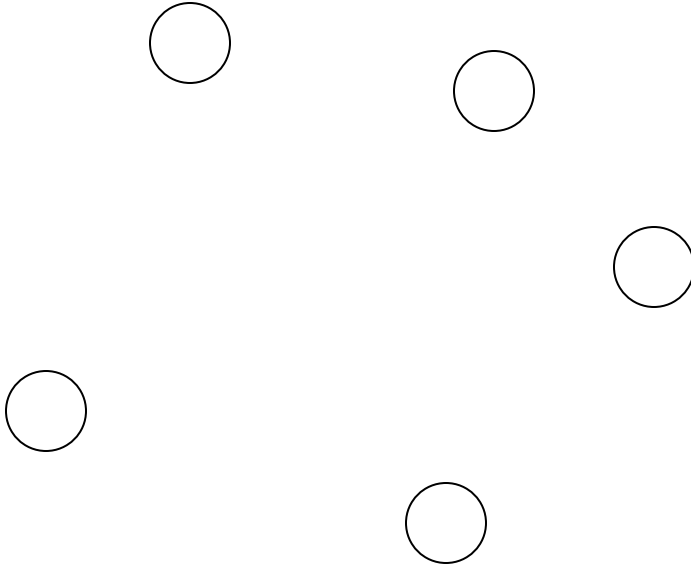


Where are the hard problems?

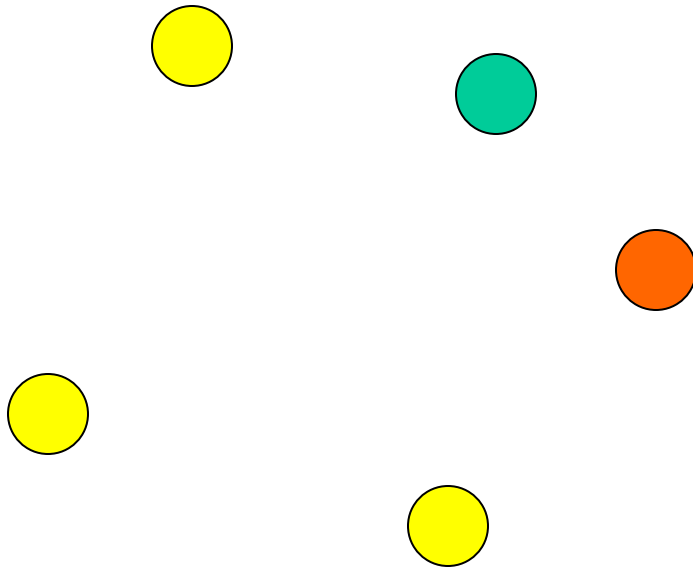
Remember Graph Colouring?

Remember 3Col?

3 Colour me?

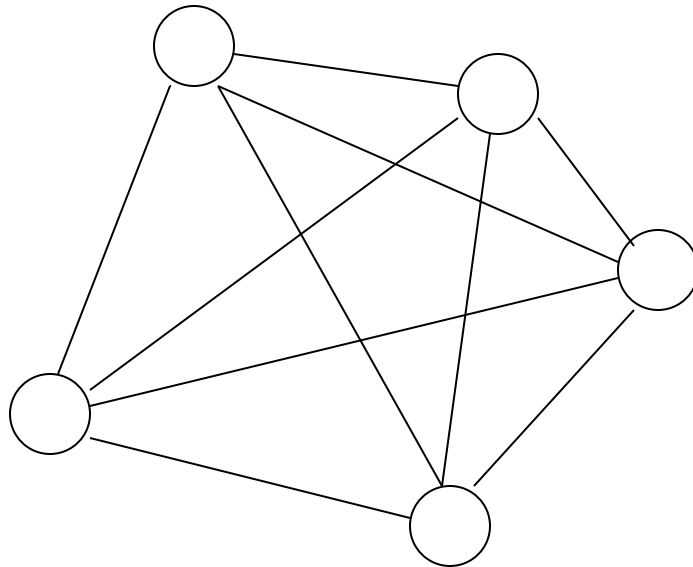


3 Colour me?

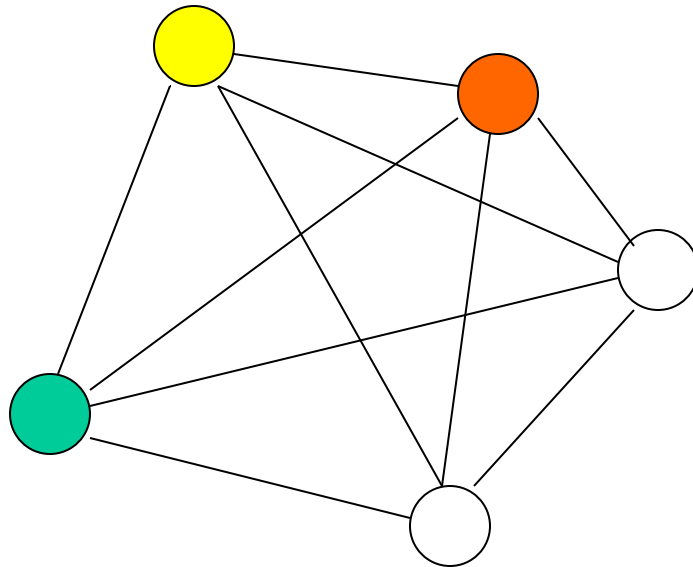


Easy?

3 Colour me?

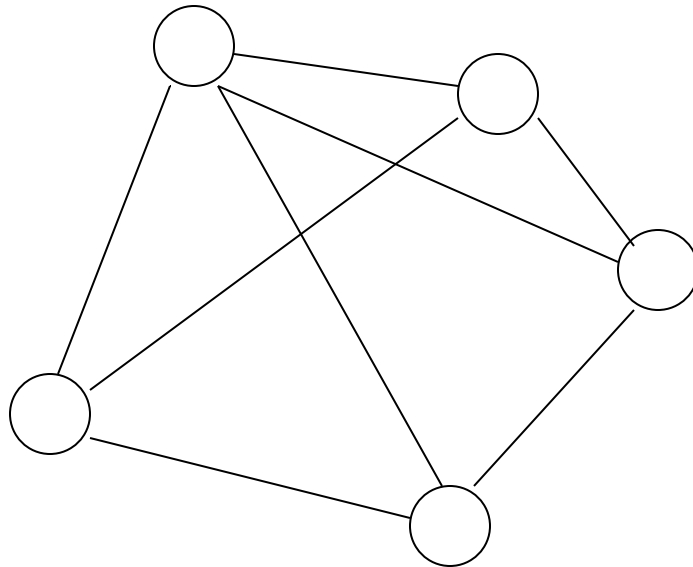


3 Colour me?

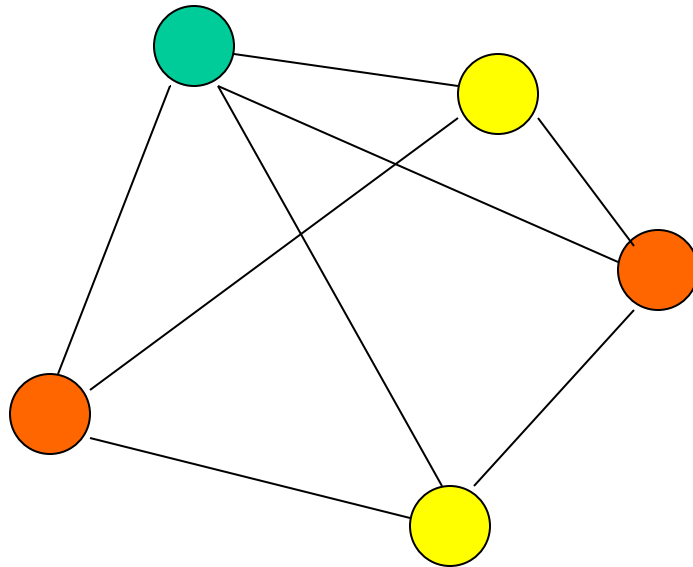


Easy?

3 Colour me?



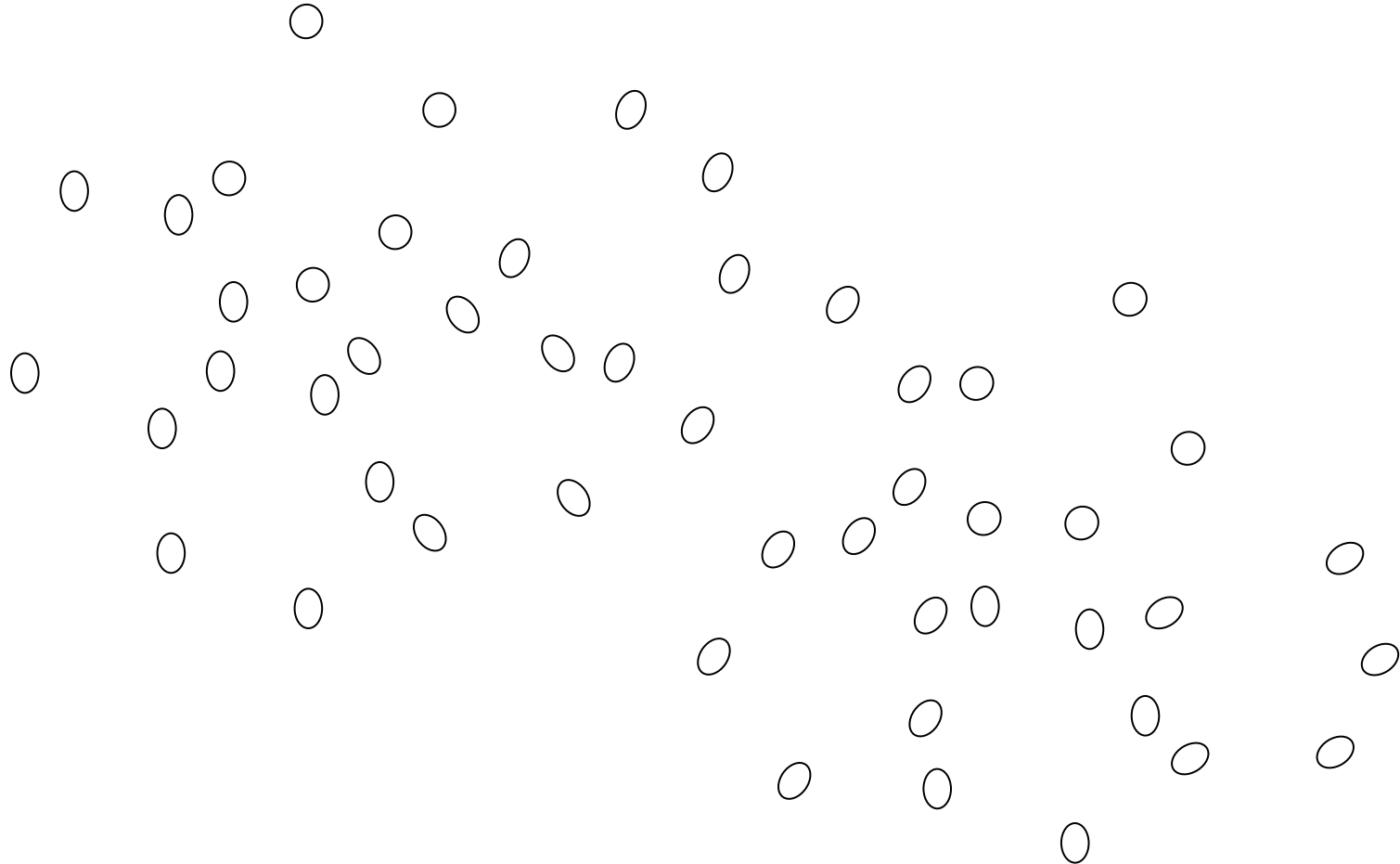
3 Colour me?



