

# Deadlock and Lock Freedom in the Linear $\pi$ -calculus

LICS 2014 paper of Luca Padovani

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# Outline

① Introduction

② Informal Overview

③ Calculus

④ Type System

⑤ Back to Sessions

⑥ Conclusion

# Lock freedom in interleaved sessions

Lock freedom = each communication can be completed

- global types assure lock freedom inside a single session
- interleaved sessions are needed (for example for delegation)
- global types do not assure lock freedom in presence of interleaved sessions

$$a[1](y).b[1](z).y?(2,x).z! \langle 2, x \rangle \mid \bar{a}[2](y).\bar{b}[2](z).z?(1,x').y! \langle 1, x' \rangle$$

$$(\nu s)(\nu s')(s[1]?(2,x).s'[1]! \langle 2, x \rangle \mid s'[2]?(1,x').s[2]! \langle 1, x' \rangle)$$

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↓  
...  
↓

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# From binary sessions to the linear $\pi$ -calculus

- Dardha, Giachino, Sangiorgi, **Session types revisited**,  
PPDP 2012

## Session

 $y! \langle 45 \rangle . y?(x)$  $y : !\text{int}.\text{?bool}$ 

## Linear $\pi$ -calculus

 $(\nu b)a! \langle 45, b \rangle . b?(x)$  $a : ![\text{int} \times ?[\text{bool}]]$

# The linear $\pi$ -calculus

Each **linear channel** is used **exactly** once

Each **unlimited channel** is used **without restrictions**

benefits:

- specialised behavioural equivalences for reasoning about communication optimisations
- efficient implementation of linear channels
- communications on linear channels are deterministic and confluent

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# Deadlock and lock freedom

Definition (deadlock freedom)

no pending communications on linear channels in irreducible states

$$a?(x).b!(x) \mid b?(y).a!(y)$$


Definition (lock freedom)

each pending communication on a linear channel can be completed

$$c!(a) \mid *c?(x).c!(x) \mid a!(1984)$$


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# Types of channels

$$p^\iota[t]$$

$p$  is the polarity: ? or ! or both or nothing

$\iota$  is the multiplicity: 1 or  $\omega$

$t$  is the type of the message

# Types of channels

$$p^1[t]^m \downarrow$$

+ level ( $\Rightarrow$  deadlock freedom)

levels  $m$  enforce an order on the use of channels: channels with lower levels must be used before channels with higher levels

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$\iota$  is the multiplicity: 1 or  $\omega$

$t$  is the type of the message

# Types of channels

$$p^1[t]^m \downarrow \\ + \text{ tickets } (\Rightarrow \text{lock freedom})$$

tickets  $n$  limit the number of travels that channels can do: each time a channel is sent as a message, one ticket is removed from its type; a channel with no ticket cannot travel and may be used only for performing a communication

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$\iota$  is the multiplicity: 1 or  $\omega$

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# Types of linear channels and unlimited channels

 $p[t]_n^m$ 

linear channel

 $p[t]$ 

unlimited channel

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# Conditions for deadlock freedom

## Inputs

$u$  has lower level than all the channels in  $P$

---

$u?(x).P$  is well typed

## Outputs

$u$  has lower level than  $v$

---

$u!(v)$  is well typed

# Conditions for deadlock freedom

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$$\frac{u \text{ has lower level than all the channels in } P}{u?(x).P \text{ is well typed}}$$

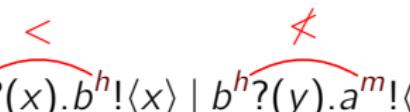
## Outputs

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# Examples of deadlock freedom

$$a : \{?, !\}[\text{int}]^m, b : \{?, !\}[\text{bool}]^h \vdash a^m?(x).b^h!(x) \mid b^h?(y).a^m!(y)$$

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$$a : \{?, !\}[\mu\alpha. ?[\alpha]^m]^m \vdash a^m !(a^m)$$

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# Lock freedom

## Condition

$$\frac{v \text{'s tickets} > 0 \text{ (and 1 unit is consumed)}}{u! \langle v \rangle \text{ is well typed}}$$

## Example

$$c : \{?, !\}^\omega [?[\text{int}]^m_n] \vdash c! \langle a^m_{n+1} \rangle | *c?(x^m_n).c! \langle x^m_n \rangle | a^m_0! \langle 1984 \rangle$$

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any channel sent on  $c$  must have  $n + 1$  tickets

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1 unit consumed

$a$  is sent on  $c$  infinitely many times and so it would ideally need infinitely many tickets

