

BEHAVIORAL TYPES FOR HOI CONCURRENT PROGRAMMING

DRAFT 2.1

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typeful programming

- Huge impact on software quality:
 - “Well-typed programs do not go wrong” [Milner]
- Huge impact on programming (as a human activity):
 - types “tame programmers” to write reasonable code
- “Adopted” type systems are purely structural, state oblivious, unable to tackle the challenges of (shared) state concurrency, aliasing, etc (but, see e.g., Rust).
 - “Well-typed concurrent programs often go wrong” [?]

typing concurrent programming

- A program in a *typed* concurrent programming language should not go wrong !
- Unfortunately, it often does.
- Many relevant contributions from this community:
 - Many specific type systems for abstract models (π , ambients) and properties (deadlock freedom, race absence, fidelity)
 - Emphasis on message passing (communication, sessions)
 - Results only partially aligned with the expectations and actual problems of mainstream programming technology
 - Even harder with general logics (so good to stick to types)

a challenge

- Would it be possible to do for concurrent programming what “classical type theory” did for general programming?
- What could be the core ingredients of a scalable and reasonably general type theory for general concurrency?

Insights from process algebra and (sub)structural logics suggest that notions of *behavioral types* may help to provide a uniform foundation for typing concurrent programs

“the essence of concurrency is interference” (also in aliasing)

In this talk, I discuss a bit this (not so recent) view, illustrating with some recent [popl'13, ecoop'14] and ongoing work

a challenge

- Would it be possible to do for concurrent programming what “classical type theory” did for general programming?
- What could be the core ingredients of a scalable and reasonably general type theory for general concurrency?
- Insights from process types and substructural logics (linear and separation) suggest that *behavioural types* may provide the right foundation for taming “real” concurrency
- “the essence of concurrency is interference” (also in aliasing)
- In this talk, I present **behavioural separation types**, building on our recent work [POPL’13, ECOOP’14, ECOOP’16].

programming language

e, f	$::=$	x	(<i>Variable</i>)
		$\lambda x.e$	(<i>Abstraction</i>)
		$e_1 e_2$	(<i>Application</i>)
		let $x = e_1$ in e_2	(<i>Definition</i>)
		ref	(<i>Heap cell alloc</i>)
		free e	(<i>Heap cell free</i>)
		$a := e$	(<i>strong Update</i>)
		$!a$	(<i>Dereference</i>)
		$[l_1 = e_1 \dots]$	(<i>Tuple</i>)
		$e.l$	(<i>Selection</i>)
		if $(e_1 == e_2)$ as x e_3 else e_4	(<i>Match</i>)
		$\#l(e)$	(<i>Variant</i>)
		case e of $\#l_i(x_i) \rightarrow e_i$	(<i>Conditional</i>)
		fork e	(<i>New thread</i>)
		wait e	(<i>Wait</i>)
		res a in e	(<i>resource bundle</i>)
		open (a)	(<i>enter bundle</i>)
		close (a)	(<i>leave bundle</i>)

a queue ADT

```
let newQueue =  
   $\lambda []$ .var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
    )  
  )
```


typical queue configurations

```
let newQueue =  
  λ[].var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]
```



typical queue configurations

let *newQueue* =

$\lambda[]$.**var** *hd*, *tl* **in** (

hd := #F(*newNode* **nil**); *tl* := #N;

res *s* **in** (

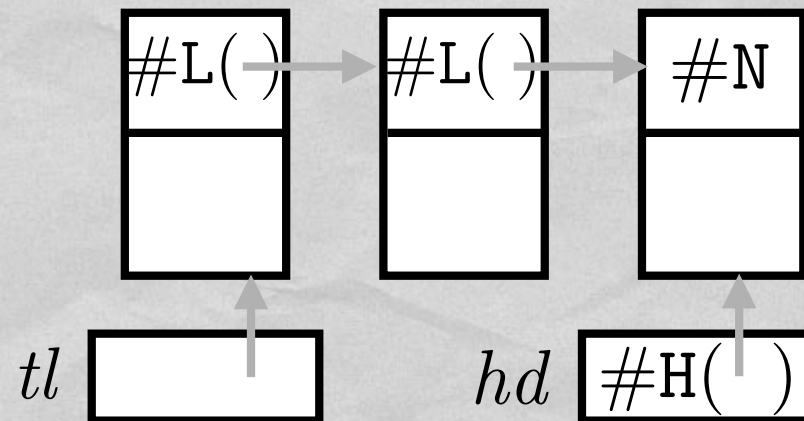
[

enq = ...

|

deq = ...

