1 \mid P_2] \triangleright \diamond$
then $(\{\Gamma\}; \emptyset; (\Delta_1) \cdot (\Delta_2)) \vdash_2 [P_1] \mid [P_2] \triangleright \diamond$.
2. *Compositional wrt restriction*, if $(\{\Gamma\}; \emptyset; (\Delta)) \vdash_2 [(\nu n)P] \triangleright \diamond$
then $(\{\Gamma\}; \emptyset; (\Delta)) \vdash_2 (\nu n)[P] \triangleright \diamond$.
3. *Name invariant*, if $(\sigma(\{\Gamma\}); \emptyset; (\sigma(\Delta))) \vdash_2 [\sigma(P)] \triangleright \diamond$ then
 $\sigma((\{\Gamma\}); \emptyset; \sigma((\Delta))) \vdash_2 \sigma([P]) \triangleright \diamond$, for any injective renaming of names σ .

Encoding Criteria - Type Preservation

Definition (Type Preservation)

The typed encoding $\langle[\cdot], \langle\cdot\rangle\rangle : \mathcal{L}_1 \rightarrow \mathcal{L}_2$ is typed preserving if for every k -ary type op operator in \mathcal{T}_1 it holds that:

$$\langle\text{op}(T_1, \dots, T_k)\rangle = \text{op}(\langle T_1 \rangle, \dots, \langle T_k \rangle)$$

Example

Let mapping $\langle\cdot\rangle : \mathcal{T}_1 \rightarrow \mathcal{T}_2$ that includes definition:

$$\langle !\langle U \rangle; T \rangle \stackrel{\text{def}}{=} ?(\langle U \rangle); \langle T \rangle$$

Then $\langle\cdot\rangle$ is not typed preserving.

Encoding Criteria - Semantic Preservation

Definition (Semantic Preservation)

Typed Encoding $\langle[\cdot], \langle\cdot\rangle\rangle : \mathcal{L}_1 \rightarrow \mathcal{L}_2$ is *semantic preserving* if:

- ▶ *Type Soundness*,
if $\Gamma; \emptyset; \Delta \vdash_1 P \triangleright \diamond$ then $\langle\Gamma\rangle; \emptyset; \langle\Delta\rangle \vdash_2 [P] \triangleright \diamond$
- ▶ *Barb Preserving*,
if $\Gamma, \emptyset; \Delta \vdash_1 P \downarrow_n$ then $\langle\Gamma\rangle, \emptyset; \langle\Delta\rangle \vdash_1 [P] \Downarrow_n$
- ▶ *Operational Correspondence*, if $\Gamma; \emptyset; \Delta \vdash_1 P \triangleright \diamond$ then
 - ▶ *Complete*, if $\Gamma; \emptyset; \Delta \vdash_1 P \longrightarrow \Gamma; \emptyset; \Delta' \vdash_1 P'$ then $\exists Q, \Delta''$ such that $\langle\Gamma\rangle; \emptyset; \langle\Delta\rangle[P] \longrightarrow^* \langle\Gamma\rangle; \emptyset; \langle\Delta''\rangle \vdash_2 Q$ and $\langle\Gamma\rangle; \emptyset; \langle\Delta''\rangle \vdash_2 Q \approx_2 \langle\Delta'\rangle \vdash_2 [P']$.
 - ▶ *Sound*, if $\langle\Gamma\rangle; \emptyset; \langle\Delta\rangle[P] \longrightarrow^* \langle\Gamma\rangle; \emptyset; \langle\Delta''\rangle \vdash_2 Q$ then $\exists \Delta', P'$ such that $\Gamma; \emptyset; \Delta \vdash_1 P \longrightarrow \Gamma; \emptyset; \Delta' \vdash_1 P'$ and $\langle\Gamma\rangle; \emptyset; \langle\Delta''\rangle \vdash_2 Q \approx_2 \langle\Delta'\rangle \vdash_2 [P']$
- ▶ *Full Abstraction*,
 $\Gamma; \emptyset; \Delta \vdash_1 P \approx_1 \Delta' \vdash_1 Q$ iff $\langle\Gamma\rangle; \emptyset; \langle\Delta\rangle \vdash_2 [P] \approx_2 \langle\Delta'\rangle \vdash_2 [Q]$

Encoding Criteria: Precise Encoding

Definition (Precise Encoding)

A typed encoding is called *precise* if it is syntax preserving, type preserving, and semantic preserving.

