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Spatial and Behavioural types:  
safety, liveness and decidability

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# Outline

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- 1 Introduction
- 2 Processes, types and formulae
- 3 The local and the global systems
- 4 Decidability
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Need to control the usage of (new) names in pi-calculus

**Spatial Logic:** suitable to

- analyze properties of systems
- describe the spatial structure of processes
- reason on distribution and concurrency

**Behavioral types:** combines static analysis and model checking

- abstract (the behavior of) processes
- simplify the analysis of concurrent message-passing processes
- properties are checked against types
- E.g. in [Igarashi, Kobayashi'01]
  - processes = pi-calculus, types = CCS
  - (global) invariant safety properties are considered

Introduce a type system where

- processes and types share the same “shallow” spatial structure
- each block of declared names is annotated with a SL formula
- type safety: restricted processes are guaranteed to satisfy precise properties on bound names

Benefits

- properties not limited to safety invariants
- compositionality: only relevant names are considered when checking properties

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*Pi-calculus with replicated input and guarded summation:*

Prefixes	$\alpha ::= a(\tilde{b})$	Input
	$\bar{a}(\tilde{b})$	Output
	$\tau$	Silent prefix
Processes	$P ::= \sum_{i \in I} \alpha_i.P_i$	Guarded summation
	$P P$	Parallel composition
	$(\nu \tilde{b})P$	Restriction
	$!a(\tilde{b}).P$	Replicated input



*CCS with replicated input and guarded summation:*

Prefixes  $\mu ::= a \mid \bar{a} \mid \tau$

Process types	$T ::= \sum_i \mu_i.T_i$	Guarded summation
	$\mid T \mid T$	Parallel composition
	$\mid (\nu \tilde{a})T$	Restriction
	$\mid !a.T$	Replicated input

Channel types  $t ::= (\tilde{x} : \tilde{t})T$

## Shallow Logic (SL): examples of formulae

**shallow** = input and output barbs are not followed by a continuation

Race freedom:

$$NoRace(a) \triangleq \Box^* \neg H^*(\bar{a}|\bar{a})$$

Unique receptiveness:

$$UniRec(a) \triangleq \Box^* (a \wedge \neg H^*(a|a))$$

Responsiveness:

$$Resp(a) \triangleq \Box^*_{-a} \Diamond^* \langle a \rangle$$

Deadlock freedom:

$$DeadFree(a) \triangleq \Box^* [ (\bar{a} \rightarrow H^*(\bar{a}|\Diamond^* a)) \wedge (a \rightarrow H^*(a|\Diamond^* \bar{a})) ]$$

# Well-annotated processes

$$P ::= \dots \mid (\nu \tilde{a} : \tilde{t}; \phi)P \quad \text{with} \quad \text{fn}(\phi) \subseteq \tilde{a}$$

with  $\phi$  a shallow logic formula

## Definition (well-annotated processes)

A process  $P \in \mathcal{P}$  is *well-annotated* if whenever  $P \equiv (\tilde{\nu} \tilde{b})(\nu \tilde{a} : \phi)Q$  then  $Q \models \phi$ .

## Remark: a “weakening” property of SL

### Lemma

In Shallow Logic  $\forall B$  with  $\text{fn}(B) = \emptyset$ :  $A \models \phi \Leftrightarrow A|B \models \phi$

*Necessary for soundness of scope extrusion*

$$(\nu \tilde{a} : \phi)P|Q \equiv (\nu \tilde{a} : \phi)(P|Q) \text{ if } \tilde{a} \notin Q$$

In (Caires and Cardelli's) Spatial Logic this does not hold. E.g.

- $\neg(\neg 0|0)$
- $\diamond T$

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# A “Local” Type System

Judgments:  $\Gamma \vdash_L P : T$

Key rule: (T-RES): 
$$\frac{\Gamma, \tilde{a} : \tilde{t} \vdash P : T \quad T \downarrow_{\tilde{a}} \models \phi}{\Gamma \vdash (\nu \tilde{a} : \tilde{t}; \phi) P : (\nu \tilde{a} : \tilde{t}) T}$$

**Local:** in (T-RES) only the part of  $T$  depending on the restricted names,  $T \downarrow_{\tilde{x}}$ , is taken into account - the rest is hidden

Example:  $(a.\bar{b}.\bar{a} | (\nu c)(b.c | \bar{d} | \bar{c})) \downarrow_a = a.\tau.\bar{a} | (\nu c)(\tau.c | \tau | \bar{c})$