# Recursive processes

```
*fact?(x,y0).if x = 0 then y0!⟨1⟩  
else (νa-1)(fact!⟨x - 1, a-1⟩ | a-1?⟨z⟩.y0!⟨x × z⟩)
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# Spot the differences

 $c?(x ).y !\langle x \rangle$  $c?(x ,y ).y !\langle x \rangle$ 

- $y$  free
- $x$ 's level  $\succ 7$
- $c$  monomorphic

- $y$  bound
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# When is a channel polymorphic?

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## Fact

Every replicated channel is polymorphic **in the linear  $\pi$ -calculus**

# Back to *fact* and *stream*

\* $\text{fact?}(x, y^0).\text{if } x = 0 \text{ then } y^0!(1)$   
else  $(\nu a^{-1})(\text{fact}!(x - 1, a^{-1}) \mid a^{-1}?(z).y^0!(x \times z))$

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$$\begin{aligned} *fact?(x, y^{\textcolor{red}{0}}). & \text{if } x = 0 \text{ then } y^{\textcolor{red}{0}}!(1) \\ & \text{else } (\nu a^{-1})(fact! \langle x - 1, a^{\textcolor{red}{-1}} \rangle \mid a^{-1}?(z).y^{\textcolor{red}{0}}!(x \times z)) \\ *stream?(x, y^{\textcolor{red}{0}}). & (\nu a^1)(y^{\textcolor{red}{0}}!(x, a^1) \mid stream! \langle x + 1, a^{\textcolor{red}{1}} \rangle) \end{aligned}$$

these processes can be declared well typed since *fact* and *stream* are replicated channels, i.e. **polymorphic** channels

# Syntax

$u ::= a, b, \dots, x, y, \dots$

names      channels      variables

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$e ::= n \mid u \mid (e, e) \mid \text{inl } e \mid \text{inr } e$

expressions

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$$u ::= a, b, \dots, x, y, \dots$$
$$e ::= n \mid u \mid (e, e) \mid \text{inl } e \mid \text{inr } e$$
$$\begin{aligned} P ::= & \mathbf{0} \mid u?(x).P \mid *u?(x).P \mid u!(e) \mid (P \mid Q) \mid (\nu a)P \mid \\ & \text{let } x, y = e \text{ in } P \mid \text{case } e \{ i \ x_i \Rightarrow P_i \}_{i=\text{inl,inr}} \end{aligned}$$

processes

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$$u ::= a, b, \dots, x, y, \dots$$
$$e ::= n \mid u \mid (e, e) \mid \text{inl } e \mid \text{inr } e$$
$$\begin{aligned} P ::= & \mathbf{0} \mid u?(x).P \mid *u?(x).P \mid u! \langle e \rangle \mid (P \mid Q) \mid (\nu a)P \mid \\ & \text{let } x, y = e \text{ in } P \mid \text{case } e \{ i \ x_i \Rightarrow P_i \}_{i=\text{inl,inr}} \end{aligned}$$

$u?(x, y).P$  abbreviates  $u?(z).\text{let } x, y = z \text{ in } P$  for some fresh  $z$

# Semantics

$$a!(v) \mid a?(x).P \rightarrow P\{v/x\}$$

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$$a! \langle v \rangle \mid a?(x).P \rightarrow P\{v/x\}$$

$$a! \langle v \rangle \mid *a?(x).P \rightarrow P\{v/x\} \mid *a?(x).P$$

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`let`  $x, y = v, w$  `in`  $P \rightarrow P\{v, w/x, y\}$

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closed by reduction contexts

$$\mathcal{C} ::= [ ] \mid (\mathcal{C} \mid P) \mid (\nu a)\mathcal{C}$$

and structural congruence

# Pending communications

$$\text{in}(a, P) \stackrel{\text{def}}{\iff} P \equiv \mathcal{C}[a?(x).Q] \wedge a \notin \text{bn}(\mathcal{C})$$

input on  $a$

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replicated input on  $a$

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$$\text{out}(a, P) \stackrel{\text{def}}{\iff} P \equiv \mathcal{C}[a!(e)] \wedge a \notin \text{bn}(\mathcal{C})$$

output on  $a$

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$$\text{sync}(a, P) \stackrel{\text{def}}{\iff} (\text{in}(a, P) \vee *\text{in}(a, P)) \wedge \text{out}(a, P)$$

immediate synchronisation on  $a$

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$$\text{sync}(a, P) \stackrel{\text{def}}{\iff} (\text{in}(a, P) \vee *\text{in}(a, P)) \wedge \text{out}(a, P)$$

$$\text{wait}(a, P) \stackrel{\text{def}}{\iff} (\text{in}(a, P) \vee \text{out}(a, P)) \wedge \neg\text{sync}(a, P)$$

communication but not

immediate synchronisation on  $a$

# Pending communications

$$\text{in}(a, P) \stackrel{\text{def}}{\iff} P \equiv \mathcal{C}[a?(x).Q] \wedge a \notin \text{bn}(\mathcal{C})$$

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for deadlock freedom irreducible processes cannot contain pending communications, but for replicated inputs (they play the role of persistently available servers)

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for deadlock freedom irreducible processes cannot contain pending communications, but for replicated inputs (they play the role of persistently available servers)