Definition (Minimal Encoding)

A typed encoding is called *minimal* if it is parallel homomorphic, barb preserving, and operationally complete.

Encoding: HO π \rightarrow HO

Let $([], \langle \cdot \rangle) : \text{HO}\pi \rightarrow \text{HO}$ such that:

$$[u!(w).P]_f \stackrel{\text{def}}{=} u!(\lambda z. (z?(x).x w)).[P]$$

$$[u?(x).P]_f \stackrel{\text{def}}{=} u?(y).(\nu s)(ys \mid \bar{s}!(\lambda x.[P]).0)$$

$$\langle !\langle S \rangle; S' \rangle \stackrel{\text{def}}{=} !\langle ?(\langle S \rangle - \infty); \text{end} \rangle; \langle S' \rangle$$

$$\langle ?(S); S' \rangle \stackrel{\text{def}}{=} ?(\langle ?(\langle S \rangle - \infty); \text{end} \rangle; \langle S' \rangle)$$

Pack a name w into abstraction $\lambda z. (z?(x).x w)$

Unpack and substitute using process passing and application.

Typing mapping lifts the type of the name by an order.

Encoding: HO π \rightarrow HO

Let $([], \langle \cdot \rangle) : \text{HO}\pi \rightarrow \text{HO}$ such that:

$$[\mu X.P]_f \stackrel{\text{def}}{=} (\nu s)(\bar{s}! \langle \lambda(\|\tilde{n}\|, y). y?(z_X). \llbracket [P]_{f, \{X \rightarrow \tilde{n}\}} \rrbracket_\emptyset \rangle. \mathbf{0} | s?(z_X). [P]_{f, \{X \rightarrow \tilde{n}\}}) \quad (\tilde{n} = \text{fn}(P))$$

$$[X]_f \stackrel{\text{def}}{=} (\nu s)(z_X(\tilde{n}, s) | \bar{s}! \langle \lambda(\|\tilde{n}\|, y). z_X(\|\tilde{n}\|, y) \rangle. \mathbf{0}) \quad (\tilde{n} = f(X))$$

$$\langle \Gamma \cdot X : \Delta \rangle \stackrel{\text{def}}{=} \langle \Gamma \rangle \cdot z_X : (\tilde{S}, \mu \text{t}.?(\tilde{S}, \text{t} \multimap \diamond); \text{end}) \multimap$$

Recursion is encoded into a higher order session typed replicator.

Type encoding reveals a non-tail recursive type.

Encoding: $\text{HO}\pi \rightarrow \pi$

Let $([\cdot], \langle \cdot \rangle) : \text{HO}\pi \rightarrow \pi$ such that

$$[n! \langle \lambda x. Q \rangle . P] \stackrel{\text{def}}{=} (\nu a)(n! \langle a \rangle . [P] \mid * \ a? (y). y? (x). [Q]) \quad s \notin \text{fn}(Q)$$

$$[n! \langle \lambda x. Q \rangle . P] \stackrel{\text{def}}{=} (\nu a)(n! \langle a \rangle . [P] \mid a? (y). y? (x). [Q]) \quad s \in \text{fn}(Q)$$

$$[x \ u] \stackrel{\text{def}}{=} (\nu s)(x! \langle s \rangle . \bar{s}! \langle u \rangle . \mathbf{0})$$

$$[\lambda x. P \ u] \stackrel{\text{def}}{=} (\nu s)(s? (x). [P] \mid \bar{s}! \langle u \rangle . \mathbf{0})$$

$$\langle ! \langle S_1 - \infty \rangle ; S_2 \rangle \stackrel{\text{def}}{=} ! \langle ?(\langle S_1 \rangle); \text{end} \rangle; \langle S_2 \rangle$$

$$\langle ?(S_1 - \infty); S_2 \rangle \stackrel{\text{def}}{=} ?(\langle ?(S_1) \rangle; \text{end}); \langle S_2 \rangle$$

Negative Result: $\text{HO}\pi \not\rightarrow \text{HO}\pi^{-\text{sh}}$

Definition

Let $\text{HO}\pi^{-\text{sh}}$ be the $\text{HO}\pi$ without shared names.

