

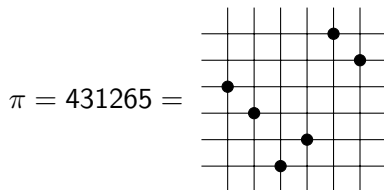
## Struct: Finding Structure in Permutation Sets

Michael Albert, *Christian Bean*, Anders Claesson, Bjarki Ágúst Guðmundsson, Tómas Ken Magnússon and Henning Ulfarsson

April 26th, 2016

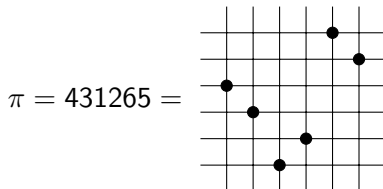
# Classical Patterns

What is a permutation?

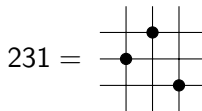
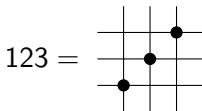


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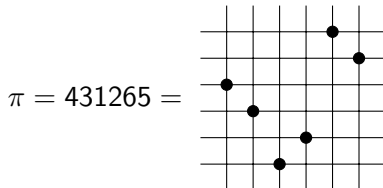


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What is a classical pattern?

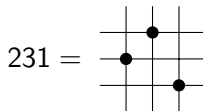
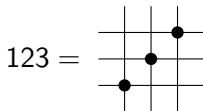


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So  $\pi$  *contains* 123 but  $\pi$  *avoids* 231.

# Permutation Classes

Let  $B$  be a set of patterns then define the set  $\text{Av}(B)$  to be all permutations that avoid each  $\pi \in B$ .

These sets are called *permutation classes* and  $B$  is called the *basis*.

# Permutation Classes

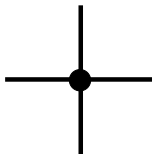
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**Question:** Given a basis  $B$  can we find a structure for  $Av(B)$ ?

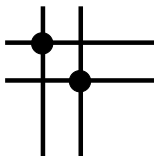
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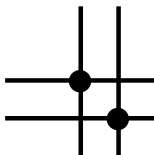
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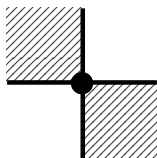
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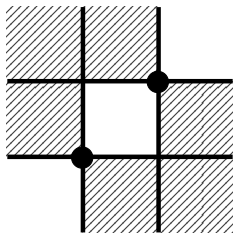
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$$\sum_{n \geq 0} a_n x^n = 1 + x + x^2 + x^3 + \dots = \frac{1}{1-x}.$$

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So we can read the generating function as

$$F = 1 + x \cdot F$$

which upon rearranging gives

$$F = \frac{1}{1-x}.$$

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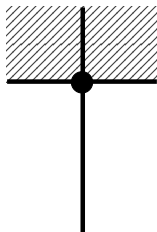
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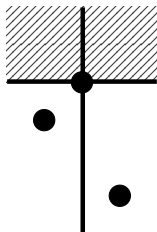
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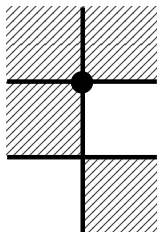
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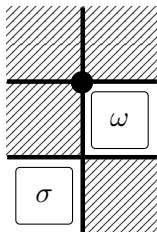
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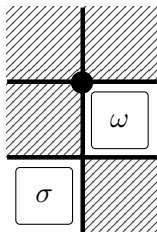
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Hence these are counted by the Catalan numbers and have the generating function  $C = 1 + x \cdot C^2$ .

# Implementation

Although still under development, the algorithm is available at GitHub: <https://github.com/PermutaTriangle/PermStruct>

The algorithm consists of four stages.

- Find building sets.
- Generate rules.
- Generate permutation sets from rules.
- Find a cover.

# Building Sets

What are our building sets for  $\text{Av}(B)$ ?

Define the set  $A_\pi$  to be the set of all patterns contained in a permutation  $\pi$ . If we take a subset,  $S \subseteq \bigcup_{\pi \in B} A_\pi$  that satisfies the condition that  $S \cap A_\pi$  is non-empty for each  $\pi \in B$ , then we see that  $\text{Av}(S) \subseteq \text{Av}(B)$ .

These subsets  $\text{Av}(S)$  are the building sets used by Struct.

# Generate Rules and Sets For Rules

A rule is an  $n \times m$  grid with entries from our building sets.

$A_1$	$A_2$	$A_3$	$A_4$
$A_5$	$A_6$	$A_7$	$A_8$
$A_9$	$A_{10}$	$A_{11}$	$A_{12}$



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Where each  $A_i$  is a building set.

We generate the permutations by inflating.

# Wilf-Equivalent

Sometimes permutation classes are enumerated by the same numbers. For example

$$|Av_n(123)| = |Av_n(231)|.$$

We say that these permutation classes are *Wilf-Equivalent*.

# Big Bases

Given a basis  $B \subseteq \mathcal{S}_4$  that is "big", we run Struct on  $B$ .

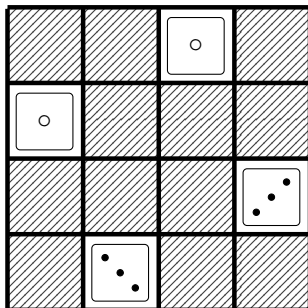
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Given a basis  $B \subseteq \mathcal{S}_4$  that is "big", we run Struct on  $B$ .

For all such bases such that  $|B| > 12$ , Struct found a structure.  
These covers were verified for length 10 permutations.

# Peg Permutations

For example  $3^\circ 1^- 4^\circ 2^+$  is given by the struct rule



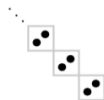
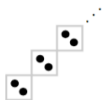
# Polynomial Classes

By combining results from Huczynska and Vatter [3] and Albert et al. [1] we get the following theorem

## Theorem (Homerger and Vatter [2])

*For a permutation class  $\mathcal{C}$  the following are equivalent:*

- (1) *The number of length  $n$  permutations,  $|\mathcal{C}_n|$ , is given by a polynomial for all sufficiently large  $n$ ,*
- (2)  *$|\mathcal{C}_n| < F_n$ , the  $n^{\text{th}}$  Fibonacci number, for some  $n$ ,*
- (3)  *$\mathcal{C}$  does not contain arbitrary long permutation of any of the forms shown below (or any symmetries),*
- (4)  *$\mathcal{C} = \text{Grid}(G)$  for a finite set  $G$  of peg permutations.*



# Bibliography

- [1] Michael H. Albert, M. D. Atkinson, Mathilde Bouvel, Nik Ruškuc, and Vincent Vatter, *Geometric grid classes of permutations*, Trans. Amer. Math. Soc. **365** (2013), no. 11, 5859–5881.
- [2] Cheyne Homberger and Vincent Vatter, *On the effective and automatic enumeration of polynomial permutation classes*, J. Symbolic Comput. **76** (2016), 84–96.
- [3] Sophie Huczynska and Vincent Vatter, *Grid classes and the Fibonacci dichotomy for restricted permutations*, Electron. J. Combin. **13** (2006), no. 1, Research Paper 54, 14 pp. (electronic).
- [4] D.E. Knuth, *The art of computer programming. Vol. 3*, Addison-Wesley, Reading, MA, 1998. Sorting and searching, Second edition.