for lock freedom

- all non-replicated inputs should be able to receive their messages
- all outputs should be able to deliver their messages

# Deadlock and lock freedom

## Definition (deadlock freedom)

$P$  is deadlock free if, for every  $Q$  such that  $P \rightarrow^* (\nu \tilde{a})Q \not\rightarrow$ , we have  $\neg \text{wait}(a, Q)$  for every  $a$

- Kobayashi, **A New Type System for Deadlock-Free Processes**, CONCUR 2006

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$P$  is lock free if, for every  $Q$  such that  $P \rightarrow^* (\nu \tilde{a})Q$  and  $\text{wait}(a, Q)$ , there exists  $R$  such that  $Q \rightarrow^* R$  and  $\text{sync}(a, R)$

- Kobayashi, Sangiorgi, **A Hybrid Type System for Lock-Freedom of Mobile Processes**, TOPLAS 2010

$(\nu a)a!(1984)$  and  $(\nu a)a?(x).P$  are deadlocks  
 $(\nu a)*a?(x).P$  is lock free



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# Types and type environments

$t ::=$

# Types and type environments

$t ::= \text{int}$

integers

# Types and type environments

$t ::= \text{int} \mid \alpha$

type variable

# Types and type environments

$$t ::= \text{int} \mid \alpha \mid t \times s$$

pairs inhabited by values  $(v, w)$

# Types and type environments

$$t ::= \text{int} \mid \alpha \mid t \times s \mid t \oplus s$$

disjoint sum of  $t$  and  $s$  inhabited by values:

(`inl v`) when  $v$  has type  $t$  or  
(`inr w`) when  $w$  has type  $s$

# Types and type environments

$$t ::= \text{int} \mid \alpha \mid t \times s \mid t \oplus s \mid p[t]_n^m$$

linear channel with polarity  $p$ , message type  $t$ , level  $m$  and tickets  $n$

# Types and type environments

$$t ::= \text{int} \mid \alpha \mid t \times s \mid t \oplus s \mid p[t]_n^m \mid p[t]$$

unlimited channel with polarity  $p$  and message type  $t$

# Types and type environments

$$t ::= \text{int} \mid \alpha \mid t \times s \mid t \oplus s \mid p[t]_n^m \mid p[t] \mid \mu\alpha.t$$

recursive type

# Types and type environments

$$t ::= \text{int} \mid \alpha \mid t \times s \mid t \oplus s \mid p[t]_n^m \mid p[t] \mid \mu\alpha.t$$

type environments  $\Gamma$  are finite maps from names to types

$$u_1 : t_1, \dots, u_n : t_n$$

# Typing rules for expressions

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$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}}$$

$\text{un}(\Gamma)$  if all the types in the range of  $\Gamma$  are unlimited

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}} \quad \frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}}$$

$$\frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

level of types

$$|t| \stackrel{\text{def}}{=} \left\{ \begin{array}{l} \end{array} \right.$$

# Typing rules for expressions

$$\frac{\begin{array}{c} \text{[t-const]} \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} \text{[t-name]} \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

level of types

$$|t| \stackrel{\text{def}}{=} \left\{ \begin{array}{ll} \perp & \text{if } t = p[s] \text{ and } ? \in p \end{array} \right.$$

unlimited channels with input polarity have the lowest level  $\perp$

# Typing rules for expressions

$$\frac{\begin{array}{c} \text{[t-const]} \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} \text{[t-name]} \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

level of types

$$|t| \stackrel{\text{def}}{=} \begin{cases} \perp & \text{if } t = p[s] \text{ and } ? \in p \\ m & \text{if } t = p[s]_n^m \text{ and } p \neq \emptyset \end{cases}$$

linear channels with pending operations must be used according to their level

# Typing rules for expressions

$$\frac{\begin{array}{c} [\text{t-const}] \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} [\text{t-name}] \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

level of types

$$|t| \stackrel{\text{def}}{=} \begin{cases} \perp & \text{if } t = p[s] \text{ and } ? \in p \\ m & \text{if } t = p[s]_n^m \text{ and } p \neq \emptyset \\ \min\{|t_1|, |t_2|\} & \text{if } t = t_1 \times t_2 \text{ or } t = t_1 \oplus t_2 \end{cases}$$

the level of compound types is the minimum of the levels of the component types

# Typing rules for expressions

$$\frac{\begin{array}{c} \text{[t-const]} \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} \text{[t-name]} \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

level of types