Easy?



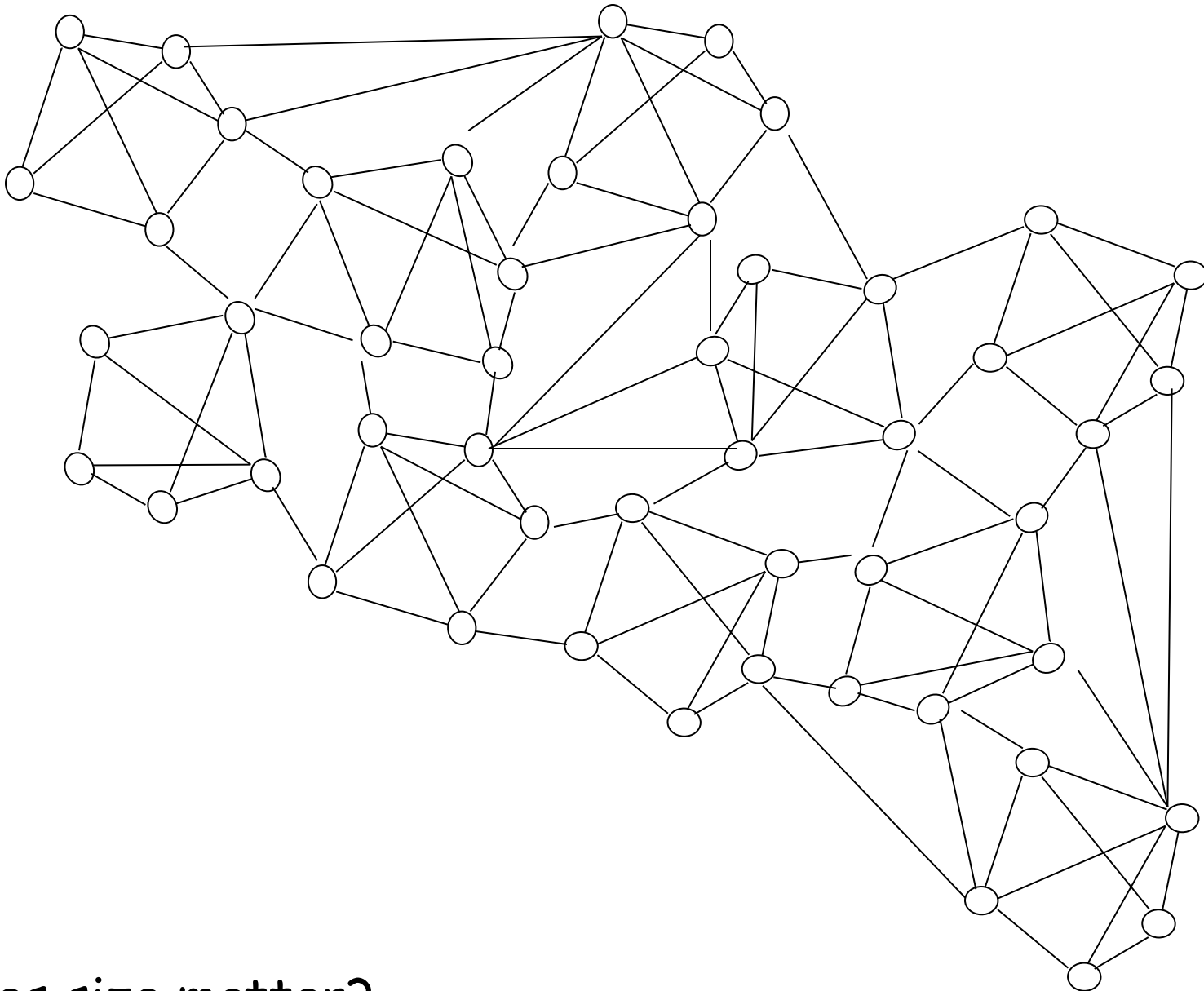
3 Colour me?



Does Size Matter?

Easy?

3 Colour me?



Does size matter?

So, Where are the hard problems?

## Dr. Peter Cheeseman



---

Affiliation: Research Institute for Advanced Computer Science  
Postal address: Computational Sciences Division - Code IC  
NASA Ames Research Center, MS 269-2  
Moffett Field, CA 94035-1000  
Voice: (650) 604-4946  
Fax: (650) 604-3594  
Electronic mail: [cheesem@ptolemy.arc.nasa.gov](mailto:cheesem@ptolemy.arc.nasa.gov)

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## Career Summary



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[ADT 2009](#)  
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**Date:** 10-21-09 to 10-23-09[EMICS 2009](#)



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### Planning and Scheduling

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## Planning and Scheduling



### Overview

The Planning and Scheduling Group builds automated planning and scheduling systems for NASA missions. These planning and scheduling systems are essential components of autonomous spacecraft, deep space probes, planetary rovers, and autonomous vehicles. They are also integral elements of ground operations tools for space missions. Planning and Scheduling Group activities include: Conducting basic research into computational methods used in automated planning and scheduling systems. Designing and implementing automated planners as components of autonomous systems. Designing and implementing planning and scheduling tools used by mission operators.

### Project List (Active)

**Activity Planning and Sequencing for Mars Science**  
**Project Lead:** James Kurien

#### Constraint Based Planning

**Project Lead:** Conor McGann

Development of planning techniques and software for domains with complex temporal and resource constraints.

Decision Theoretic Planning for Planetary Exploration

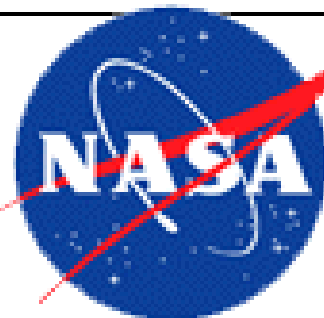
### Team

#### Group Lead

Jeremy Frank

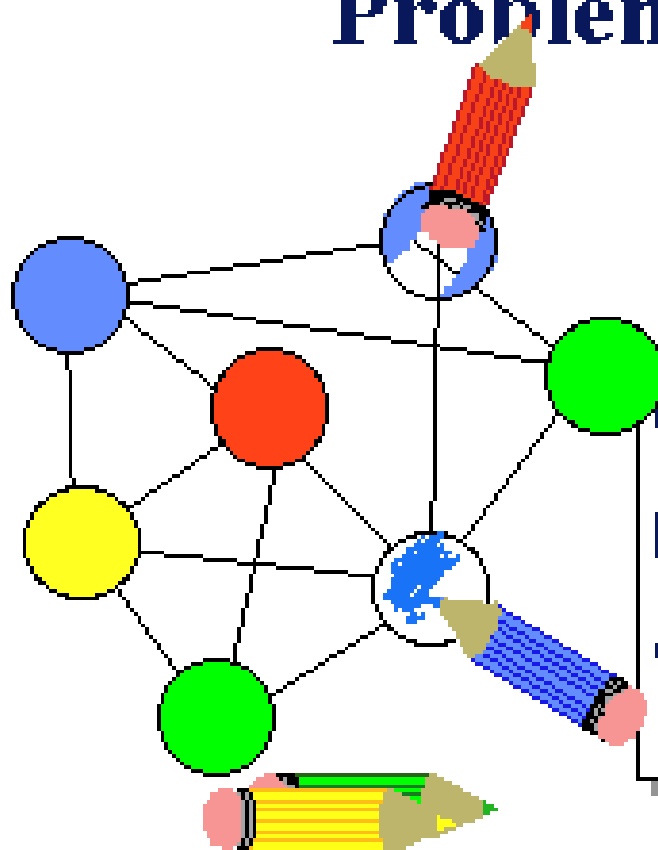
#### Group Members

Andrew Bachmann  
John L Bresina  
Kevin Greene  
Peter A. Jarvis  
Bob Kanefsky  
Lina Khatib  
James Kurien  
Elif Kurklu  
Tony Lindsey  
Conor McGann  
Robert Morris  
Paul Morris  
David Smith  
Stephen Wragg



Ames Research Center  
Artificial Intelligence Branch

# Where the *Really* Hard Problems Are



Peter Cheeseman

RIACS

Bob Kanefsky

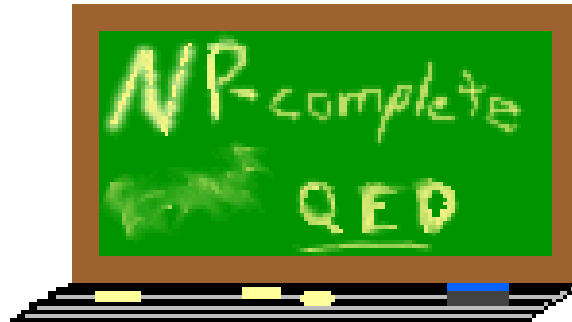
Sterling Software

William Taylor

Sterling Software

# Problem:

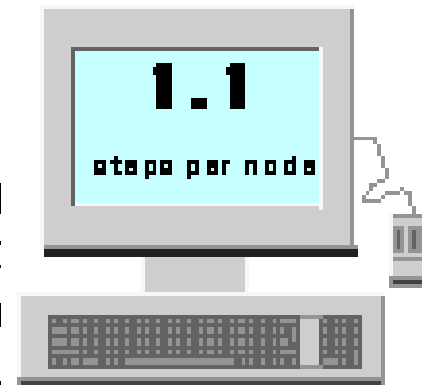
We were working on a new algorithm for constraint-satisfaction problems. We needed **hard problems** to try it out on.



An NP-complete problem like Graph-Colorability should provide plenty of hard cases, right?

**Surprise!**

There's already a backtracking algorithm (by Brélaz) that solves large random graphs in **linear** time.