Lemma (Determinacy)

Let P a $\text{HO}\pi^{-\text{sh}}$ process. If $P \longrightarrow^* P'$ then $P \approx P'$ (only session transitions).

Theorem

There exists no typed, minimal encoding $\langle[\cdot], (\cdot)\rangle : \text{HO}\pi \rightarrow \text{HO}\pi^{-\text{sh}}$.

Proof (Sketch).

Let $\langle[\cdot], (\cdot)\rangle : \text{HO}\pi \rightarrow \text{HO}\pi^{-\text{sh}}$ and $P = a!(s).\mathbf{0} \mid a?(x).n!\langle l_1 \rangle.\mathbf{0} \mid a?(x).\mathbf{0}$.

$$P \longrightarrow S_1 \downarrow_n \text{ implies } [S_1] \Downarrow_n \quad (\text{barb preservation}) \quad (1)$$

$$P \longrightarrow S_2 \Downarrow_n \quad (2)$$

From operational completeness $[P] \longrightarrow^* [S_1]$ and $[P] \longrightarrow^* [S_2]$, so

$$[S_1] \approx [S_2] \quad (\text{determinacy}) \quad (3)$$

(1) and (3) implies $[S_2] \Downarrow_n$, which contradicts with (2). □

Extensions - The HO π^+ -calculus

$$\begin{array}{lcl} n & ::= & a, b \mid s, \bar{s} \qquad u, w ::= n \mid x, y, z \\ V, W & ::= & u \mid \lambda x. P \\ P, Q & ::= & u?(x).P \mid u!(V).P \mid u\triangleright\{I_i : P_i\}_{i \in I} \\ & \mid & u\triangleleft I.P \mid P \mid Q \mid (\nu n)P \mid \mathbf{0} \\ & \mid & X \mid \mu X. P \mid \boxed{V \ W} \end{array}$$

$$\begin{array}{ll} [\lambda x. P \ \lambda y. Q] & \stackrel{\text{def}}{=} (\nu s)(s?(x).[P] \mid \bar{s}!(\lambda y.[Q]).\mathbf{0}) \\ \langle L \rightarrow \diamond \rangle & \stackrel{\text{def}}{=} (?(L); \text{end}) \rightarrow \diamond \end{array}$$

Extensions - The HO $\tilde{\pi}$ -calculus

$$\begin{array}{lcl} n & ::= & a, b \mid s, \bar{s} \\ & & u, w ::= n \mid x, y, z \\ V, W & ::= & \boxed{\tilde{u} \mid \lambda \tilde{x}. P} \\ P, Q & ::= & u?(x).P \mid u!(V).P \mid u \triangleright \{I_i : P_i\}_{i \in I} \\ & \mid & u \triangleleft I.P \mid P \mid Q \mid (\nu n)P \mid \mathbf{0} \\ & \mid & X \mid \mu X.P \mid V u \end{array}$$

$$\begin{array}{lll} [\lambda \tilde{x}. P \ \tilde{u}] & \stackrel{\text{def}}{=} & (\nu s)(s?(\tilde{x}).[P] \mid \bar{s}?(\tilde{u}).\mathbf{0}) \\ (\tilde{C} \rightarrow \diamond) & \stackrel{\text{def}}{=} & (?(\tilde{C}); \text{end}) \rightarrow \diamond \\ [s!(\tilde{n}).P] & \stackrel{\text{def}}{=} & s!(n_1).s!(n_2) \dots [P] \\ (\langle !(\tilde{U}); S \rangle) & \stackrel{\text{def}}{=} & !(\langle U_1 \rangle); !(\langle U_2 \rangle); \dots \langle S \rangle \end{array}$$