$$|t| \stackrel{\text{def}}{=} \begin{cases} \perp & \text{if } t = p[s] \text{ and } ? \in p \\ m & \text{if } t = p[s]_n^m \text{ and } p \neq \emptyset \\ \min\{|t_1|, |t_2|\} & \text{if } t = t_1 \times t_2 \text{ or } t = t_1 \oplus t_2 \\ \top & \text{otherwise} \end{cases}$$

numbers, unlimited channels with only output polarity, and linear channels with empty polarity have the highest level  $\top$

# Typing rules for expressions

$$\frac{\begin{array}{c} \text{[t-const]} \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} \text{[t-name]} \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

level of types

$$|t| \stackrel{\text{def}}{=} \begin{cases} \perp & \text{if } t = p[s] \text{ and } ? \in p \\ m & \text{if } t = p[s]_n^m \text{ and } p \neq \emptyset \\ \min\{|t_1|, |t_2|\} & \text{if } t = t_1 \times t_2 \text{ or } t = t_1 \oplus t_2 \\ \top & \text{otherwise} \end{cases}$$

$$|! [t]_1^3 \times ? [s]_4^2| = \min\{|! [t]_1^3|, |? [s]_4^2|\} = \min\{3, 2\} = 2$$

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}} \quad \frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

composition of types

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}} \quad \frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

composition of types

$$t + t = t \quad \text{if } |t| = \top$$

types with  $\top$  level compose with themselves without restrictions

# Typing rules for expressions

$$\frac{\begin{array}{c} [\text{t-const}] \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} [\text{t-name}] \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

## composition of types

$$\begin{aligned} t + t &= t && \text{if } |t| = \top \\ p[t] + q[t] &= (p \cup q)[t] \end{aligned}$$

the composition of two unlimited channel types has the union of their polarities

# Typing rules for expressions

$$\frac{\begin{array}{c} [\text{t-const}] \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} [\text{t-name}] \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

## composition of types

$$\begin{aligned} t + t &= t && \text{if } |t| = \top \\ p[t] + q[t] &= (p \cup q)[t] \\ p[s]_h^m + q[s]_k^m &= (p \cup q)[s]_{h+k}^m && \text{if } p \cap q = \emptyset \end{aligned}$$

two linear channel types can be composed only if they have the same level and disjoint polarities, and the composition has the union of their polarities and the sum of their tickets

# Typing rules for expressions

$$\frac{[t\text{-const}]}{\text{un}(\Gamma)} \quad \frac{}{\Gamma \vdash n : \text{int}}$$

$$\frac{[t\text{-name}]}{\text{un}(\Gamma)} \quad \frac{}{\Gamma, u : t \vdash u : t}$$

composition of types

$$\begin{aligned} t + t &= t && \text{if } |t| = \top \\ p[t] + q[t] &= (p \cup q)[t] \\ p[s]_h^m + q[s]_k^m &= (p \cup q)[s]_{h+k}^m && \text{if } p \cap q = \emptyset \end{aligned}$$

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}} \quad \frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

composition of type environments

# Typing rules for expressions

$$\frac{\begin{array}{c} \text{[t-const]} \\ \text{un}(\Gamma) \end{array}}{\Gamma \vdash n : \text{int}} \quad \frac{\begin{array}{c} \text{[t-name]} \\ \text{un}(\Gamma) \end{array}}{\Gamma, u : t \vdash u : t}$$

composition of type environments

$$\Gamma + \Gamma' \stackrel{\text{def}}{=} \Gamma, \Gamma' \quad \text{if } \text{dom}(\Gamma) \cap \text{dom}(\Gamma') = \emptyset$$

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}} \quad \frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

composition of type environments

$$\begin{aligned}\Gamma + \Gamma' &\stackrel{\text{def}}{=} \Gamma, \Gamma' && \text{if } \text{dom}(\Gamma) \cap \text{dom}(\Gamma') = \emptyset \\ (\Gamma, u : t) + (\Gamma', u : s) &\stackrel{\text{def}}{=} (\Gamma + \Gamma'), u : t + s\end{aligned}$$

# Typing rules for expressions

$$\frac{[\text{t-const}]}{\text{un}(\Gamma)} \qquad \frac{[\text{t-name}]}{\text{un}(\Gamma)}$$

$$\frac{}{\Gamma \vdash n : \text{int}} \qquad \frac{}{\Gamma, u : t \vdash u : t}$$

composition of type environments

$$\Gamma + \Gamma' \stackrel{\text{def}}{=} \Gamma, \Gamma' \quad \text{if } \text{dom}(\Gamma) \cap \text{dom}(\Gamma') = \emptyset$$

$$(\Gamma, u : t) + (\Gamma', u : s) \stackrel{\text{def}}{=} (\Gamma + \Gamma'), u : t + s$$

$\Gamma + \Gamma'$  is undefined if there is  $u \in \text{dom}(\Gamma) \cap \text{dom}(\Gamma')$  such that  $\Gamma(u) + \Gamma'(u)$  is undefined

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}}$$