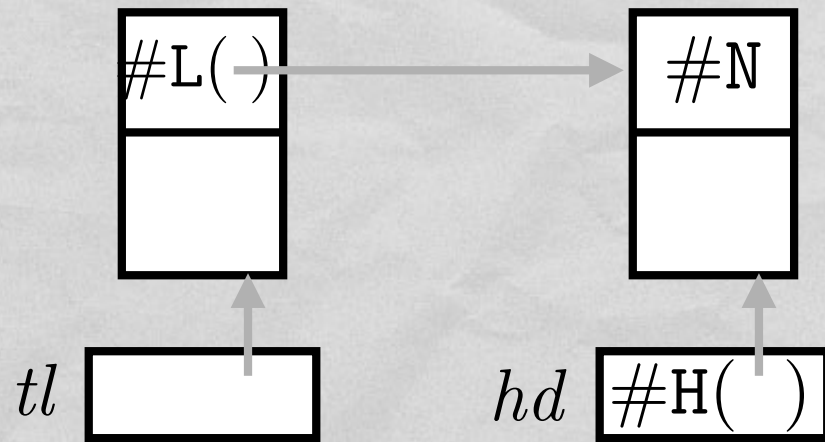
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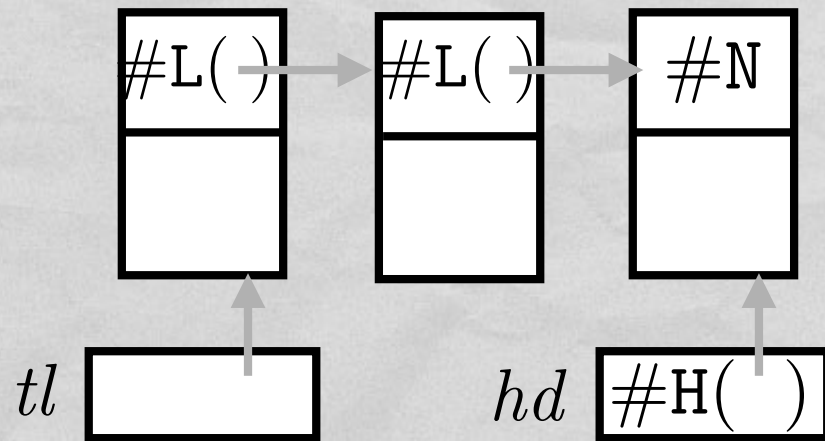
typical queue configurations