**Q:** What does this say about NP problems?



# Wots NP?

Nondeterministic Polynomial  
Problems that cannot be solved in polynomial (P) time  
... as far as we know

NP-Complete (NPC)

If a polytime alg can be found for any NPC problem  
Then it can be adapted for all NPC problems

Theory shows NP problems  
are **worst case** exponential  
(if  $P \neq NP$ )

but says nothing about complexity  
in a **particular** case!



*Intractability Theorem. The problem of determining whether a proposition is necessarily true in a nonlinear plan whose action representation is sufficiently strong to represent conditional actions ... is NP hard.*



**Hoping for the best** amounts to arguing that for the particular cases that come up in practice, extensions to current planning techniques will happen to be efficient. My intuition is that this is not the case.

— D. Chapman, A.I.J. (1987)

# Where are the hard problems in NP?

- Pathological?
- Unpredictable?

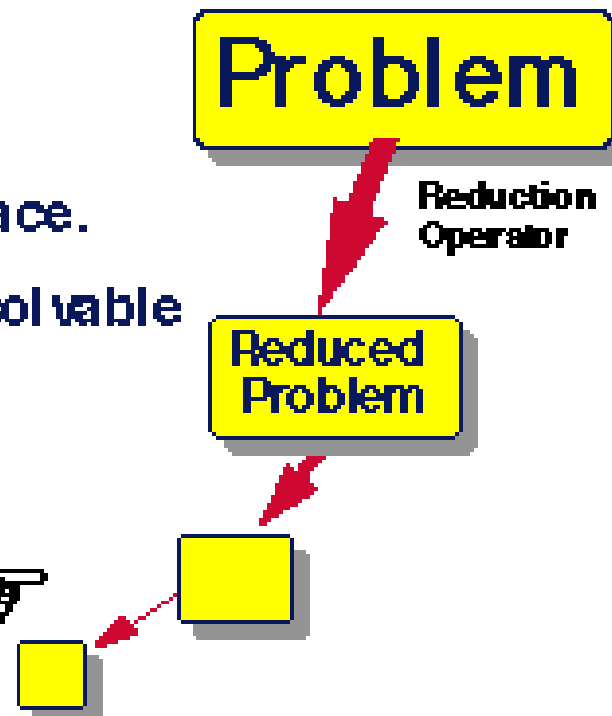
## Partial answer:

Not in 'trivial' part of space.

Eliminate all problems solvable without search.

*Reduction Operators.*

(Can be applied recursively)

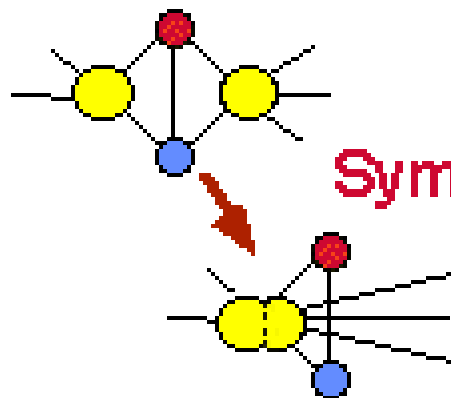
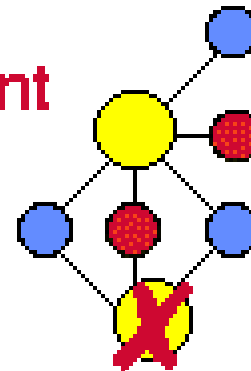


# Graph Reduction Operations

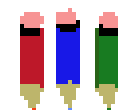


Under-constrained

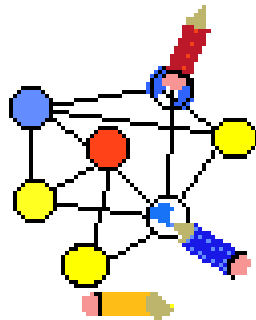
Redundant



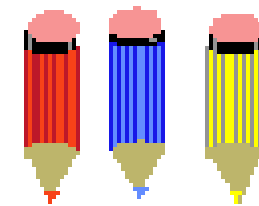
Symmetric



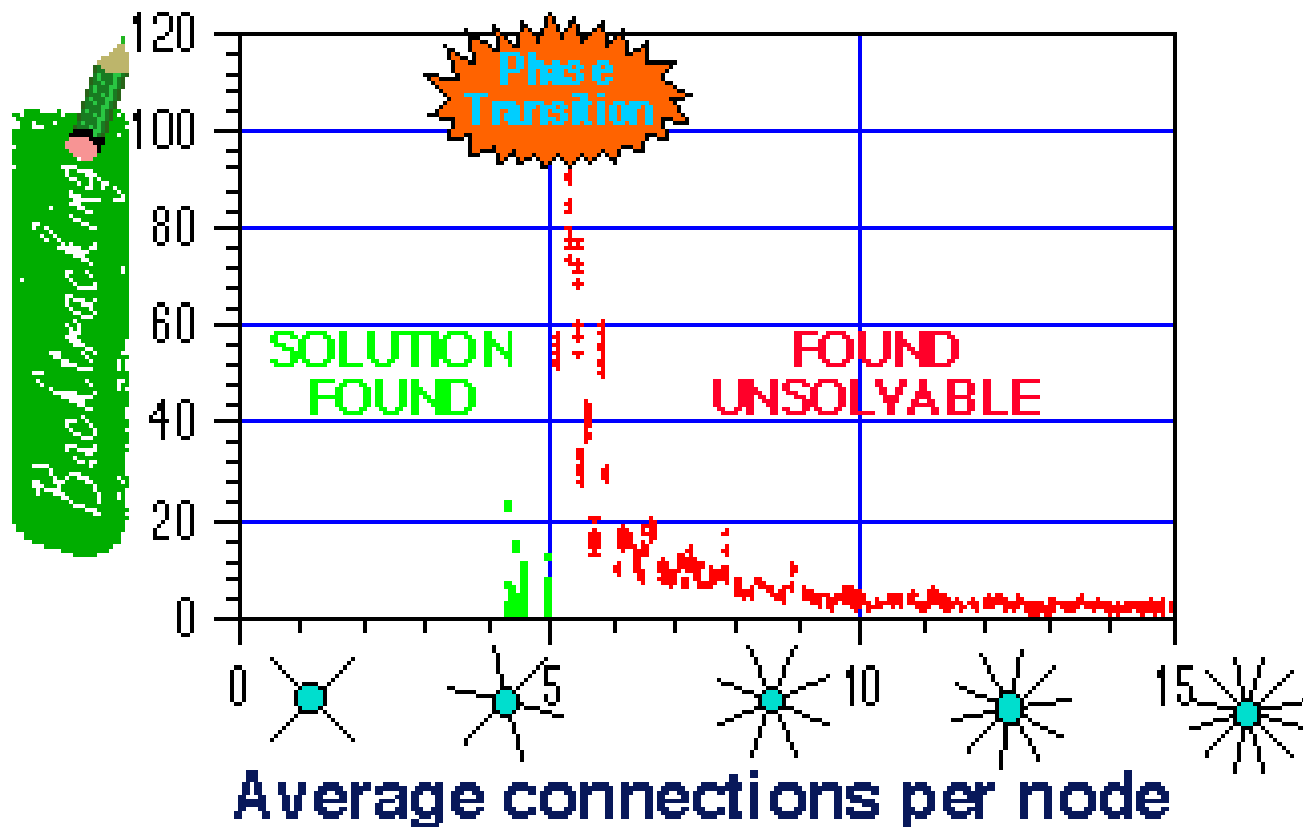
3-color case is illustrated here.



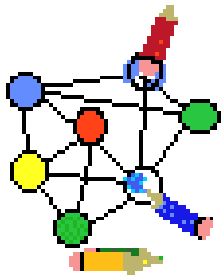
# 3-colorability cost and solvability



Bréaz's Algorithm

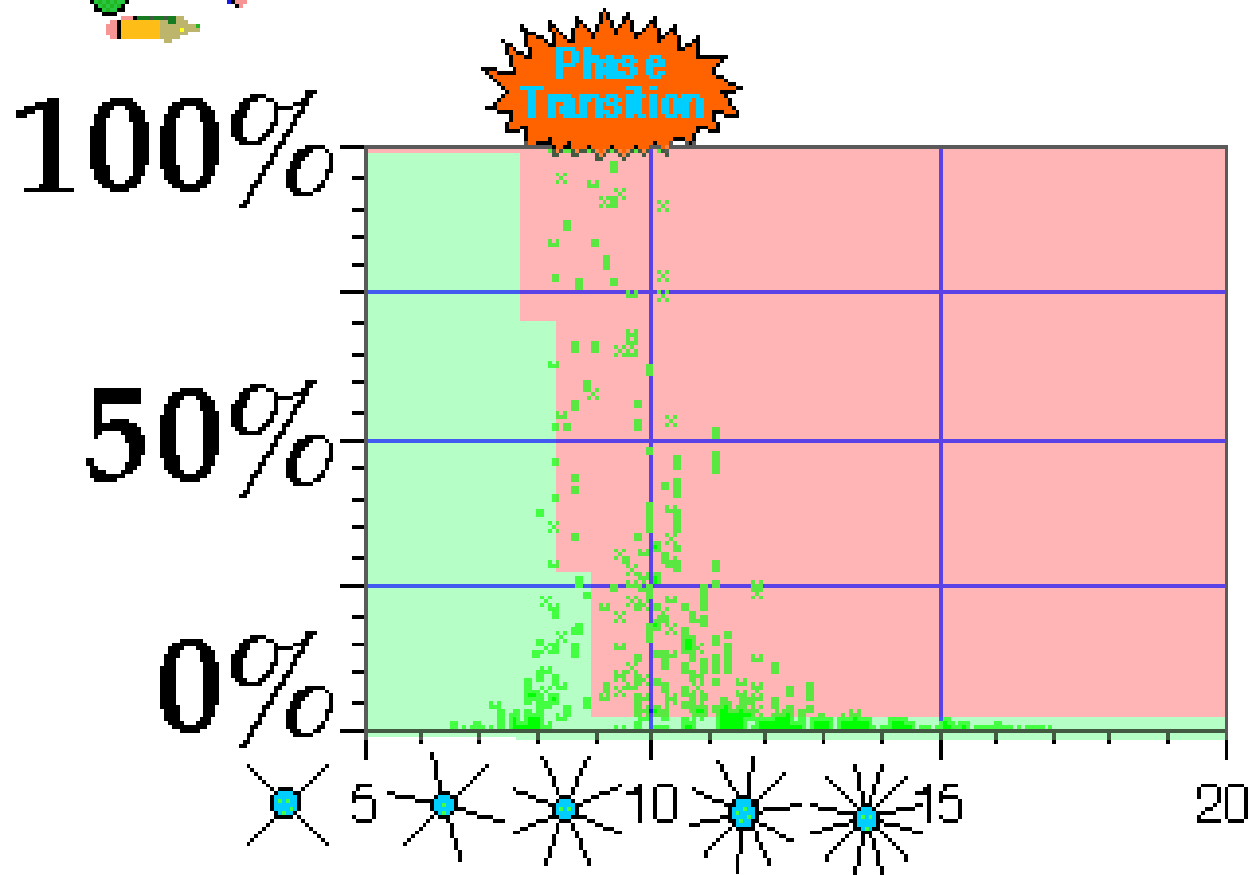
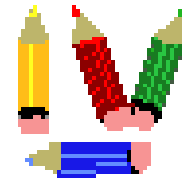