Extensions - The HO $\widetilde{\pi}^+$ -calculus

$$\begin{array}{lclclcl} n & ::= & a, b \mid s, \bar{s} & & u, w & ::= & n \mid x, y, z \\ V, W & ::= & \boxed{\tilde{u} \mid \lambda \tilde{x}. P} & & & & \\ P, Q & ::= & u?(x).P \mid u!(V).P \mid u\rhd \{I_i : P_i\}_{i \in I} \\ & \mid & u\lhd I.P \mid P \mid Q \mid (\nu n)P \mid \mathbf{0} \\ & \mid & X \mid \mu X.P \mid \boxed{V \ W} \end{array}$$

Comparing HO and π

Definition (Labelled Correspondence)

Assume $\mathcal{L}_i = \langle C_i, T_i, \xrightarrow{\ell_i}, \approx_i, \vdash_i \rangle$, encoding $\langle[\cdot], (\cdot)\rangle : \mathcal{L}_1 \rightarrow \mathcal{L}_2$, and $\{\!\!\{\cdot\}\!\!\} : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ with $\ell_i \in \mathcal{A}_i$. If $\Gamma; \emptyset; \Delta \vdash_1 P \triangleright \diamond$ then

- ▶ *Complete*, if $\Gamma; \emptyset; \Delta \vdash_1 P \xrightarrow{\ell} \Gamma; \emptyset; \Delta' \vdash_1 P'$ then $\exists Q, \Delta''$ such that $(\Gamma); \emptyset; (\Delta)[P] \xrightarrow{\{\!\!\{\ell\}\!\!\}} (\Gamma); \emptyset; (\Delta'') \vdash_2 Q$ and $(\Gamma); \emptyset; (\Delta'') \vdash_2 Q \approx_2 (\Delta') \vdash_2 [P']$.
- ▶ *Sound*, if $(\Gamma); \emptyset; (\Delta)[P] \xrightarrow{\{\!\!\{\ell\}\!\!\}} (\Gamma); \emptyset; (\Delta'') \vdash_2 Q$ then $\exists \Delta', P'$ such that $\Gamma; \emptyset; \Delta \vdash_1 P \xrightarrow{\ell} \Gamma; \emptyset; \Delta' \vdash_1 P'$ and $(\Gamma); \emptyset; (\Delta'') \vdash_2 Q \approx_2 (\Delta') \vdash_2 [P']$

Definition (Tight Encoding)

An encoding $\langle[\cdot], (\cdot)\rangle : \mathcal{L}_1 \rightarrow \mathcal{L}_2$ is *tight* if it is precise and for some $\{\!\!\{\cdot\}\!\!\} : \mathcal{A}_1 \rightarrow \mathcal{A}_2$ it satisfies labelled correspondence.

Comparing HO and π

- ▶ Encoding $\langle[\cdot]_f, (\cdot)\rangle : \text{HO}\pi \rightarrow \text{HO}$ is tight
- ▶ Encoding $\langle[\cdot], (\cdot)\rangle : \text{HO}\pi \rightarrow \pi$ is not tight
- ▶ Encoding $\langle[\cdot], (\cdot)\rangle : \text{HO}\pi \rightarrow \pi$ often creates the garbage process
 $* a?(y).y?(x).[Q]$
- ▶ The HO-calculus *includes* (most of) the λ -calculus
- ▶ The π -calculus needs to encode the λ -calculus.
- ▶ But sending names is more *economical* than sending processes

Summary

- ▶ Expressiveness results for higher-order process calculi in the presence of (session) types
- ▶ New encoding criteria: behaviour preservation
- ▶ Precise and tight encodings: syntactic, typing, semantic preservation
- ▶ The HO is a minimal higher-order process calculus with higher order message passing and name abstraction/application that can *tightly* express name passing and recursion
- ▶ Minimal Encoding: negative results - cannot encode shared names into session names