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relevant names = newly created names

## Definitions and Results

### Definition (negative formulae)

In a negative formula each  $\langle -\tilde{x} \rangle^*$  is under an **odd** number of  $\neg$

*Note: no limitations on other modalities!*

### Theorem (run-time soundness)

*Suppose that  $\Gamma \vdash_{\mathcal{L}} P : \mathbb{T}$  and that  $P$  is decorated with negative formulae of the form  $\square^* \phi$ . Then  $P \rightarrow^* P'$  implies that  $P'$  is well-annotated.*

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## A “Global” Type System: motivations

Type soundness does not hold for non-negative formulae like  $Resp(a)$  and  $DeadFree(a)$

E.g.:

$$R = (\nu a; Resp(a))(c.a|\bar{a})$$

is well-typed for suitable  $\Gamma$ . Indeed

$$\Gamma, a \vdash_{\perp} c.a|\bar{a} : c.a|\bar{a}$$

and

$$(c.a|\bar{a}) \downarrow_a = \tau.a|\bar{a} \models Resp(a)$$

but

$$c.a|\bar{a} \not\models Resp(a)$$

Problem:  $Resp$  on  $a$  also **depends** on a “global” name  $c$

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Main change:

$\downarrow_{\tilde{x}}$  replaced by  $\Downarrow_{\tilde{x}}$

where  $T \Downarrow_{\tilde{x}}$  keeps the names in  $\tilde{x}$  **and the causes of**  $\tilde{x}$  in  $T$

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## Definitions and Results

Consider  $\phi$  of the form

- 1 either  $\Box^* \psi$  with negation not occurring underneath any  $\langle -\tilde{y} \rangle^*$  in  $\psi$
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*Suppose that  $\Gamma \vdash_G P : T$  and that  $P$  is decorated with formulae of the form (1) or (2) above. Then  $P \rightarrow^* P'$  implies that  $P'$  is well-annotated.*

*Responsiveness and Deadlock Freedom are of the form (2) and (1) respectively*

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The type system is decidable provided that:

- 1  $\equiv$  is decidable
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## 1) $\equiv$ is decidable

From [Engelfriet & Gelsema 2004]

## 2) $\models$ is decidable (?)

The idea is to extend the approach in [BGZ04] for the decidability of weak barbs on CCS to handle SL

## WSTS techniques for deciding “ $\models$ ”

Given a (decidable) preorder  $\leq$  on types in  $\mathcal{T}$

Theorem ([Finkel and Schnoebelen'01])

Under **certain conditions** for each  $I \subseteq \mathcal{T}$  it is possible to compute a **finite**  $X$  such that

$$\uparrow X = \text{Pred}^*(I) \quad (\text{finite basis of } \text{Pred}^*(I))$$

Since  $\llbracket \diamond^* \phi \rrbracket = \text{Pred}^*(\llbracket \phi \rrbracket)$ , to check  $T \models \diamond^* \phi$

- 1 set  $I = \llbracket \phi \rrbracket$  above
- 2 check if  $\exists S \in X$  s.t.  $S \leq T$

$$\text{Pred}(s) = \{s' \mid s' \rightarrow s\} \quad \text{Pred}^*(s) = \{s' \mid s' \rightarrow^* s\}$$



## Conditions [Finkel and Schnoebelen'01]

- 1  $\mathcal{T}$  forms a **WSTS** w.r.t. (a decidable)  $\leq$
- 2  $\forall T \in \mathcal{T}$  it is possible to compute a **finite**  $Y$  s.t.

$$\uparrow Y = \uparrow \text{Pred}(\uparrow T) \quad (\text{effective pred-basis})$$

- 3  $\forall I (= \llbracket \phi \rrbracket)$  it is possible to compute a **finite**  $Z$  s.t.