# prevalence of 4-colorable graphs

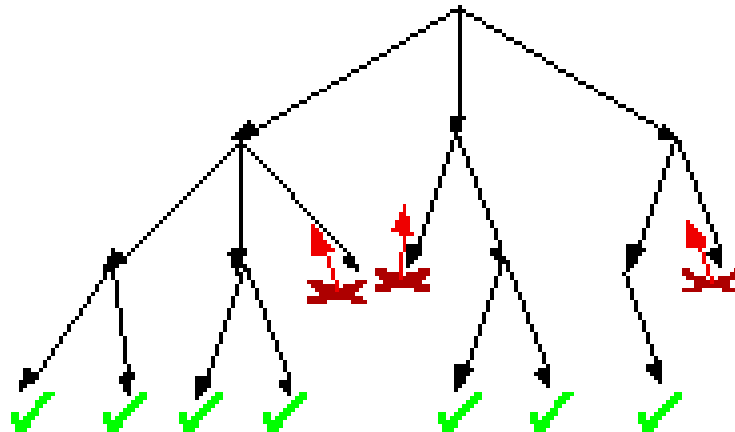


## 4-colorability

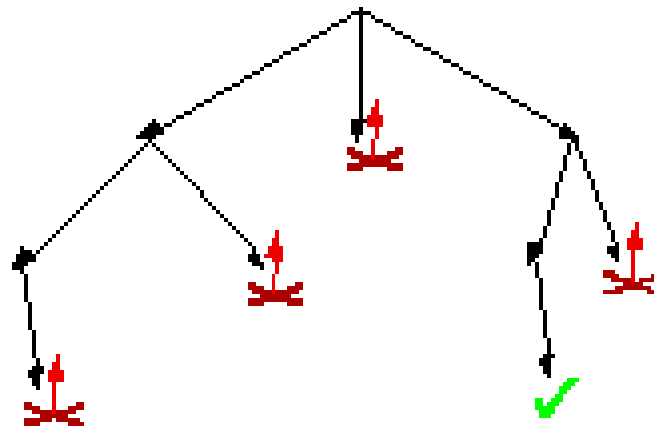
(solvable, irreducible 144-node graphs)



**Under-constrained = almost any solution works**

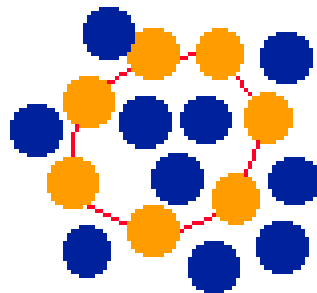


**Over-constrained = few false trails.**

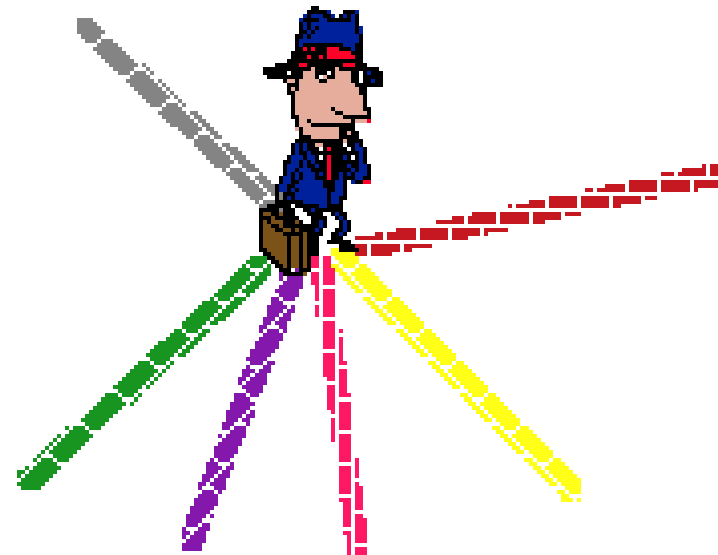


# Do other NP problems have phase transitions?

## Hamilton Circuit



## Traveling Salesman



## 3sat

$a \vee b \vee \neg c$

&

$d \vee \neg a \vee e$



Wot's SAT?

Toby?



# Propositional Satisfiability

- SAT

- does a truth assignment exist that satisfies a propositional formula?
- special type of constraint satisfaction problem
  - Variables are Boolean
  - Constraints are formulae
- NP-complete

**$(x_1 \vee x_2) \ \& \ (\neg x_2 \vee x_3 \vee \neg x_4)$**

**$x_1/ \text{True}, x_2/ \text{False}, \dots$**

- 3-SAT

- formulae in clausal form with 3 literals per clause
- remains NP-complete

Wots complexity of 3SAT?

$a \vee b \vee c$

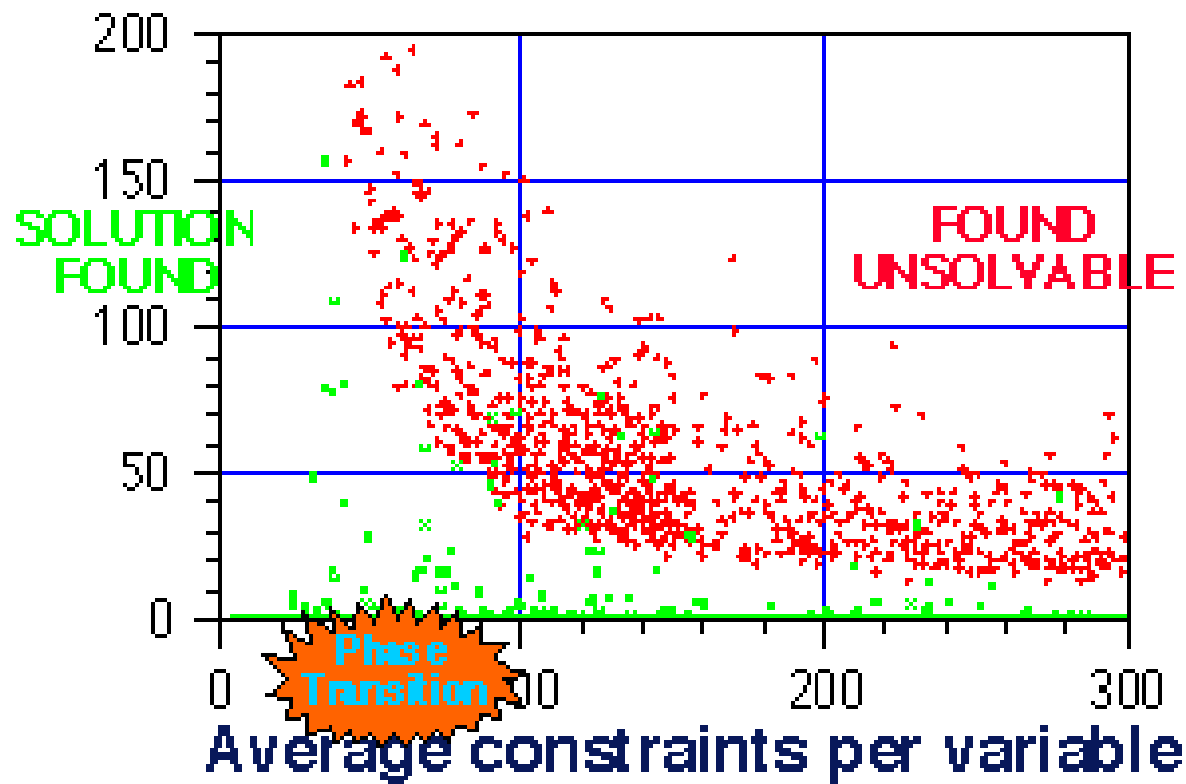
&

$a \vee b \wedge c$

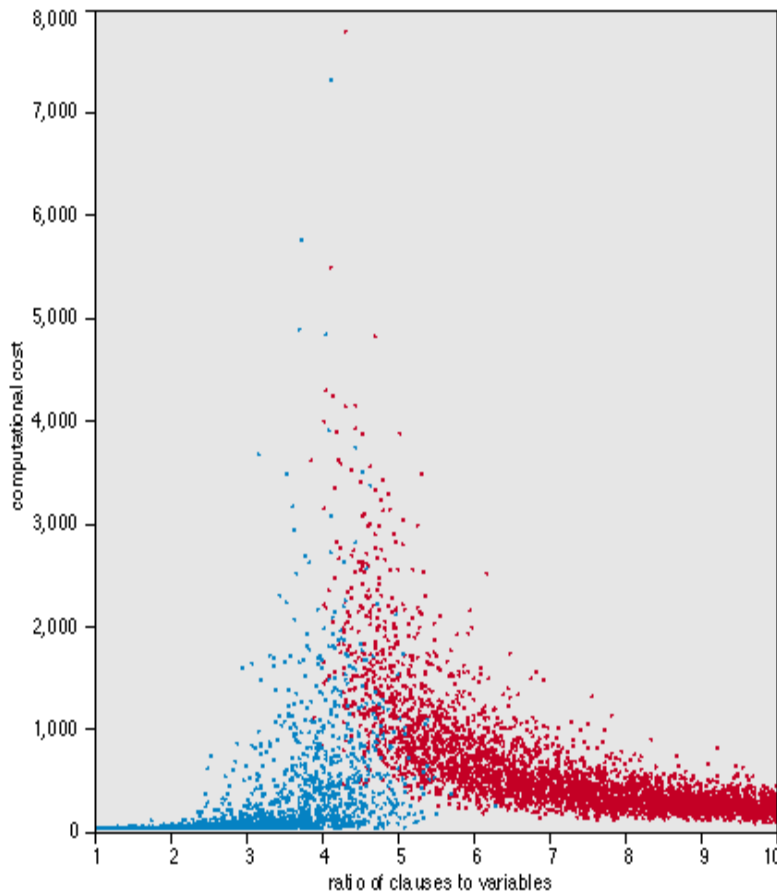
# 4-sat cost

$a, b, c, \dots, y$

25 var.