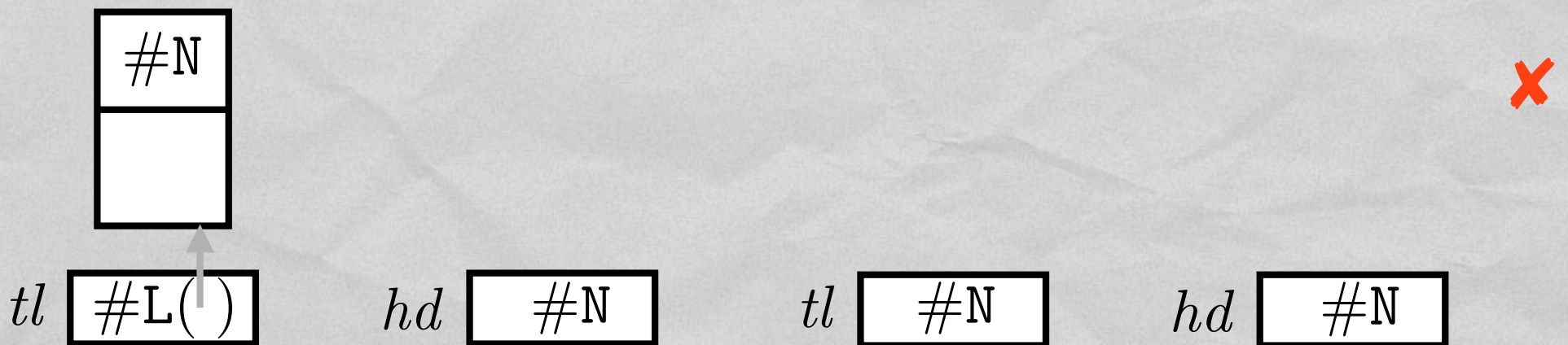
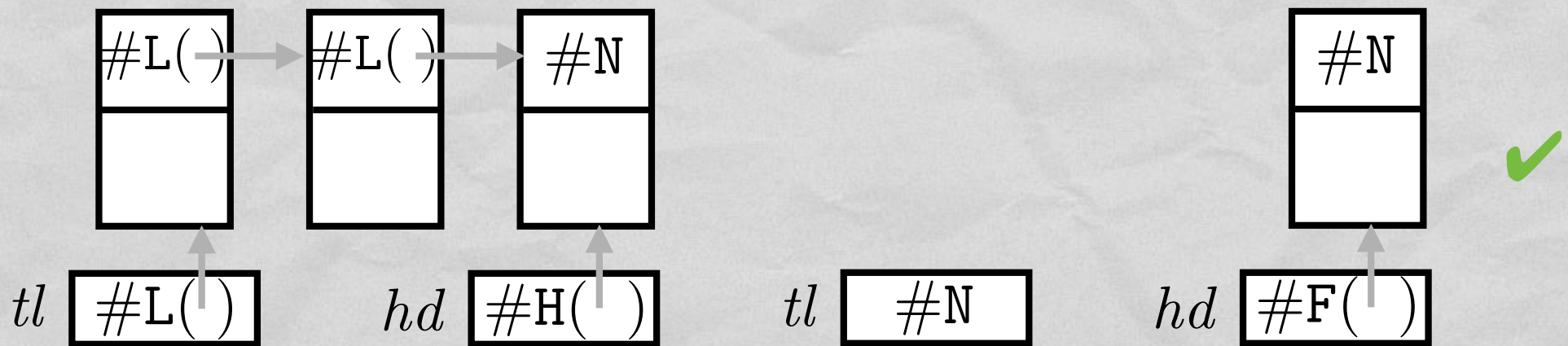
typical queue configurations



typical queue configurations



good / bad queue states



code for queue Node

```
let newNode =  $\lambda []$ .var nxt := #N in  
  res n in  
  [ setNxt =  $\lambda p$ .(open n;  
    nx := #L(p); close n) |  
    getNxt = open n;  
    let p =!nxt in (close n; p) |  
    disp = (open n; free nxt; close n) ]
```


code for enqueue operation

```
enq = let n = (newNode nil) in  
  (  
    open s;  
    case hd of  
      #H(hv) → (hv.setNext(n); hd := #H(n))  
      #F(fn) → (fn.setNext(n); hd := #H(n); tl := fn);  
    close s  
  )
```

code for dequeue operation

```
deq = open s;  
  case hd of  
    #F(fn) → hd := #F(fn)  
    #H(hv) → (  
      case tl.getNxt of  
        #N → nil  
        #L(tv) → (tl.disp;  
          if (hv == tv) as u  
            (hd := #F(u); tl := #N)  
          else (hd := #H(hv); tl := tv));  
    close s
```


client code

```
let  $q = newQueue()$ in  
  let  $t_1 = fork(rec(X).q.enq; X)$ in  
    let  $t_2 = fork(rec(X).q.dec; X)$ in  
      (wait  $t_1$ ; wait  $t_2$ )
```

structural types

```
let newQueue =  
   $\lambda []$ . var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
    )  
  )  
  
newQueue : 0  $\rightarrow$  {enq : 0, deq : 0} (record type)
```


behavioral types

```
let newQueue =  
   $\lambda []$ . var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
    )  
  
newQueue : !(0  $\mapsto$  rec(X).(enq & deq; X)
```

behavioral types

```
let newQueue =  
   $\lambda []$ . var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
    )  
  )
```