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### Our task:

Find a preorder satisfying the three conditions above

### Our approach:

Viewing types as forests and defining a preorder similar to Kruskal's tree-preorder

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## Preliminary definition

Fix an initial type  $T_0$

### Definition ( $\mathcal{F}$ )

$\mathcal{F} \triangleq$  the set of all terms:

- containing only subterms and restrictions of  $T_0$
- having nesting depth smaller than  $T_0$ 's

$$\text{E.g. } T_0 = (\nu a)(a.b|\bar{a}.\bar{b}) : \begin{cases} (\nu a)(a.b|\bar{b}|a.b) \in \mathcal{F} \\ (\nu a)(\nu a)(a.b) \notin \mathcal{F} \end{cases}$$

# WSTS I: types as forests

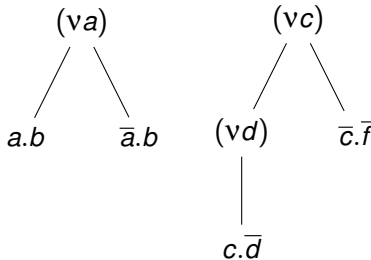
## 1 Make types a WSTS

We consider types as forests where:

internal nodes = restrictions

leaves = prefix-guarded terms

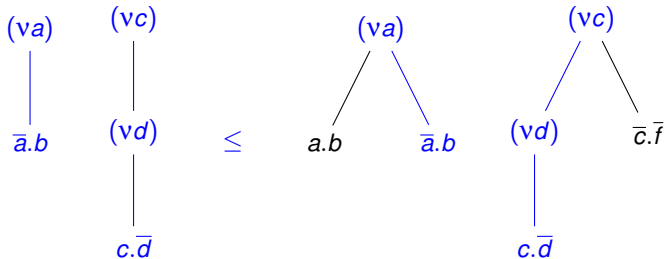
E.g.  $T = (va)(a.b|\bar{a}.b) | (vc)((vd)c.\bar{d} | \bar{c}.\bar{f})$



## WSTS II: decidable $\leq$

### 1 Make types a WSTS

Defining the preorder  $\leq$  = **rooted tree embedding**



### Theorem

(i)  $\leq$  is a well-quasi order over  $\mathcal{F}$  and (ii)  $\langle \mathcal{F}, \rightarrow, \leq \rangle$  is a WSTS

**Proof idea:** (i) by induction on the nesting depth of restrictions of terms in  $\mathcal{F}$  and by using the Higman's lemma. The base case (height = 0) relies on finiteness of guarded subterms in  $T_0$ . The inductive step relies on the fact that each forest can be decomposed into a finite number of subforests with smaller height

(ii)  $\langle \mathcal{F}, \rightarrow, \leq \rangle$  is a finitely branching transition system and  $\leq$  is easily proved to be a computable simulation relation in  $\mathcal{F}$

## WSTS III: $\langle \mathcal{F}, \rightarrow, \leq \rangle$ is a WSTS

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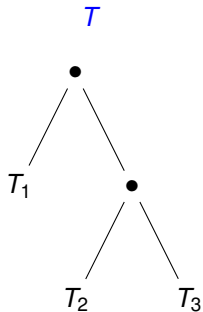
NB: in CCS reductions cannot increase the nesting depth, on the contrary in pi-calculus

$$(\nu b)\bar{a}\langle b \rangle \mid (\nu c)a(x).\bar{x}.c \rightarrow (\nu b)(\nu c)\bar{b}.c$$



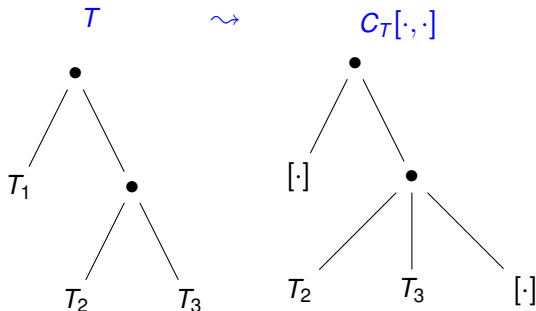
## Effective Pred-basis: $\text{pb}(\mathcal{T})$

②  $\forall T \in \mathcal{T}$  it is possible to compute a **finite**  $Y$  s.t.  $\uparrow Y = \uparrow \text{Pred}(\uparrow T)$