# Random 3-SAT



- Random 3-SAT
  - sample uniformly from space of all possible 3-clauses
  - $n$  variables,  $l$  clauses
- Which are the hard instances?
  - around  $l/n = 4.3$

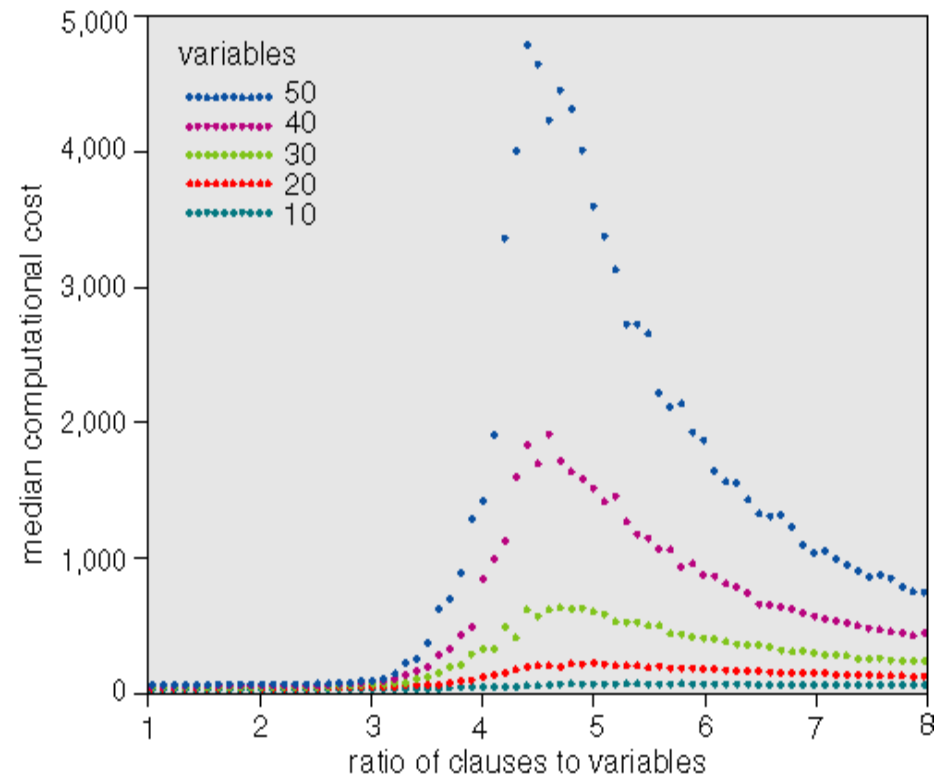
*What happens with larger problems?*

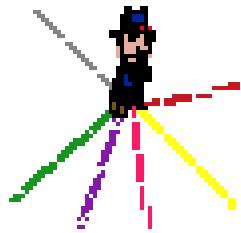
*Why are some dots red and others blue?*

# Random 3-SAT

- Varying problem size,  $n$
- Complexity peak appears to be largely invariant of algorithm
  - backtracking algorithms like Davis-Putnam
  - local search procedures like GSAT

*What's so special about 4.3?*

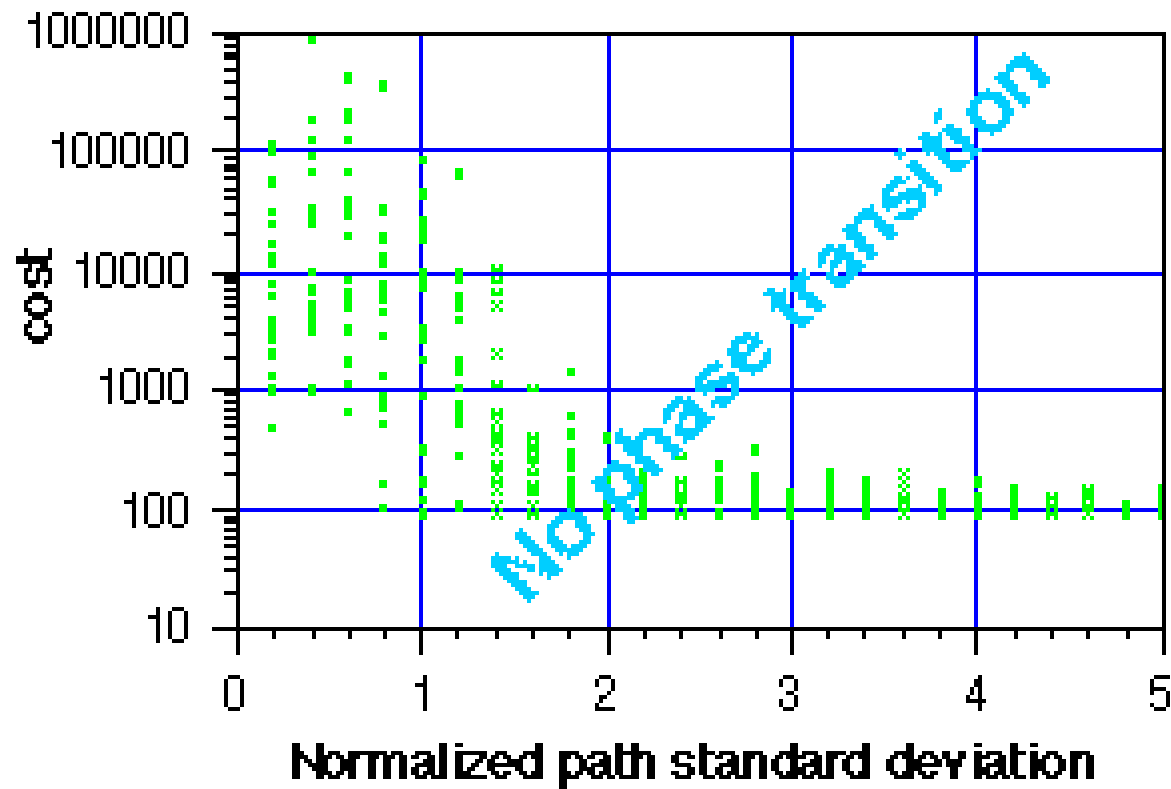


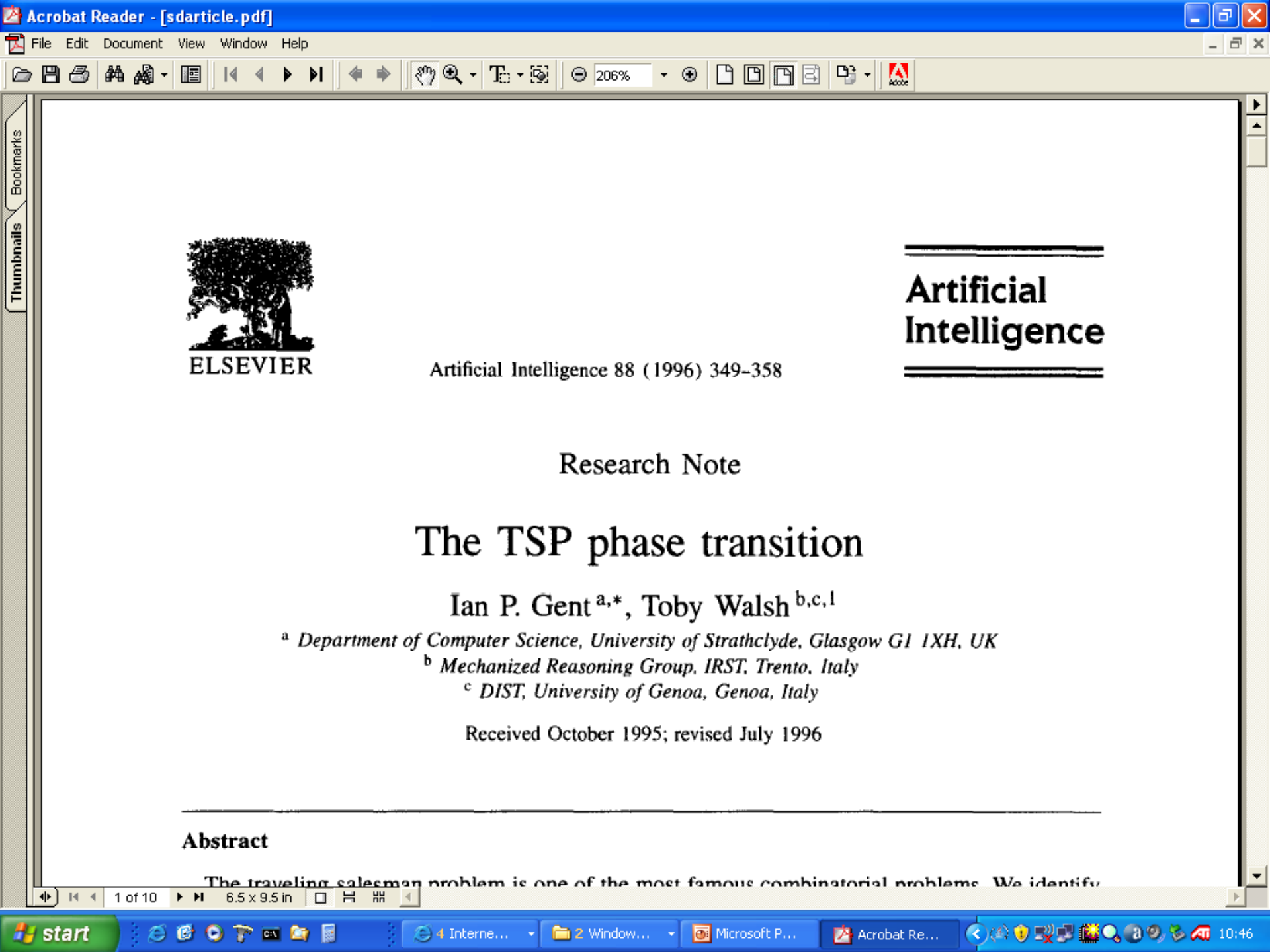


# Traveling Salesman

cost for 48 cities

Little's Algorithm





Artificial Intelligence 88 (1996) 349-358

# Artificial Intelligence

## Research Note

# The TSP phase transition

Ian P. Gent<sup>a,\*</sup>, Toby Walsh<sup>b,c,1</sup>

<sup>a</sup> *Department of Computer Science, University of Strathclyde, Glasgow G1 1XH, UK*