$\text{newQueue} : !(0 \mapsto \text{rec}(X).(enq \ \& \ deq; X))$

behavioral types

```
let newQueue =  
   $\lambda []$ . var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
    )  
  )
```

$newQueue : !0 \mapsto \text{rec}(X).(enq ; X) \mid \text{rec}(X).(deq ; X)$

behavioral types

```
let newQueue =  
   $\lambda []$ . var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
    )  
  )  
  
newQueue : 0  $\mapsto$  (!enq | !deq)
```


behavioral types

```
let newQueue =  
  λ[].var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
      Single = hd:rd(#F(Node)) | tl:rd(#N)  
      Many = hd:rd(#H(Hv)) | tl:rd(Tv)  
      A = (Single ∨ Many); (hd:var | tl:var)  
      s : ρ(inv(A))
```

type structure

behavioral separation types

T, U	$::=$	0	$(stop)$		$T \mapsto V$	$(function)$
		$T ; U$	$(sequential)$		$T \mid U$	$(parallel)$
		$T \& U$	$(intersection)$		$!T$	$(shared)$
		$T \vee U$	$(union)$		$l:T$	$(field)$
		$\bigoplus_{l \in I} \#l:T_l$	$(alternative)$		$\rho(A)$	$(bundle)$
		$\circ T$	$(isolated)$		$\tau(T)$	$(thread)$
		$\mathbf{rec}(X)T$	$(recursion)$		X	$(recursion\ var)$

- types express safe usage capabilities from client perspective
- enforces abstraction / information hiding

recall the linear λ -calculus

$$\frac{A \mid x:U \vdash e : T}{A \vdash \lambda x.e : U \mapsto T} \text{ (VAbs)}$$

$$\frac{A \vdash e_1 : U \mapsto T \quad B \vdash e_2 : U}{A \mid B \vdash e_1 e_2 : T} \text{ (App)}$$

$$0 \vdash \mathbf{nil} : 0$$

$$A, B ::= \mathbf{0} \mid x:T, A$$

$A <: B$ (A is a subtype of B)

$A \vdash e : U$ (e yields value of type U under B)

adding dynamics (cf. Hoare types)

$A <: B$ (A is a subtype of B)

$A \vdash_z e :: B$ (e types from A to z in B)

type assertions (cf. type environments as “global types”):

$A, B ::= \mathbf{0} \mid x:T \mid A; B \mid A|B \mid A \& B \mid A \vee B \mid !A \mid \circ A$

(linear) arrow type

$$\frac{A \mid x:U \vdash_y e :: y:T}{A \vdash_z \lambda x.e :: z:U \multimap T} \quad (V\text{Abs})$$

$$\frac{A \vdash_z e_1 :: z:U \multimap T \quad B \vdash_x e_2 :: x:U}{A \mid B \vdash_y e_1 e_2 :: y:T} \quad (App)$$

- symmetric monoidal closed: $(T, 0, (- \mid -), \multimap)$

structural and frame rules

$$x:U \vdash_z x :: z:U \text{ (Id)} \quad \frac{A \vdash_x e_1 :: B \quad B \vdash_y e_2 :: C}{A \vdash_y \mathbf{let} \ x = e_1 \ \mathbf{in} \ e_2 :: C} \text{ (Let/Cut)}$$

$$\frac{A <: A' \quad A' \vdash_x e :: B' \quad B' <: B}{A \vdash_x e :: B} \text{ (Sub)}$$

$$\frac{A \vdash_x e :: B}{A | C \vdash_x e :: B | C} \text{ (Par)} \quad \frac{A \vdash_x e :: B}{A ; C \vdash_x e :: B ; C} \text{ (Seq)}$$

laws for parallel and sequence

$$U ; (V ; T) \Leftrightarrow (U ; V) ; T \quad U ; 0 \Leftrightarrow U \quad 0 ; U \Leftrightarrow U$$

$$U | (V | T) \Leftrightarrow (U | V) | T \quad U | V \Leftrightarrow V | U \quad U | 0 \Leftrightarrow U$$

$$(A ; C) | (B ; D) \Leftarrow (A | B) ; (C | D)$$

(special case) $A | D \Leftarrow A ; D$

sample typing judgments

$A <: B$ (A is a subtype of B)