$$\frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

$$\frac{[\text{t-pair}] \quad \Gamma \vdash e : t \quad \Gamma' \vdash e' : s}{\Gamma + \Gamma' \vdash (e, e') : t \times s}$$

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}}$$

$$\frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

$$\frac{[\text{t-pair}] \quad \Gamma \vdash e : t \quad \Gamma' \vdash e' : s}{\Gamma + \Gamma' \vdash (e, e') : t \times s}$$

$$\frac{[\text{t-inl}] \quad \Gamma \vdash e : t}{\Gamma \vdash \text{inl } e : t \oplus s}$$

# Typing rules for expressions

$$\frac{[\text{t-const}] \quad \text{un}(\Gamma)}{\Gamma \vdash n : \text{int}}$$

$$\frac{[\text{t-name}] \quad \text{un}(\Gamma)}{\Gamma, u : t \vdash u : t}$$

$$\frac{[\text{t-pair}] \quad \Gamma \vdash e : t \quad \Gamma' \vdash e' : s}{\Gamma + \Gamma' \vdash (e, e') : t \times s}$$

$$\frac{[\text{t-inl}] \quad \Gamma \vdash e : t}{\Gamma \vdash \text{inl } e : t \oplus s}$$

$$\frac{[\text{t-inr}] \quad \Gamma \vdash e : s}{\Gamma \vdash \text{inr } e : t \oplus s}$$

# Typing rules for processes I

# Typing rules for processes I

$$\frac{[t\text{-idle}] \quad \text{un}(\Gamma)}{\Gamma \vdash \mathbf{0}}$$

# Typing rules for processes I

$$\frac{[\text{t-idle}]}{\Gamma \vdash \mathbf{0}} \qquad \frac{[\text{t-par}] \quad \Gamma_1 \vdash P_1 \quad \Gamma_2 \vdash P_2}{\Gamma_1 + \Gamma_2 \vdash P_1 | P_2}$$

# Typing rules for processes I

[t-idle]

$$\text{un}(\Gamma)$$

[t-par]

$$\frac{\Gamma_1 \vdash P_1 \quad \Gamma_2 \vdash P_2}{\Gamma_1 + \Gamma_2 \vdash P_1 | P_2}$$

[t-let]

$$\frac{\Gamma \vdash e : t \times s \quad \Gamma', x : t, y : s \vdash P}{\Gamma + \Gamma' \vdash \text{let } x, y = e \text{ in } P}$$

# Typing rules for processes I

[t-idle]

$$\text{un}(\Gamma) \quad \frac{}{\Gamma \vdash \mathbf{0}}$$

[t-par]

$$\frac{\Gamma_1 \vdash P_1 \quad \Gamma_2 \vdash P_2}{\Gamma_1 + \Gamma_2 \vdash P_1 | P_2}$$

[t-let]

$$\frac{\Gamma \vdash e : t \times s \quad \Gamma', x : t, y : s \vdash P}{\Gamma + \Gamma' \vdash \text{let } x, y = e \text{ in } P}$$

[t-case]

$$\frac{\Gamma \vdash e : t \oplus s \quad \Gamma', x : t \vdash P \quad \Gamma', y : s \vdash Q}{\Gamma + \Gamma' \vdash \text{case } e \{ \text{inl } x \Rightarrow P, \text{inr } y \Rightarrow Q \}}$$

# Typing rules for processes II

[t-newL]

$$\frac{\Gamma, a : p[t]_n^m \vdash P \quad p = \{?, !\} \text{ or } p = \emptyset}{\Gamma \vdash (\nu a)P}$$

[t-newU]

$$\frac{\Gamma, a : p[t] \vdash P \quad p = \{?, !\} \text{ or } p = \emptyset}{\Gamma \vdash (\nu a)P}$$

# Typing rules for processes III

[t-in\*]

$$\frac{\Gamma, x : t \vdash P \quad \text{un}(\Gamma)}{\Gamma + u : ?[t] \vdash *u?(x).P}$$

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- $u$  must be an unlimited channel with input polarity
- the residual environment  $\Gamma$  must be unlimited, because the continuation  $P$  can be spawned an arbitrary number of times
- $?[t] + p[t] = \{?\} \cup p[t]$  allows  $u \in \text{dom}(\Gamma)$

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$$\$_k^h t \stackrel{\text{def}}{=} \begin{cases} p[s]_{n+k}^{m+h} & \text{if } t = p[s]_n^m \text{ and } p \neq \emptyset \\ (\$_k^h t_1) \times (\$_k^h t_2) & \text{if } t = t_1 \times t_2 \\ (\$_k^h t_1) \oplus (\$_k^h t_2) & \text{if } t = t_1 \oplus t_2 \\ t & \text{otherwise} \end{cases}$$

$\$_k^h t$  is  $t$  with all levels/tickets in the topmost linear channel types transposed by  $h$  and  $k$ , respectively

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$$\$(\text{int} \times ?[\text{int}]_0^3)_2^1 = (\$(\text{int})_2^1 \times (\$(\text{int})_0^3)_1^1) = \text{int} \times ?[\text{int}]_2^4$$

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- an unlimited channel with input polarity cannot be communicated

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- an unlimited channel with input polarity cannot be communicated
- the level of the channel can be shifted by an arbitrary amount  $m$

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- an unlimited channel with input polarity cannot be communicated
- the level of the channel can be shifted by an arbitrary amount  $m$
- one ticket is consumed

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$$\frac{\Gamma \vdash e : \$_1^m t \quad \perp < |t|}{\Gamma + u : ![t] \vdash u! \langle e \rangle}$$

$$a : \mu\alpha.![\alpha] \vdash a! \langle a \rangle$$

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[t-out\*]