<sup>b</sup> *Mechanized Reasoning Group, IRST, Trento, Italy*

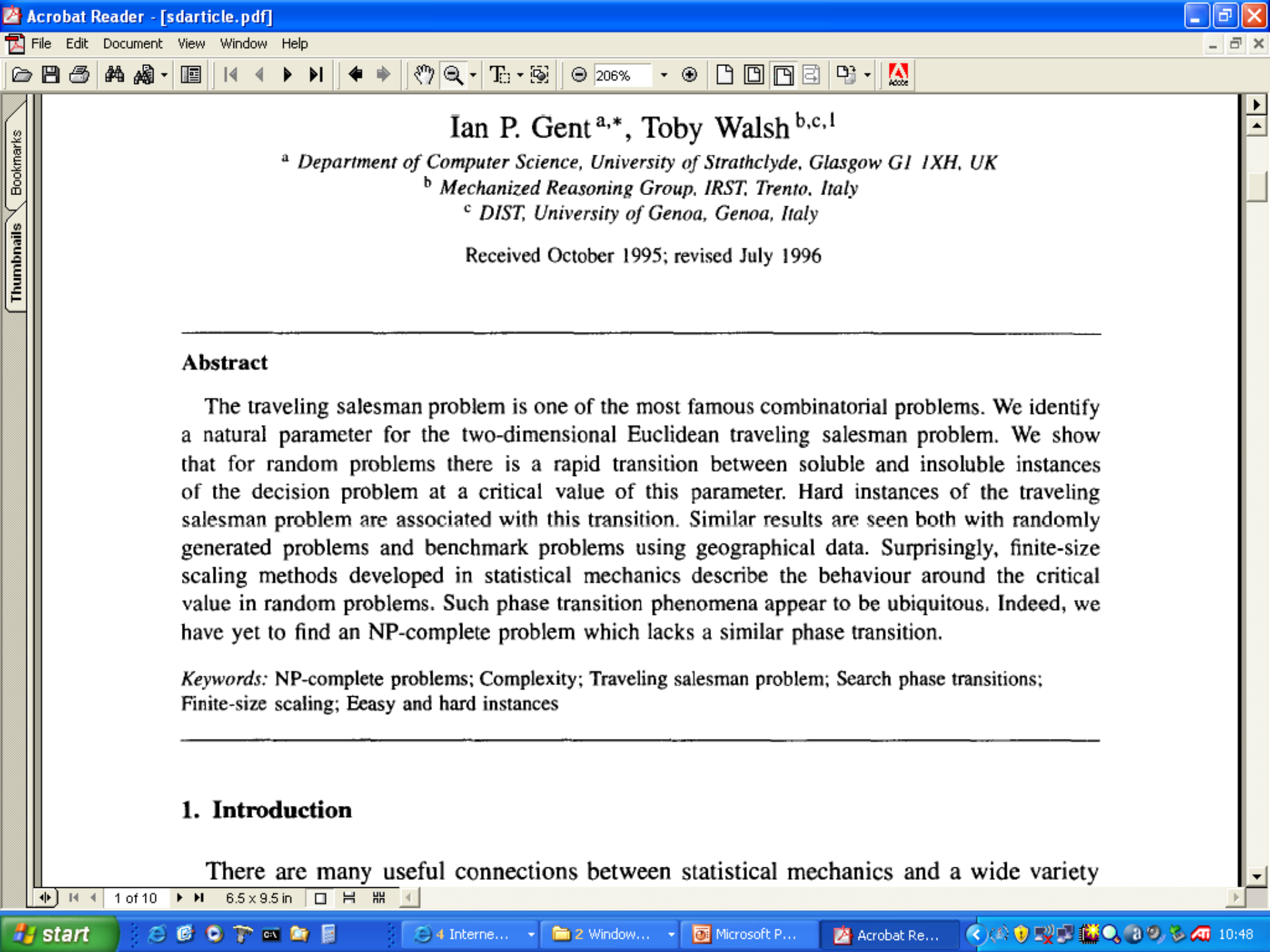
<sup>c</sup> *DIST, University of Genoa, Genoa, Italy*

Received October 1995; revised July 1996

## Abstract

The traveling salesman problem is one of the most famous combinatorial problems. We identify





Bookmarks  
Thumbnails

# Ian P. Gent<sup>a,\*</sup>, Toby Walsh<sup>b,c,1</sup>

<sup>a</sup> *Department of Computer Science, University of Strathclyde, Glasgow G1 1XH, UK*

<sup>b</sup> *Mechanized Reasoning Group, IRST, Trento, Italy*

<sup>c</sup> *DIST, University of Genoa, Genoa, Italy*

Received October 1995; revised July 1996

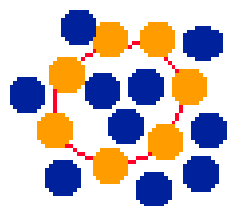
## Abstract

The traveling salesman problem is one of the most famous combinatorial problems. We identify a natural parameter for the two-dimensional Euclidean traveling salesman problem. We show that for random problems there is a rapid transition between soluble and insoluble instances of the decision problem at a critical value of this parameter. Hard instances of the traveling salesman problem are associated with this transition. Similar results are seen both with randomly generated problems and benchmark problems using geographical data. Surprisingly, finite-size scaling methods developed in statistical mechanics describe the behaviour around the critical value in random problems. Such phase transition phenomena appear to be ubiquitous. Indeed, we have yet to find an NP-complete problem which lacks a similar phase transition.

**Keywords:** NP-complete problems; Complexity; Traveling salesman problem; Search phase transitions; Finite-size scaling; Easy and hard instances

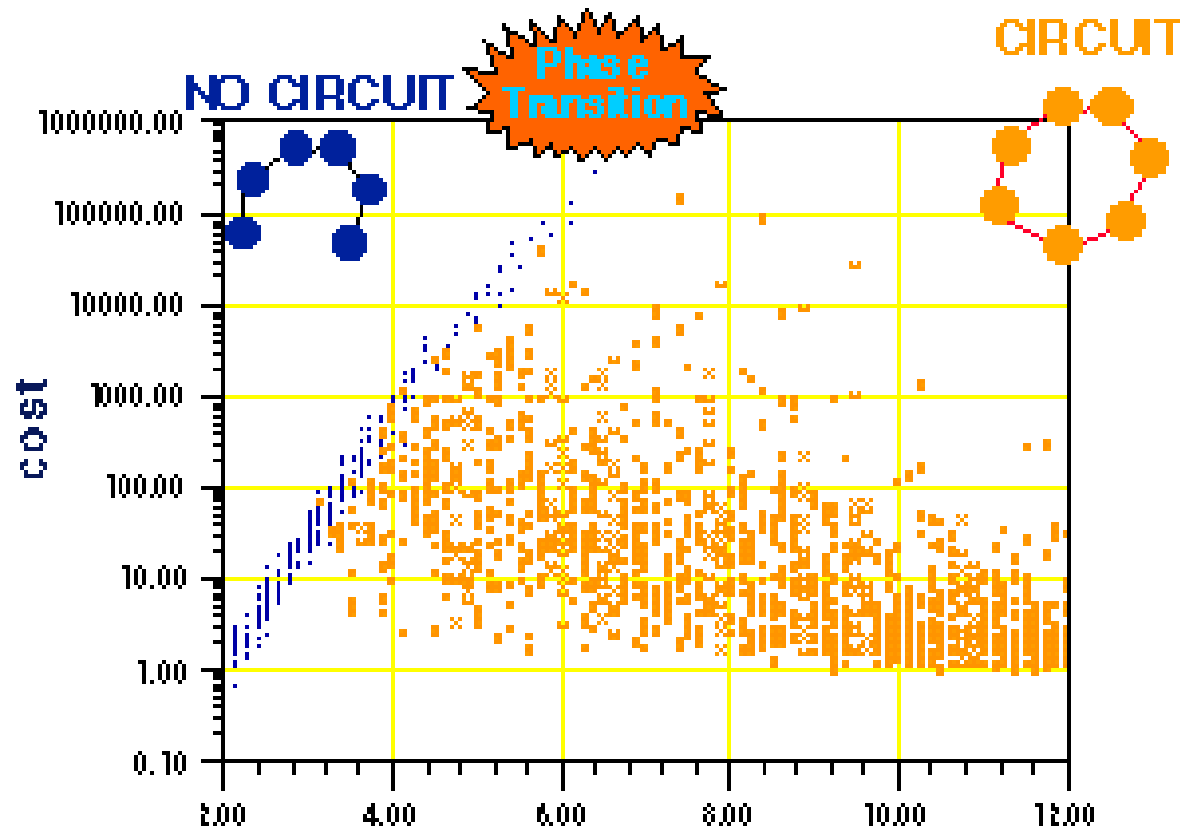
## 1. Introduction

There are many useful connections between statistical mechanics and a wide variety



# Hamilton Circuit search cost

16



# Why “Phase Transition”?

- Transition separates 2 regions

Under-constrained

SOLID

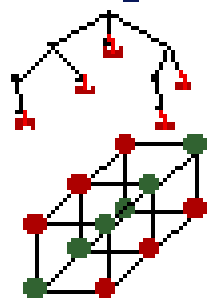


Over-constrained



Liquid

- Strong “fluctuations” near transition



Depth of backtrack before failure

Crystal nuclei

- Sharpness of transition increases with size (boundary effects)
- Phase transitions = macroscopic order  
Average over individual interactions.

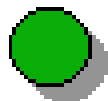
# Speculation



All hard problems occur at a phase transition.



Hard problems are predictable.



Much more to complexity than P or NP.



Depth of backtrack related to order of constraints.



Depth of backtrack maximum at phase transitions.

- CKT were first to report the phenomenon
- Were they the first to see it?

# Feldman and Golumbic 1990 Student Scheduling Problems

68

R. Feldman, M.C. Golumbic / *Interactive scheduling*

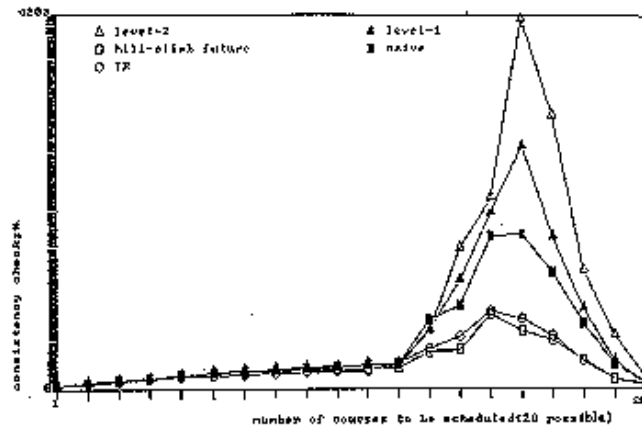


Fig. 4. Ordering algorithms - forward checking.