$A \vdash_z e :: B$ (e types from A to z in B)

$(f:U \mapsto V ; y:U) \mid x:U \vdash_z (f x) :: z:V ; y:U$ ✓

$(f:U \mapsto V ; y:U) \mid x:U \vdash_z (f y) ::$ ✗

$a:\text{use} \vdash_z (\lambda x.a := x) :: z:\circ U \mapsto 0 ; a:\text{rd}(\circ U)$ ✓

field type

$$\frac{A \vdash_x e :: x:U}{A \vdash_z [\dots l = e \dots] :: z:l:U} (\textit{Field})$$

$$\frac{A \vdash_z e :: z:l:T}{A \vdash_x e.l :: x:T} (\textit{Sel})$$

(linear) intersection type

$$\frac{A \vdash_y e :: B \quad A \vdash_y e :: C}{A \vdash_y e :: B \ \& \ C} \text{ (And)}$$

$$U \ \& \ V \prec: U$$

$$U \ \& \ V \prec: V$$

$$\frac{A \vdash_y e :: B_1 \ \& \ B_2}{A \vdash_y e :: B_i} \text{ (AndE)}$$

$$U \prec: U \ \& \ U$$

- unlabeled choice (e.g., exporting multiple interfaces)
- examples: $A \vdash [up = e_1 | dn = e_2] :: x:(up:\mathbf{0} \ \& \ dn:\mathbf{0})$

separation types

$$0 \vdash_y v :: 0 \quad (VStop)$$

$$\frac{A \vdash_y v :: C \quad B \vdash_y v :: D}{A ; B \vdash_y v :: C ; D} \quad (VSeq)$$

$$\frac{A \vdash_y v :: C \quad B \vdash_y v :: D}{A | B \vdash_y v :: C | D} \quad (VPar)$$

- Concurrent Kleene Algebra [Hoare]:

$$(T, (- \& -), (- | -), (- ; -), 0)$$

2014 update on CKA

Developments in Concurrent Kleene Algebra

Tony Hoare¹, Stephan van Staden², Bernhard Möller³, Georg Struth⁴,
Jules Villard⁵, Huibiao Zhu⁶, and Peter O'Hearn⁷

¹ Microsoft Research, Cambridge, United Kingdom

² ETH Zurich, Switzerland

³ Institut für Informatik, Universität Augsburg, Germany

⁴ Department of Computer Science, The University of Sheffield, United Kingdom

⁵ Department of Computing, Imperial College London, United Kingdom

⁶ Software Engineering Institute, East China Normal University, China

⁷ Facebook, United Kingdom

(linear) labeled sum type

$$\frac{A \vdash_y e_c :: y : \bigoplus_{l \in I} \#l : T_l \quad x_i : T_i \mid B \vdash_z e_i :: C}{A \mid B \vdash_z \mathbf{case} \ e_c \ \mathbf{of} \ \#l(x) \rightarrow e :: C} \text{ (Case)}$$

$$\frac{A \vdash_z e :: z : T_i}{A \vdash_z \#l_i(e) :: z : \bigoplus_{l \in I} \#l : T_l} \text{ (Option)}$$

- labeled choice (cf. variant type)

(linear) union type

$$\frac{A \vdash_z e :: C \quad B \vdash e :: C}{A \vee B \vdash_z e :: C} \text{ (UnionCase)}$$

$$\frac{A \vdash_z e :: z:T_i}{A \vdash_z e :: z:\forall l \in I T_l} \text{ (InUnion)}$$