$$\frac{\Gamma \vdash e : \$\underline{1}^m t \quad \perp < |t|}{\Gamma + u : ![t] \vdash u! \langle e \rangle}$$

**shift implements polymorphism:** an unlimited channel of type  $![t]$   
 accepts messages of type  $\$^n \underline{t}$  for any n

# Typing rules for processes IV

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$$\frac{\Gamma, x : \$_0^m t \vdash P \quad m < |\Gamma|}{\Gamma + u : ?[t]_n^m \vdash u?(x).P}$$

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$$\frac{\Gamma, x : \$_0^m t \vdash P \quad m < |\Gamma|}{\Gamma + u : ?[t]_n^m \vdash u?(x).P}$$

$$|\Gamma| = \min\{|\Gamma(u)| \mid u \in \text{dom}(\Gamma)\}$$

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[t-in]

$$\frac{\Gamma, x : \$_0^m t \vdash P \quad m < |\Gamma|}{\Gamma + u : ?[t]_n^m \vdash u?(x).P}$$

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- the type of  $x$  is not just  $t$ , but  $t$  with level shifted by  $m$
- the input on  $u$  does not block operations on other channels with equal or lower level

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$$\frac{\Gamma, x : \$_0^m t \vdash P \quad m < |\Gamma|}{\Gamma + u : ?[t]_n^m \vdash u?(x).P}$$

$$a : ?[\text{int}]_0^1, b : ![\text{int}]_0^0 \not\vdash a?(x).b!(x)$$

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$$a : \{?, !\}[\text{int}]_0^h \not\vdash a?(x).a!(x)$$

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- the type of  $e$  is not just  $t$ , but  $t$  shifted by  $m$
- the tickets are shifted by 1

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$$\frac{\Gamma \vdash e : \$_1^m t \quad 0 < |t|}{\Gamma + u : ![t]_n^m \vdash u!(e)}$$

- the type of  $e$  is not just  $t$ , but  $t$  shifted by  $m$
- the tickets are shifted by 1
- the level of the message is greater than that of the channel on which it travels

# Typing rules for processes IV

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[t-out]

$$\frac{\Gamma \vdash e : \$_1^m t \quad 0 < |t|}{\Gamma + u : ![t]_n^m \vdash u!(e)}$$

$$a : ![\?[int]_0^1]_0^2, b : ?[int]_1^3 \vdash a!(b) \quad (\?[int]_1^3 = \$_1^2 ?[int]_0^1)$$

# Typing rules for processes IV

[t-in]

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$$a : ?[![\text{int}]_0^2]_0^0, b : ![\![\text{int}]\!]_0^1_0 \not\vdash a?(x).b!(x)$$

# Typing rules for processes IV

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$$\frac{\Gamma \vdash e : \$_1^m t \quad 0 < |t|}{\Gamma + u : ![t]_n^m \vdash u!(e)}$$

- a linear channel of type  $![t]_{\underline{n}}^h$  accepts messages of type  $\$_{\underline{n}}^h t$

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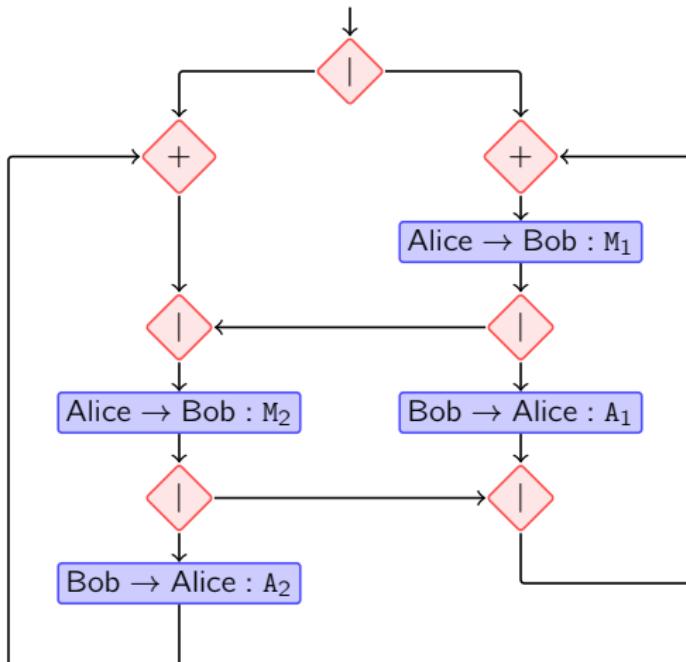
- a linear channel of type  $![t]_{\_}^h$  accepts messages of type  $\$_{\_}^h t$
- message types are “relative” to the level of the channels on which they travel

# Soundness

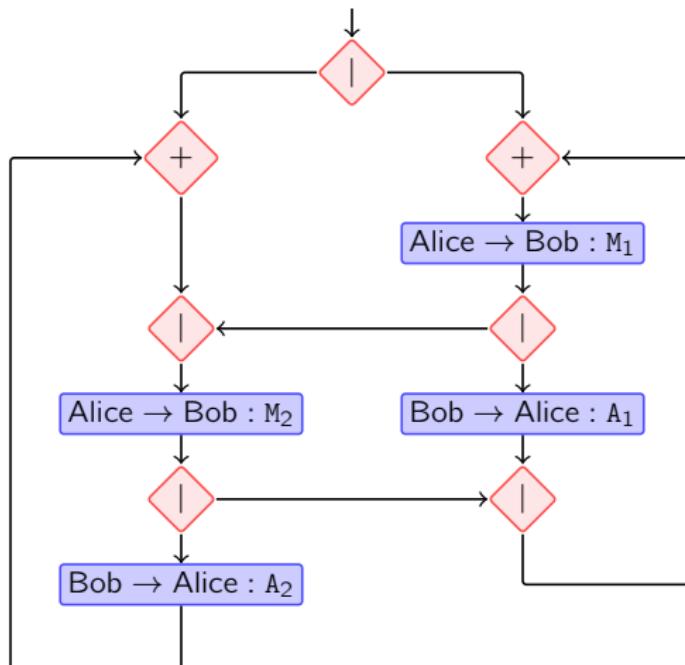
## Theorem

- *If  $\vdash P$  without taking into account the conditions on tickets, then  $P$  is deadlock free*
- *If  $\vdash P$ , then  $P$  is lock free*

# Lock-free alternating bit protocol



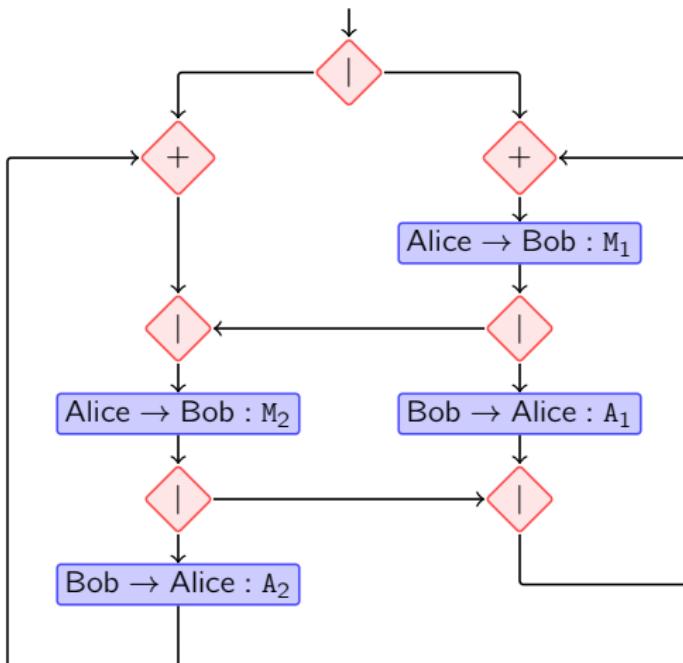
# Lock-free alternating bit protocol



merging points (+ nodes) forks (| nodes with two outgoing arcs) joins (| nodes with two incoming arcs)

Alice sends alternated messages M<sub>1</sub> and M<sub>2</sub> to Bob, and Bob answers with corresponding acknowledgments A<sub>1</sub> and A<sub>2</sub>  
Alice waits for both A<sub>1</sub> and A<sub>2</sub> before sending the next message

# Lock-free alternating bit protocol



- Deniéou, Yoshida, **Multiparty Session Types Meet Communicating Automata**, ESOP 2012

# Lock-free alternating bit protocol

$$\text{Bob} \stackrel{\text{def}}{=} *bob?(x_0^0, y_0^2). \\ (\nu a_3^3 b_3^5)(x_0^0 ?(\bar{x}_0^1) . (\bar{x}_0^1 !\langle a_2^3 \rangle | \\ y_0^2 ?(\bar{y}_0^3) . (\bar{y}_0^3 !\langle b_2^5 \rangle | bob!(a_1^3, b_1^5))))$$

$$\text{Alice}_1 \stackrel{\text{def}}{=} *alice_1?(x_0^0, z_0^1). \\ (\nu a_1^1 c_1^2)(x_0^0 !\langle a_1^1 \rangle | z_0^1 !\langle c_1^2 \rangle | \\ a_0^1 ?(\bar{x}_1^3) . c_0^2 ?(\bar{z}_1^4) . alice_1!(\bar{x}_1^3, \bar{z}_1^4))$$

$$\text{Alice}_2 \stackrel{\text{def}}{=} *alice_2?(y_0^2, z_0^1). \\ (\nu b_1^3 c_3^4)(z_0^1 ?(\bar{z}_0^2) . (y_0^2 !\langle b_1^3 \rangle | \bar{z}_0^2 !\langle c_2^4 \rangle | \\ b_0^3 ?(\bar{y}_1^5) . alice_2!(\bar{y}_1^5, c_1^4)))$$

