### **Control Theory for Heap Sizing**

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### A user bug report ...

- When I build a large session in Isabelled 011-1 (to be precise, an extension of o linja in the Archive of Formal Proofs) Poly/ML takes 1:51h. While run **v**ml mailing list, requires **12GB** of memor PID/ Nov 2011 status). The final call to onData before writing the hear umes 17GB of memory.
- I now ran t sar
   1352 of Pol
   session
   happering
   similar s

### Another frustrated user...



### What's happening?



# Does this happen in real systems?





# Is optimal heap size a static or dynamic property?



# How do existing VMs handle heap growth?

### Poly/ML

- **adjustHeapSize()** called after major GC
- if *l* is live data, heap size changed to *K*+*l*
- *K* is constant determined by initial parameters
- source code comment: 'somewhat naïve'

### Jikes RVM

- HeapGrowthManager computes heap resize ratio after major GC
- lookup table of resize ratios, indexed by:

$$g = \frac{\text{Time taken for most recent collection}}{\text{Time since last collection}}$$
$$l = \frac{\text{Amount of live data on the heap}}{\text{Current heap size}}$$



### Bug fix

Dashboards - Projects - Issues -



#### RVM / RVM-943 MMTk HeapGrowthManager heap growth ratio computation has discontinuities

Turney		Ototivov	. Dearburd
Туре:	💩 Bug	Status:	Resolved
Priority:	Minor	Resolution:	Fixed
Affects Version/s:	None	Fix Version/s:	3.1.2
Component/s:	MMTk		
Labels:	None		
Environment:	affects all.		

- In HeapGrowthManager.computeHeapChangeRatio(), the current implementation determines the heap size change ratio by a lookup in the 2-dimensional function table (indexed by liveRatio and gcLoad). Given a current liveRatio X and gcLoad Y, the code finds the table rows and columns with nearest values above and below X and Y, then does interpolation from these table lookup values to determine the heap size change ratio.
- However, there is a bug in the interpolation. If X (or Y, respectively) is exactly equal to a row (or column, respectively) label value, then the interpolation still happens, between values in rows (cols) either side of row X (col Y). This leads to discontinuities in the heap sizing function see attached graphs.
- The submitted patch suppresses interpolation (interpolation correction value becomes 0) in the case where X or Y falls on a label value exactly, so avoiding the discontinuity.

### OpenJDK

- GC ergonomics system allows user to specify high-level goals for GC
  - desired max GC pause time
  - desired application throughput
  - minimum heap size

### OpenJDK

- AdaptiveSizePolicy applies fixed rules to satisfy these targets:
  - if current pause time > pause time goal, then
     *decrease* heap size
  - else if application's throughput goal is not being met, then *increase* heap size
  - else *decrease* heap size to reduce memory footprint

### OpenJDK

- David Vengerov [ISMM11]
- [The ergonomics system consists of] some heuristic rules that do not guarantee that the GC throughput will actually be maximised as a result

### Dalvik

Easy to grow heap, more difficult to shrink
 – non-moving objects in mature space

$$h' = \frac{\text{current size of live data}}{\text{target utilization rate}}$$

• Heap sizing policy entirely opaque to user

### Dalvik heap resizing app

Find the best Android apps	Hot today	Hot this week	All-time popular	Top rated				
All apps » Tools » VM Heap Tool (root only)								
WM Heap Tool (root only) Install								
50,000-250,000 downloads, 365 ratings (4.50 average), 51 kb, Permissions, Official Page, Contact								
Add to list ▼ Ike	<b>₹ +1 0</b>	Tweet 2	⊠ Email QR	/ more <b>V</b>				
Requires *FULL ROOT* (aka S-OFF or NAND unlock) and *BUSYBOX*. Please use Busybox version 1.17.x as 1.18.x compile is broken!	What is the heap? Hesp is the amount of memory of application can use itsp to read m Default heap size: 244 Tap this preference to restore the value.	errel. application-can use its m Default heap : default Tap this preference to value.	restore the default 28m	ew heap droid default) 💿				
	Current heap size: 32m 🕤 Tap to set a new volue. Novel	Current heap Tap to set a new value About		commended)				

# Heuristics have problems

#### Problems with heuristics

- require expert construction
- ... and tuning
- opaque to end-users
- sometimes opaque to experts!
- No guarantee that they are doing the *right thing*

### Make memory management rigorous!

### Our approach

- Apply mathematical models from other disciplines
- Find appropriate analogy with GC, and apply
  - thermodynamics (Baker)
  - economics (Singer/Jones)
  - control systems engineering (this project)

# What is a control system?



### How to apply controller to heap sizing?

- What will we control?
  - VM heap size
- What will we measure?
  - GC overhead

#### Use a standard black-box controller

• PID controller

$$u(t) = K_c \left( \epsilon(t) + \frac{1}{T_i} \int \epsilon(t) \, dt + T_d \frac{d\epsilon(t)}{dt} \right) + b$$

- u(t) is heap resize ratio at time t
- *e(t)* is difference between GC overhead at time
   *t*, and target GC overhead

#### **Tune PID parameters**

- Software system has advantages over mechanical system
- Feed in signal, look for frequency of oscillating response
- Apply standard Ziegler-Nichols equations to determine PID parameters

### How often would we need to tune?

- at VM install time?
- per program?
- per program execution?
- when system updates?
- ???

#### How to execute...

- specify a desired GC overhead
- start system running...







### How to produce these graphs?

- Single runs, not repeated runs
- Give a range of GC overheads that bracket existing system behaviour
- x-axis is bytes allocated, not wallclock time
- what is a good result?

## Are our new results better?

# What does better mean?

- More responsive heap resizing
  - is this efficient?
- Reduced area under the heapsize/time curve
  - RAM rental in Megabyte seconds (cloud)
- More flexible way to trade off time/space for computation?
- More intuitive for user?

### Future work

- deal with paging (other side of curve)
   requires another controller?
- deploy in more runtime systems
- demonstrate improvements
  - make the world a better place