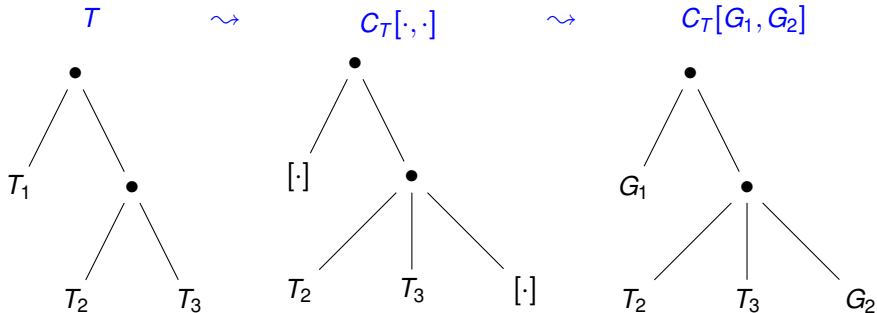
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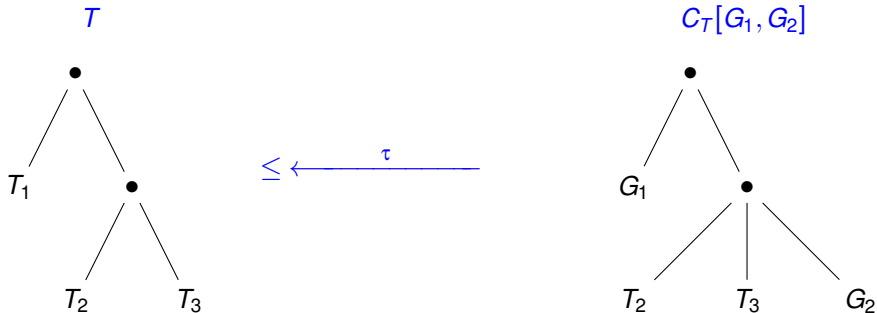
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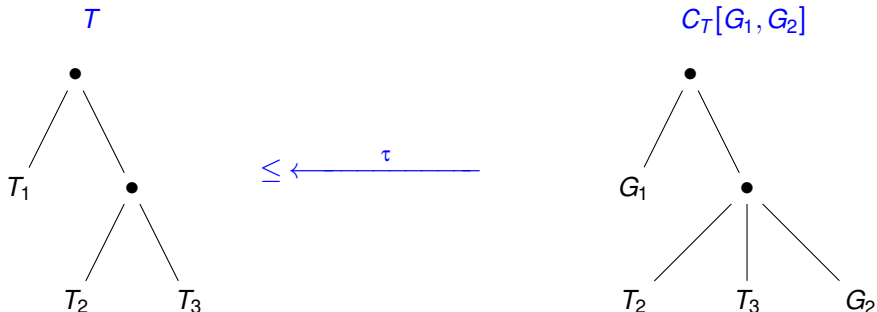
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### Theorem

$\forall T \in \mathcal{T}$  :  $pb(T)$  is effective and  $\uparrow pb(T) = \uparrow Pred(\uparrow T)$

## Finite-basis: $\uparrow fb(\phi) = \llbracket \phi \rrbracket \cap \mathcal{F}$

③  $\forall I(= \llbracket \phi \rrbracket)$  it is possible to compute a **finite**  $Z$  s.t.  $\uparrow Z = I(= \llbracket \phi \rrbracket)$

( $G$  = prefix-guarded process (leaf) –  $D$  = context of parallel and restrictions)

### Definition ( $fb(\phi)$ )

$$fb(a) \triangleq \{D[G] \in \mathcal{F} \mid G \searrow_a\}$$

(va)

|

⋮

|

G

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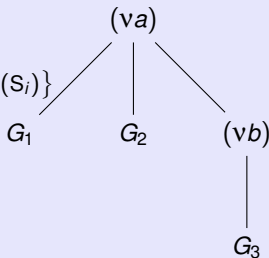
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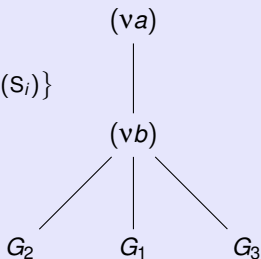
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$$fb(\phi_1 \vee \phi_2) \triangleq fb(\phi_1) \cup fb(\phi_2)$$

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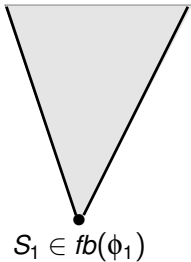
$$fb(\phi_1 \vee \phi_2) \triangleq fb(\phi_1) \cup fb(\phi_2)$$

$$fb(\diamond^* \phi) \triangleq X \quad \text{s.t.} \quad \uparrow X = Pred^*(fb(\phi))$$

...