$$(\nu ab)(\text{Bob} | \text{Alice}_1 | \text{Alice}_2 | bob!(a, b) | (\nu c)(alice_1!(a, c) | alice_2!(b, c)))$$

# Global types

global types guarantee lock freedom by design within sessions

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recursive protocols end is short for  $\mu\alpha.\alpha$

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Unsensible protocol

$$G_a \stackrel{\text{def}}{=} A \rightarrow B.\{C \rightarrow D.\text{end}, D \rightarrow C.\text{end}\}$$

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Unsensible protocol

$$G_a \stackrel{\text{def}}{=} A \rightarrow B.\{C \rightarrow D.\text{end}, D \rightarrow C.\text{end}\}$$

neither C nor D are aware of the decision of A

# Realisable global types

$$G_b \stackrel{\text{def}}{=} A \xrightarrow{\ell_1} B. \left\{ \begin{array}{l} B \xrightarrow{\ell_2} A.C \xrightarrow{\ell_3} D.\text{end} \\ C \xrightarrow{\ell_3} D.B \xrightarrow{\ell_4} A.\text{end} \end{array} \right\}$$

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$$G_c \stackrel{\text{def}}{=} A \xrightarrow{\ell_1} B. \left\{ \begin{array}{l} E \xrightarrow{\ell_2} F.C \xrightarrow{\ell_3} D.\text{end} \\ C \xrightarrow{\ell_3} D.E \xrightarrow{\ell_2} F.\text{end} \end{array} \right\}$$

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All global types of dyadic sessions are realisable

# Characteristic participants

$$G_d \stackrel{\text{def}}{=} \mu\alpha.A \rightarrow B.B \rightarrow C.C \rightarrow A.\alpha$$

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$$\begin{aligned} \mathbf{P}(G_d, A) = & \\ (\nu c_\alpha)(c_\alpha! \langle x_{AB}, x_{AC} \rangle \mid & \\ *c_\alpha? (x_{AB}, x_{AC}) \cdot & \\ & c_\alpha! \langle x_{AB}, x_{AC} \rangle)) \end{aligned}$$

# Characteristic participants

$$G_d \stackrel{\text{def}}{=} \mu\alpha.\textcolor{red}{A \rightarrow B}.B \rightarrow C.C \rightarrow A.\alpha$$

**P**( $G_d$ , A) =  
 $(\nu c_\alpha)(c_\alpha!(x_{AB}, x_{AC}) \mid$   
 $*c_\alpha?(x_{AB}, x_{AC}).(\nu a)(x_{AB}!(a) \mid \text{let } x_{AB} = a \text{ in}$   
 $c_\alpha!(x_{AB}, x_{AC}))$

# Characteristic participants

$$G_d \stackrel{\text{def}}{=} \mu\alpha.A \rightarrow B.B \rightarrow C.\textcolor{red}{C} \rightarrow \textcolor{red}{A}.\alpha$$

**P**( $G_d, A$ ) =  
 $(\nu c_\alpha)(c_\alpha!(x_{AB}, x_{AC}) \mid$   
 $*c_\alpha?(x_{AB}, x_{AC}).(\nu a)(x_{AB}!(a) \mid \text{let } x_{AB} = a \text{ in}$   
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# Main result

## Theorem

*Each characteristic participant of a realisable global type is well typed.*

# Tracking dependencies between **sessions**

- ① associate processes with **dependencies**  $a \prec b$

“an action of service  $a$  blocks an action of service  $b$ ”

- ② a process is well typed if it yields **no circular dependencies**

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# Computing service dependencies

$$a(y).b(z).y?(x).z! \langle x \rangle \quad a \prec b$$

$$\bar{a}(y).\bar{b}(z).z?(x).y! \langle x \rangle \quad b \prec a$$

# Service names as messages

$$a(y).b(z).y?(x).z! \langle x \rangle \quad a \prec b$$

$$\bar{c}(t).t?(x).\bar{x}(y).\bar{b}(z).z?(x).y! \langle x \rangle$$

$$c(t).t! \langle a \rangle$$

# Service names as messages

$$a(y).b(z).y?(x).z! \langle x \rangle \quad a \prec b$$

$$t?(x).\bar{x}(y).\bar{b}(z).z?(x).y! \langle x \rangle$$

  
 $t! \langle a \rangle$

# Service names as messages

$$a(y).b(z).y?(x).z! \langle x \rangle \quad a \prec b$$

$$\bar{a}(y).\bar{b}(z).z?(x).y! \langle x \rangle \quad b \prec a$$

# Service names as messages

$$a(y).b(z).y?(x).z! \langle x \rangle \quad a \prec b$$

$$\bar{a}(y).\bar{b}(z).z?(x).y! \langle x \rangle$$

## Idea

- identify a class of safe services even if mutually dependent
- restrict messages to services in this class

# Nested services

## Definition

$a$  is a **nested service** if  $\lambda \prec a$  implies that  $\lambda$  is a nested service

Nested?

$$\bar{a}(y).\bar{a}(z).z?(x).y?(x')$$
       $a \prec a$       ✓

$$\begin{array}{l} \bar{a}(y).\bar{b}(z).z?(x).y?(x') \\ | \quad \bar{b}(z).\bar{a}(y).y?(x).z?(x') \end{array}$$
       $b \prec a$       ✓  
       $a \prec b$

$$\bar{a}(y).\bar{b}(z).y?(x).z?(x')$$
       $y \prec b$       ✗

# Boundable services

$$a(y).(\nu b)(b(z).z?(x).y!(\langle x \rangle))$$

- no parallel process can help starting the session on  $b$

# Boundable services

$$a(y).(\nu b)(b(z).z?(x).y!(x))$$

- no parallel process can help starting the session on  $b$