- unlabeled union (e.g., exporting some interface)
- examples: $s : \text{rec}(X).(empty?:\#T; push \vee empty?:\#F; (pop \& push); X)$

types for sharing and isolation

$$\frac{!A_1 \mid \dots \mid !A_n \vdash_x v :: B}{!A_1 \mid \dots \mid !A_n \vdash_x v :: !B} \quad (VShr)$$

$$\frac{\circ A_1 \mid \dots \mid \circ A_n \vdash_x e :: B}{\circ A_1 \mid \dots \mid \circ A_n \vdash_x e :: \circ B} \quad (Iso)$$

- monoidal co-monads: $\circ(-)$ isolated
 $!(-)$ shared

laws for sharing (cf. linear logic !)

$$!U \multimap U$$

$$!U \multimap !!U$$

$$0 \multimap !0$$

$$!U \mid !V \multimap !(U \mid V)$$

$$!U \multimap 0$$

$$!U \multimap !U \mid !U$$

reference type

$\vdash_x \mathbf{ref} :: x:\mathbf{var} \text{ (Ref)}$

$$\frac{A \vdash_z e :: x:\mathbf{var}}{A \vdash_x \mathbf{free} e :: x:0} \text{ (Free)}$$

$\mathbf{var} <: \mathbf{use}; \mathbf{var}$

$\mathbf{use} <: \mathbf{use}; \mathbf{use}$

$\mathbf{use} <: \mathbf{wr}(U); \mathbf{rd}(U)$

$\mathbf{wr}(0) <: 0 \quad \mathbf{rd}(0) <: 0$

$\mathbf{rd}(U; V) <: \mathbf{rd}(U); \mathbf{rd}(V)$

$\mathbf{rd}(U | V) <: \mathbf{rd}(U) | \mathbf{rd}(V)$

$\mathbf{rd}(!U) <: !\mathbf{rd}(!U)$

$\mathbf{rd}(\circ U); \mathbf{var} <: \circ(\mathbf{rd}(\circ U); \mathbf{var})$

reference type

$$a:\text{rd}(U) \vdash_x !a :: x:U \quad (RdVB)$$

$$a:\text{rd}(U); \text{use} \vdash_x !a :: x:U \mid a:\text{use} \quad (RdVF)$$

$$\frac{A \vdash_z v :: z:\circ U \mid a:\text{wr}(\circ U)}{A \vdash_z a := v :: 0} \quad (WrVF)$$

$$\frac{A \vdash_z v :: z:U \mid a:\text{use}}{A \vdash_z a := v :: a:\text{rd}(U)} \quad (WrVB)$$

resource bundle types

- region type assigns bundle a *rely-guarantee* protocol R

$$r : \rho(R)$$

- our *rely-guarantee* protocols are given by:

$$R ::= 0 \mid \{A\}\{B\}; R \mid \{B\}; R \mid R \vee R \mid \text{rec}(X)R \mid X$$

- specific sub-typing laws:

$$\rho(A \vee B) <: \rho(A) \vee \rho(B)$$

binary projection op: \bowtie

$$\rho(R) <: \rho(R_1) \mid \rho(R_2) \quad \text{if } R \bowtie (R_1 \mid R_2)$$

- **projection** splits R into *compatible* protocols R_1, R_2, \dots
ensuring coordinated progress of several views / aliases

resource bundle types

$$\frac{r:\rho(\{A\}\{0\}) \mid C \vdash_x e :: r:\rho(\{B\}\{0\}) \mid D}{A \mid C \vdash_x \mathbf{res} \ r \ \mathbf{in} \ e :: B \mid D}$$

$$r:\rho(\{A\}\{B\}; R) \vdash_x \mathbf{open} \ r :: A \mid r:\rho(\{B\}; R)$$

$$B \mid r:\rho(\{B\}; R) \vdash_x \mathbf{close} \ r :: r:\rho(R)$$

- expressing a simpler invariant:

$$\mathit{inv}(A) \triangleq \mathbf{rec}(X).\{A\}\{A\}; X$$

resource bundle types

$$\frac{r:\rho(\{A\}\{0\}) \mid C \vdash_x e :: r:\rho(\{B\}\{0\}) \mid D}{A \mid C \vdash_x \mathbf{res} \ r \ \mathbf{in} \ e :: B \mid D}$$