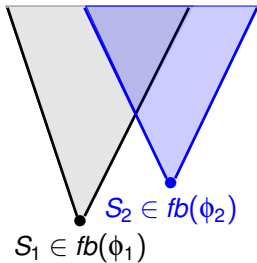
## What about $fb(\phi_1 \wedge \phi_2)$ ?

Idea:



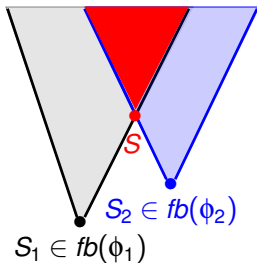
# What about $fb(\phi_1 \wedge \phi_2)$ ?

Idea:



## What about $\text{fb}(\phi_1 \wedge \phi_2)$ ?

Idea:



$S$  = “least common multiple” of  $S_1$  and  $S_2$

E.g.  $S_1 = a|b$ ,  $S_2 = b|c \implies S = a|b|c$

### Definition (monotone, anti-monotone and plain formulae)

- $\phi$  is **monotone** if it does not contain occurrences of  $\neg$
- **anti-monotone** if it is of the form  $\neg\psi$ , with  $\psi$  monotone
- $\phi$  is **plain** if it does not contain  $\diamond^*$  underneath  $H^*$

### Theorem (decidability on types and processes)

For any  $\phi$  plain and (anti-)monotone

- 1  $fb(\phi)$  is a computable finite basis for  $[[\phi]] \cap \mathcal{F}$
- 2  $T \models \phi$  is decidable for any  $T$
- 3  $P \models \phi$  is decidable for any  $P$  well-typed

## Examples of decidable formulae

Never two concurrent outputs on  $a$ :

$$\mathit{NoRace}(a) \triangleq \neg \diamond^* \mathbf{H}^*(\bar{a} | \bar{a})$$

Communication on  $a$  never occurs more than once:

$$\mathit{Linear}(a) \triangleq \neg \diamond^* \langle a \rangle \diamond^* \langle a \rangle$$

Resource  $a$  never acquired in presence of the lock  $l$ :

$$\mathit{Lock}(a, l) \triangleq \neg \diamond^* \mathbf{H}^*(l | \langle a \rangle)$$

# Outline

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- 1 Introduction
- 2 Processes, types and formulae
- 3 The local and the global systems
- 4 Decidability
- 5 Conclusion**



Further:

- Decidability: relax some constraints? Difficult:  
Known result:  $\diamond^*(a \wedge \neg b)$  is undecidable [Zavattaro'09]
- Quantitative behavioural types? Ongoing work

Related:

**Behavioural types:** Acciai and Boreale'08; Chaki et al.'02; Igarashi and Kobayashi'01;

**Decidability results in CCS:** Valencia et al.'09; Busi et al.'04

**Spatial logics:** Caires'04

**Undecidability results:** Kobayashi and Suto 2007

$$(T\text{-INP}) \frac{\Gamma \vdash a : (\tilde{x} : \tilde{t})T \quad \text{fn}(\tilde{t}) \cup \text{fn}(T) \setminus \tilde{x} = \alpha, \quad \Gamma, \tilde{x} : \tilde{t} \vdash P : T | T' \quad \tilde{x} \notin \text{fn}(T')}{\Gamma \vdash a(\tilde{x}).P : a^\alpha.T'}$$

$$(T\text{-OUT}) \frac{\Gamma \vdash a : (\tilde{x} : \tilde{t})T \quad \Gamma \vdash \tilde{b} : \tilde{t} \quad \Gamma \vdash P : S}{\Gamma \vdash \bar{a}(\tilde{b}).P : \bar{a}.(T[\tilde{b}/\tilde{x}] | S)}$$

$$(T\text{-RES}) \frac{\Gamma, a : t \vdash P : T \quad \alpha = \text{fn}(t)}{\Gamma \vdash (va : t)P : (va^\alpha)T}$$

$$(T\text{-PAR}) \frac{\Gamma \vdash P : T \quad \Gamma \vdash Q : S}{\Gamma \vdash P | Q : T | S}$$

$$(T\text{-SUM}) \frac{|I| \neq 1 \quad \forall i \in I : \Gamma \vdash \alpha_i.P_i : \mu_i.T_i}{\Gamma \vdash \sum_{i \in I} \alpha_i.P_i : \sum_{i \in I} \mu_i.T_i}$$

$$(T\text{-REP}) \frac{\Gamma \vdash a(\tilde{x}).P : a^\alpha.T}{\Gamma \vdash !a(\tilde{x}).P : !a^\alpha.T}$$