## Definition

$a$  is **boundable** if it is never followed by free channels

- $b$  is nested but not boundable

# Interaction type system

$$\begin{array}{c}
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad a \in \mathcal{R}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \bar{a}[p](y).P \triangleright \mathcal{D}\{a/y\}^+} \text{ {INITR}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad a \in \mathcal{B} \quad \text{fc}(P) \subseteq \{y\}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \bar{a}[p](y).P \triangleright \mathcal{D} \setminus \{y\}} \text{ {INITB}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad e \in \mathcal{S} \Rightarrow e \in \mathcal{N} \cup \mathcal{B}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash c!(\Pi, e).P \triangleright \mathcal{D}} \text{ {SEND}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash c!(\langle p, c' \rangle).P \triangleright (\{\lambda(c) \prec \lambda(c')\} \cup \mathcal{D})^+} \text{ {DELEG}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \mathbf{0} \triangleright \emptyset} \text{ {INACT}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P_1 \triangleright \mathcal{D}_1 \quad \Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P_2 \triangleright \mathcal{D}_2}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P_1 \mid P_2 \triangleright (\mathcal{D}_1 \cup \mathcal{D}_2)^+} \text{ {PAR}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash c \oplus (\Pi, l).P \triangleright \mathcal{D}} \text{ {SEL}} \\
 \frac{e \in \mathcal{S} \Rightarrow e \in \mathcal{N} \cup \mathcal{B}}{\Theta, X[y] \triangleright \mathcal{D}; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash X(e, c) \triangleright \mathcal{D}\{\lambda(c)/y\}} \text{ {VAR}} \\
 \frac{\Theta, X[y] \triangleright \mathcal{D}; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad \Theta, X[y] \triangleright \mathcal{D}; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash Q \triangleright \mathcal{D}'}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \text{def } X(x, y) = P \text{ in } Q \triangleright \mathcal{D}'} \text{ {DEF}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad a \in \mathcal{N}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \bar{a}[p](y).P \triangleright \mathcal{D} \setminus \{y\}} \text{ {INITN}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad \text{fc}(P) \subseteq \{y\}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \bar{x}[p](y).P \triangleright \mathcal{D} \setminus \{y\}} \text{ {INITV}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash c?(\mathbf{q}, x).P \triangleright (\text{pre}(c, \text{fc}(P)) \cup \mathcal{D})^+} \text{ {RCV}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad \mathcal{D} \setminus \mathcal{S} \subseteq \{\lambda(c) \prec y\}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash c?(\mathbf{q}, y).P \triangleright \mathcal{D} \setminus \{y\}} \text{ {SRCV}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P \triangleright \mathcal{D} \quad a \in \mathcal{B}}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \{a\} \vdash (va : G).P \triangleright \mathcal{D} \setminus \{a\}} \text{ {NRES}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P_1 \triangleright \mathcal{D}_1 \quad \Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P_2 \triangleright \mathcal{D}_2}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash \text{if } e \text{ then } P_1 \text{ else } P_2 \triangleright (\mathcal{D}_1 \cup \mathcal{D}_2)^+} \text{ {IF}} \\
 \frac{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash P_i \triangleright \mathcal{D}_i \quad \forall i \in I}{\Theta; \mathcal{R}; \mathcal{N}; \mathcal{B} \vdash c \& (\mathbf{p}, \{l_i : P_i\}_{i \in I}) \triangleright (\text{pre}(c, \bigcup \text{fc}(P_i)) \cup \bigcup_{i \in I} \mathcal{D}_i)^+} \text{ {BRANCH}}
 \end{array}$$

# Summary

Before: two ways of assuring (dead)lock freedom:

- refined type systems for process calculi
  - + are compositional
  - - do not deal well with recursive processes and cyclic network topologies
- global types
  - + deal well with recursive processes and cyclic network topologies
  - - are not compositional

Now: the new form of polymorphic typing:

- + deal well with recursive processes and cyclic network topologies
- + is compositional

anyway

$$*c?(x, y).x?(z).y?(z) \mid *c?(x, y).y?(z).x?(z)$$

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# Thanks