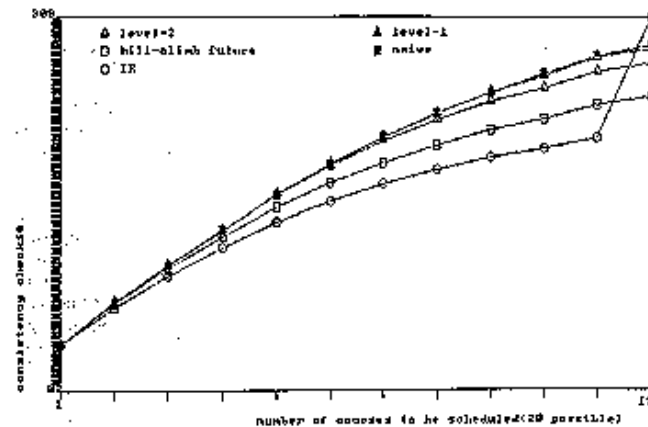


Fig. 5. Ordering algorithms - forward checking.

R. Feldman, M.C. Golumbic / *Interactive scheduling*

69

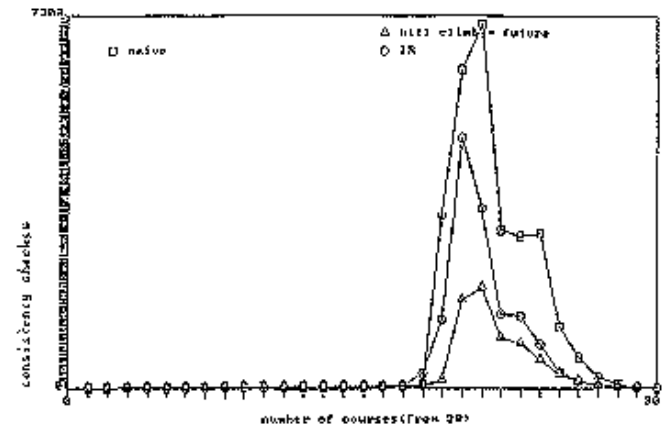


Fig. 6. Ordering algorithms - forward checking.

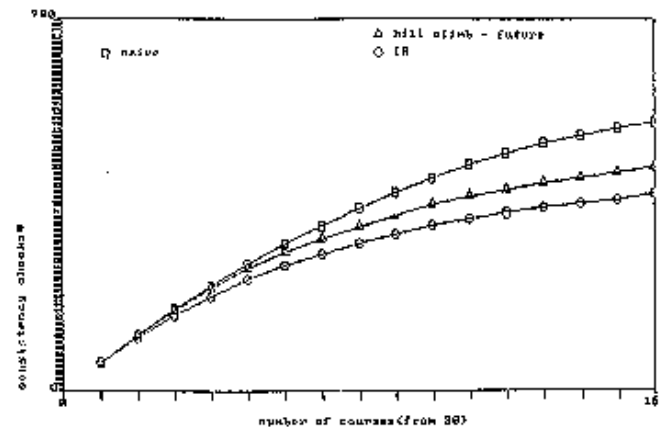


Fig. 7. Ordering algorithms - forward checking.

Wait a minute! 1990? Real problems?

Figure 4.4.3-1 Dependence of mean number of pair-tests ( $T_p$ ) on degree of constraint ( $L$ )  
150 randomly generated SAPs of size  $N \times k_1 = 18$  for each plotted point  
1350 p.e. per algorithm, 5400 a.e. total  
upper solid curve: BACKTRACK; middle: BACKJUMP; lower: BACKMARK  
first solution

L	BACKTRACK	BACKMARK	BACKJUMP	DEED
.000	160.000	160.000	160.000	160.000
.100	223.000	186.000	183.000	373.100
.200	452.000	289.000	373.000	1162.000
.300	985.000	524.000	794.000	3776.000
.400	2548.000	1046.000	1945.000	4563.000
.500	8718.000	2682.000	5259.000	7552.000
.600	35598.000	7791.000	22551.000	16360.000
.650	15893.000	3046.000	3838.000	
.700	9143.000	751.000	2346.000	3152.000
.800	352.000	137.000	171.000	2686.000
.900	67.400	67.200	67.400	2743.000
1.000	45.000	45.000	45.000	1305.000

My favourite! Gaschnig's random 10 queens

Gaschnig 1979

Log of search effort against constraint tightness

Algorithm independent phenomena

Figure 4.4.3-1 Dependence of mean number of pair-tests ( $T_p$ ) on degree of constraint ( $k$ )  
 150 randomly generated SOPS of size  $N = k_1 = k_2 = 18$  for each plotted point  
 1350 w.o. per algorithm, 5400 w.o. total  
 upper solid curves: BACKTRACK; middle: BACKJUMP; lower: BACKMARK  
 first solution

L	BACKTRACK	BACKMARK	BACKJUMP	DECB
+000	180.000	180.000	180.000	180.000
+100	223.000	185.000	180.000	370.100
+200	452.000	280.000	370.000	1162.000
+300	985.000	520.000	790.000	3776.000
+400	2500.000	1000.000	1900.000	4560.000
+500	8710.000	2800.000	6200.000	7552.000
+600	35500.000	7700.000	22500.000	16060.000
+650	15000.000	3000.000	8000.000	
+700	9100.000	750.000	2300.000	3150.000
+800	350.000	130.000	170.000	2800.000
+880	87.000	67.000	67.000	2700.000
+990	45.000	45.000	45.000	1000.000

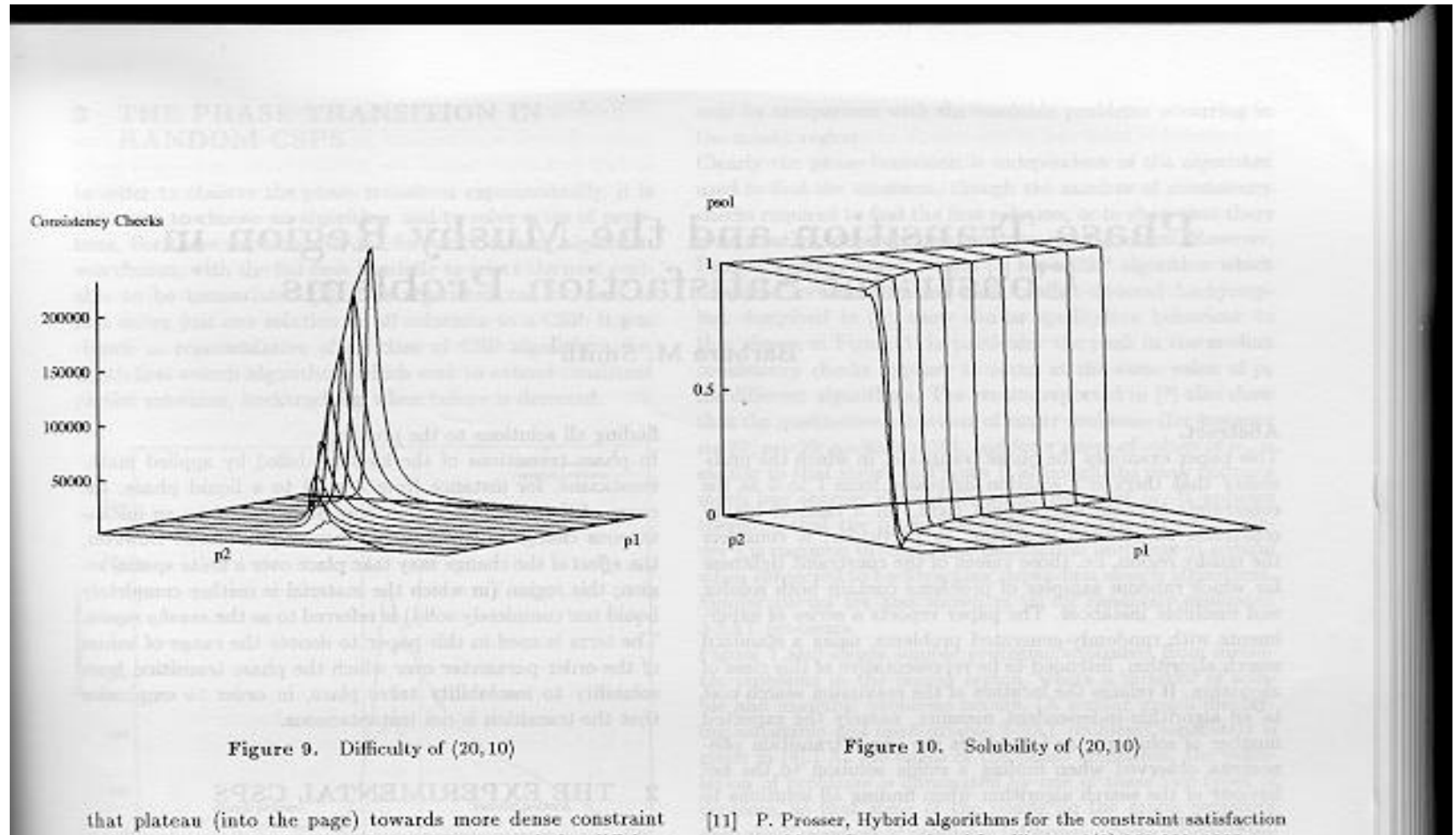
Rotate to view!



## Gaschnig's Thesis, page 179

4.4.3 Cost as a Function of  $L$ : A sharp Peak at  $L = \sim 0.6$

- Random CSP's  $\langle n, m, p_1, p_2 \rangle$ 
  - $n$  the number of variables
  - $m$  domain size
  - $p_1$  the probability of a constraint
    - between variables  $V_i$  and  $V_j$
    - $p_2$  probability  $V_i = x$  and  $V_j = y$  are in conflict
- $\langle 20, 10, 1.0, 0 \rangle$ 
  - easy soluble clique
- $\langle 20, 10, 1.0, 1.0 \rangle$ 
  - easy insoluble clique
- $\langle 20, 10, 1.0, 0.2 \rangle$ 
  - hard, phase transition, clique
- $\langle 20, 10, 0.5, 0.37 \rangle$ 
  - Drosophila



1994, PT for CSP, show it exists, try and locate it (bms also at ECAI94)  
 And lunch with Barbara, Toby, and Ian

K	N	C	C/N	C	C/N	C	C/N	C	C/N
		T = 1/9		T = 2/9		T = 3/9		T = 4/9	
3	25	199	7.96	89	3.56	51	2.04	31	1.24
3	30	236	7.87	104	3.47	59	1.97	36	1.20
3	35	272	7.77	120	3.43	68	1.94	41	1.17
3	40	310	7.75	137	3.43	76	1.90	45	1.13
3	50	380	7.60	166	3.32	91	1.82	53	1.06
3	60	454	7.57	196	3.27	106	1.77	62	1.03
3	75	565	7.53	244	3.25	132	1.76	74	0.99
3	100	747	7.47	317	3.17	169	1.69	92	0.92
3	125	927	7.42	394	3.15	207	1.66	109	0.87
3	150	1100	7.40	468	3.12	242	1.61	127	0.85
3	175	1290	7.37	546	3.12	281	1.61	146	0.83
3	200	1471	7.36	623	3.11	318	1.59	159	0.80
3	225			697	3.10	353	1.57	176	0.78
3	250			773	3.09	390	1.56	193	0.77
3	275			847	3.08	425	1.54	205	0.75
		T = 4/36		T = 8/36		T = 12/36		T = 16/36	
6	15	**	**	102	6.80	62	4.13	41	2.73
6	25	**	**	165	6.60	100	4.00	65	2.60
6	35	500	14.29	228	6.51	137	3.91	89	2.54
6	50	710	14.20	325	6.50	193	3.87	125	2.51
6	60	852	14.20	389	6.48	231	3.85	150	2.50
		T = 9/81		T = 18/81		T = 27/81		T = 36/81	
9	15	**	**	**	**	79	5.27	53	3.53
9	25	**	**	211	8.44	128	5.12	87	3.48
9	35	**	**	294	8.40	178	5.09	119	3.40

Figure 1: The "C" columns show values of  $C$  which empirically produce 50% solvable problems, using the model described in the text and the given values of  $N$ ,  $K$ , and  $T$ . The "C/N" column shows the value from the "C" column to its left, divided by the current value for  $N$ . "\*\*" indicates that at this setting of  $N$ ,  $K$  and  $T$ , even the maximum possible value of  $C$  produced only satisfiable instances. A blank entry signifies that problems generated with these parameters were too large to run.