$$r:\rho(\{A\}\{B\}; R) \vdash_x \mathbf{open} \ r :: A; B \mid r:\rho(\{B\}; R)$$

$$B \mid r:\rho(\{B\}; R) \vdash_x \mathbf{close} \ r :: r:\rho(R)$$

- expressing a simple invariant:

$$\mathit{inv}(A) \triangleq \mathbf{rec}(X).\{A\}\{A\}; X$$

simple resource bundle types

$$\frac{r:\rho(A) \mid C \vdash_x e :: r:\rho(A) \mid D}{A \mid C \vdash_x \mathbf{res} \ r \ \mathbf{in} \ e :: A \mid D}$$

$$r:\rho(A) \vdash_x \mathbf{open} \ r :: A \mid r:\rho(\{A\})$$

$$B \mid r:\rho(\{A\}) \vdash_x \mathbf{close} \ r :: r:\rho(A)$$

queue typing

```
let newQueue =  
   $\lambda []$ . var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
    )  
  )  
  
newQueue : 0  $\rightarrow$  (!enc | !deq)
```

type checking ensures concurrent safety

region type for queue

```
let newQueue =  
  λ[].var hd, tl in (  
    hd := #F(newNode nil); tl := #N;  
    res s in (  
      [  
        enq = ...  
        |  
        deq = ...  
      ]  
      Single = hd:rd(#F(Node)) | tl:rd(#N)  
      Many = hd:rd(#H(Hv)) | tl:rd(Tv)  
      A = (Single ∨ Many); (hd:var | tl:var)  
      s : ρ(inv(A))
```

typing queue nodes

```
let newNode =  $\lambda[]$ .var nxt := #N in  
    res n in  
    [ setNxt =  $\lambda p$ .(open n;  
        nx := #L(p); close n) |  
      getNxt = open n;  
        let p =!nxt in (close n; p) |  
      disp = (open n; free nxt; close n) ]
```

$newNode \triangleq 0 \rightarrow \circ Node$

$Node \triangleq Hv \mid Tv$

$Tv \triangleq \mathbf{rec}(X).getNxt:\#L(Tv) ; disp:0 \vee getNxt:\#N ; X$

$Hv \triangleq setNxt:(Tv \mapsto 0)$

typing node bundle

```
let newNode = λ[].var nxt := #N in
  res n in
    [ setNxt = λp.(open n;
                       nx := #L(p); close n) |
      getNxt = open n;
      let p =!nxt in (close n; p) |
      disp = (open n; free nxt; close n) ]
```

$n : \rho\{nxt : rd(\#N); var\}\{0\}$

<:

$n : \rho \text{rec}(X).(\{nxt:rd(\#L(Tv)); var\}\{nxt:var\}; \{nxt:var\}\{0\} \\ \vee \{nxt:rd(\#N); var\}\{nxt:rd(\#N); var\}; X)$

|

$n : \rho\{nxt:rd(\#N); var\}; \{nxt:rd(\#L(Tv)); var\}$

typing node bundle

```
let newNode = λ[].var nxt := #N in
  res n in
    [ setNxt = λp.(open n;
                      nx := #L(p); close n) |
      getNxt = open n;
      let p =!nxt in (close n; p) |
      disp = (open n; free nxt; close n) ]
```

$\{nxt : rd(\#N); var\}\{0\}$

=

$rec(X).(\{nxt:rd(\#L(Tv)); var\}\{nxt:var\}; \{nxt:var\}\{0\} \\ \vee \{nxt:rd(\#N); var\}\{nxt:rd(\#N); var\}; X)$

⊗

$\{nxt:rd(\#N); var\}; \{nxt:rd(\#L(Tv)); var\}$

(projection)

credits

- separation logics
- spatial logics for concurrency
- session and conversation types
- linear logic (+ connections with session / behavioral types)

Luís Caires, João Costa Seco:

The type discipline of behavioral separation. POPL 2013

Filipe Militão, Jonathan Aldrich, Luís Caires:

Rely-Guarantee Protocols. ECOOP 2014

Filipe Militão, Jonathan Aldrich, Luís Caires: Composing Interfering Abstract Protocols, ECOOP 2016