$$(T\text{-EQ}) \frac{\Gamma \vdash P : T \quad T \equiv S}{\Gamma \vdash P : S}$$

$$(T\text{-TAU}) \frac{\Gamma \vdash P : T}{\Gamma \vdash \tau.P : \tau.T}$$

## Example: Unique Receptiveness (a liveness property)

⇒ Local Type System

$$UniRec(a) \triangleq \Box^*(a \wedge \neg H^*(a|a))$$

$$P = (\nu a, b, c ; UniRec(a))Q$$

$$Q = ((\bar{c}\langle a \rangle \mid a + b(x).x) \mid c(y).\bar{b}\langle y \rangle)$$

is well-typed. Indeed, for a suitable  $\Gamma$ :

$$\Gamma, a, b, c \vdash_L Q : T \triangleq \bar{c}.\bar{b}.a \mid a + b \mid c$$

with

$$T \downarrow_{a,b,c} = T \models UniRec(a)$$

hence well-typed by (T-RES)

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## Example: Responsiveness

⇒ Global Type System

$$Resp(a) \triangleq \square_{-a}^* \diamond^* \langle a \rangle$$

$$P = (\nu a : Resp(a))(\bar{c}\langle a \rangle) | Q$$

$$Q = !c(x).(\bar{x}|x) | \bar{c}\langle b \rangle$$

is well-typed. Indeed, for a suitable  $\Gamma$ :

$$\Gamma \vdash_G \bar{c}\langle a \rangle | Q : \bar{c}.(\bar{a}|a) !c | \bar{c}.(\bar{b}|b) \triangleq T$$

and

$$T \Downarrow_a = \bar{c}.(\bar{a}|a) !c | \bar{c}.(\tau|\tau) \models Resp(a)$$

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# Shallow Logic (SL)

$\phi ::= \mathbf{T}$	$\llbracket \mathbf{T} \rrbracket = \mathcal{U}$
$\neg\phi$	$\llbracket \neg\phi \rrbracket = \mathcal{U} \setminus \llbracket \phi \rrbracket$
$\phi \vee \psi$	$\llbracket \phi \vee \psi \rrbracket = \llbracket \phi \rrbracket \cup \llbracket \psi \rrbracket$
$\phi \wedge \psi$	$\llbracket \phi \wedge \psi \rrbracket = \llbracket \phi \rrbracket \cap \llbracket \psi \rrbracket$
$a$	$\llbracket a \rrbracket = \{A \mid A \searrow_a\}$
$\bar{a}$	$\llbracket \bar{a} \rrbracket = \{A \mid A \searrow_{\bar{a}}\}$
$\phi \mid \psi$	$\llbracket \phi \mid \psi \rrbracket = \{A \mid \exists A_1, A_2 : A \equiv A_1 \mid A_2, A_1 \in \llbracket \phi \rrbracket, A_2 \in \llbracket \psi \rrbracket\}$
$\mathbf{H}^*\phi$	$\llbracket \mathbf{H}^*\phi \rrbracket = \{A \mid \exists \tilde{a}, B : A \equiv (\tilde{v}\tilde{a})B, \tilde{a} \# \phi, B \in \llbracket \phi \rrbracket\}$
$\langle a \rangle \phi$	$\llbracket \langle a \rangle \phi \rrbracket = \{A \mid \exists B : A \xrightarrow{\langle a \rangle} B, B \in \llbracket \phi \rrbracket\}$
$\langle \tilde{a} \rangle^* \phi$	$\llbracket \langle \tilde{a} \rangle^* \phi \rrbracket = \{A \mid \exists \sigma, B : A \xrightarrow{\sigma} B, \mathcal{N} \setminus \tilde{a} \# \sigma, B \in \llbracket \phi \rrbracket\}$
$\langle -\tilde{a} \rangle^* \phi$	$\llbracket \langle -\tilde{a} \rangle^* \phi \rrbracket = \{A \mid \exists \sigma, B : A \xrightarrow{\sigma} B, \tilde{a} \# \sigma, B \in \llbracket \phi \rrbracket\}$

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$H^*\phi$	$\llbracket H^*\phi \rrbracket = \{A \mid \exists \tilde{a}, B : A \equiv (\tilde{v}\tilde{a})B, \tilde{a} \# \phi, B \in \llbracket \phi \rrbracket\}$
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