1994 again, Frost and Dechter tabulate, use this for comparison of algs (CKT's first goal!)

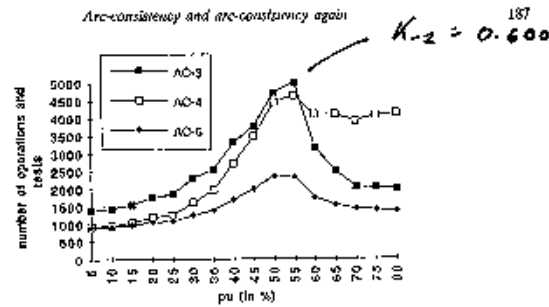


Fig. 4. AC-3, AC-4 and AC-6 on randomly generated CNs with 20 variables having 5 possible values, where the probability  $p_c$  to have a constraint between two variables is 30%.

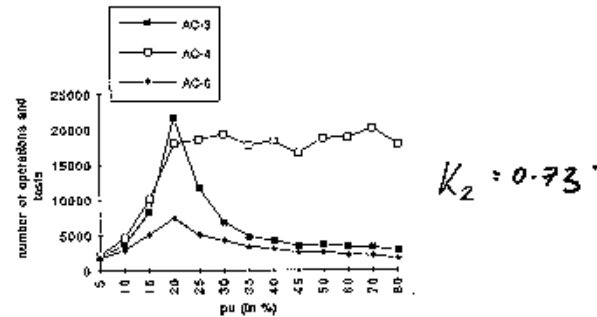


Fig. 5. AC-3, AC-4 and AC-6 on randomly generated CNs with 12 variables having 16 possible values, where the probability  $p_c$  to have a constraint between two variables is 30%.

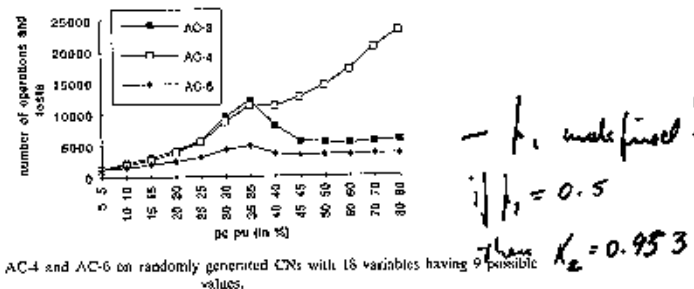


Fig. 6. AC-3, AC-4 and AC-6 on randomly generated CNs with 18 variables having 9 possible values.

$i1 | p1 = 0.35$   
 $then K_2 = 0.67$

$$\kappa = 1 - \frac{\log_2(\langle Sol \rangle)}{N}$$

$\langle Sol \rangle$  is expected number of solutions

$N$  is  $\log_2$  of the size of the state space

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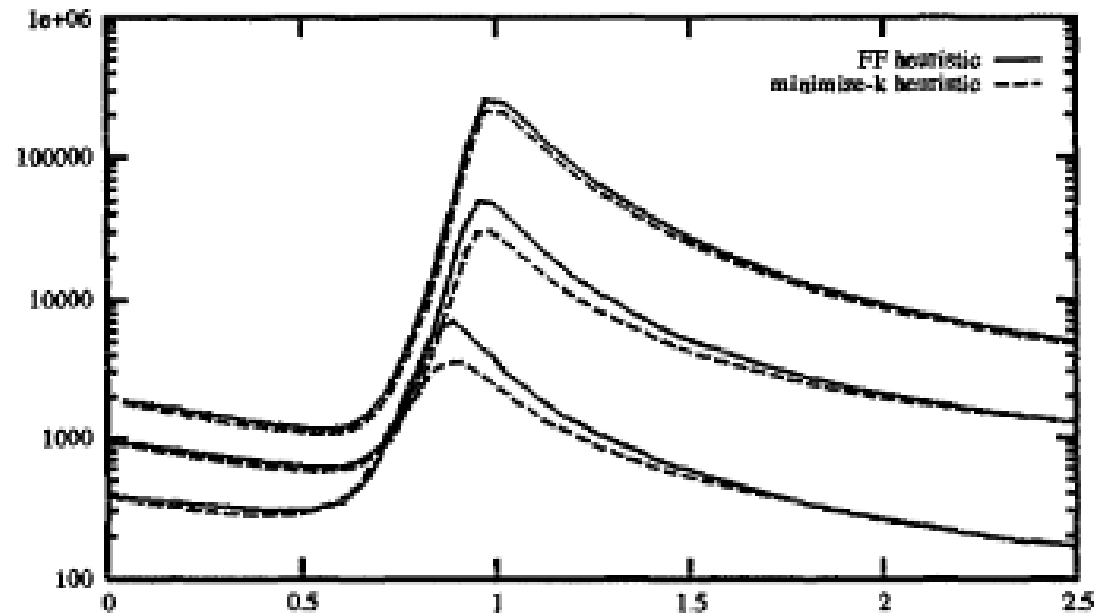
$\kappa = 0$ , all states are solutions, easy, underconstrained

$\kappa = \infty$ ,  $\langle Sol \rangle$  is zero, easy, overconstrained

$\kappa = 1$ , critically constrained, 50% solubility, hard

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Applied to: CSP, TSP, 3-SAT, 3-COL, Partition, HC, ...?

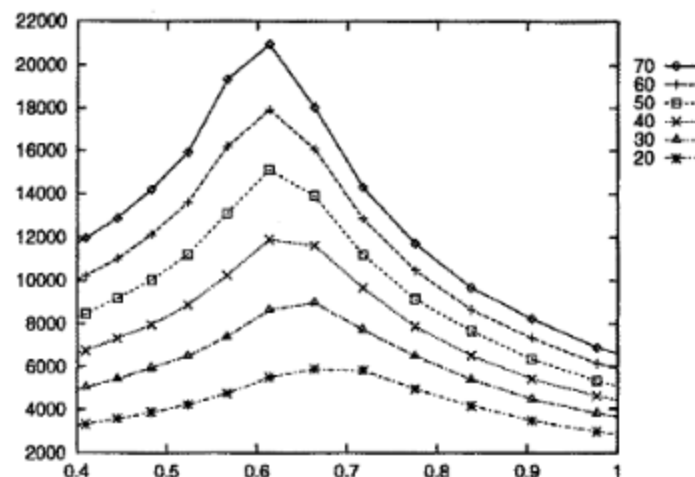
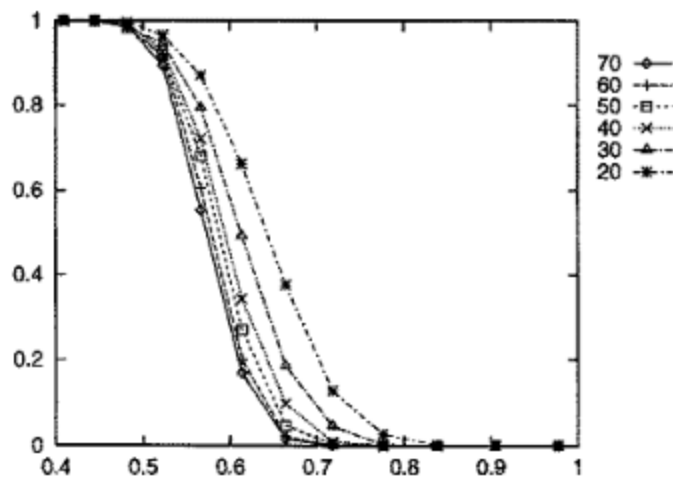


**Figure 4.** Fail First (FF) and minimize- $\kappa$  heuristics applied to  $\langle 20, 10, p_1 \rangle$  problems using fc-cbj. Mean search effort on y-axis,  $\kappa$  on x-axis. Contours for  $p_1 = 1.0$  (top),  $p_1 = 0.5$  (middle),  $p_1 = 0.2$  (bottom).

$$\kappa = \frac{-\sum_{c \in C} \log_2(1 - p_c)}{\sum_{v \in V} \log_2(m_v)}$$

# The Constrainedness of Arc Consistency\*

Ian P. Gent, Ewan MacIntyre, Patrick Prosser, Paul Shaw, and Toby Walsh



$$\kappa_{ac} = \frac{-\sum_{c \in C} m_x \log_2(1 - p_c^{\frac{m_y}{2}}) + m_y \log_2(1 - p_c^{\frac{m_x}{2}})}{2 \sum_{v \in V} m_v}$$



- 1994
  - critical ratio of clauses to variables in 3SAT
- 1995
  - applied techniques from statistical mechanics to analysis
- 1996
  - Kappa, a theory of constrainedness
    - applies in CSP, 3-SAT NumPart, TSP!, ...
  - kappa based heuristics
  - P/NP phase transition  $(2+p)$ -SAT
    - At  $p \sim 0.4$

- 1997
  - Kappa holds in P, achieving arc-consistency
  - Empirically derive complexity of AC3
  - Derive existing heuristics for revision ordering in AC3
- 1998
  - Expectation of better understanding of behaviour of algorithms and heuristic
  - What happens inside search?

- 1999
  - Kappa for QSAT
- 2000
  - the backbone
- 2001
  - backbone heuristics
- 2000 and beyond
  - Physics takes over?
  - New problems and richer behaviour
- 2019
  - Optimisation

## Conclusion?

- More to it than just P and NP
- we are now learning about the structure of problems
- the behaviour of algorithms
- using this to solve the problems!

Where are the hard problems?